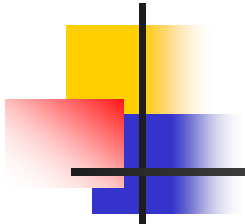
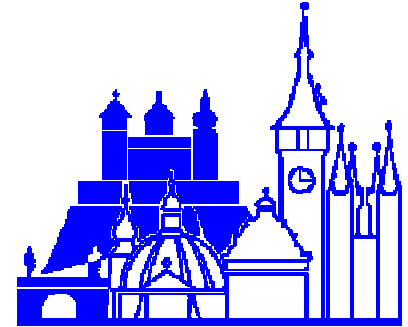


Institut für Theoretische Physik und Astrophysik

Universität Würzburg

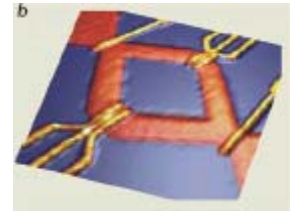


M.Kiselev

Magnetic correlations in mesoscopic and nano - systems



Outline



- Quantum Dot Devices
- Quantum dots with a few electrons
- Kondo effect in Quantum Dots
- Quantum dots with many electrons
- Magnetic instability in isolated dots
- Mesoscopic Stoner magnetism
- Quantum Dot arrays and nano-crystals
- Perspectives

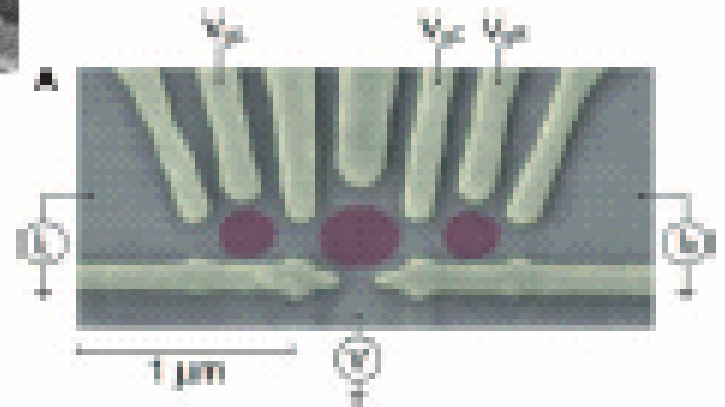
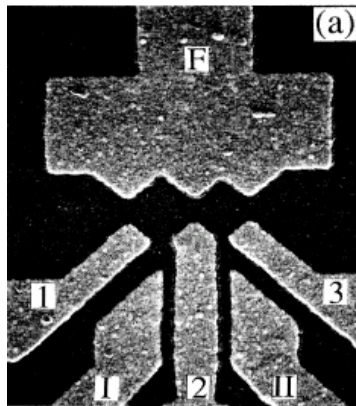
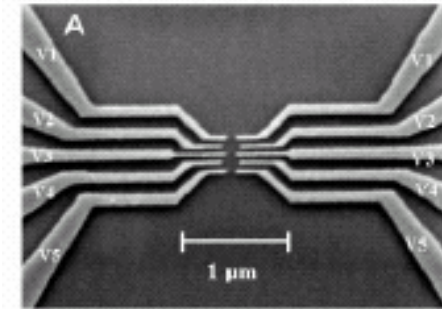
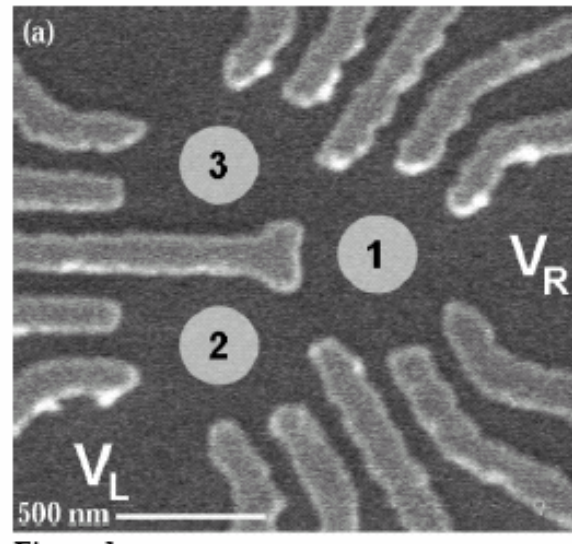
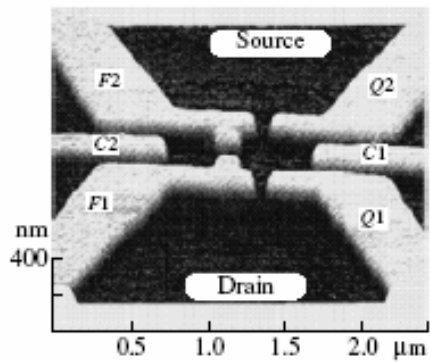
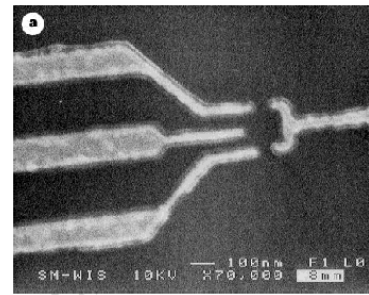
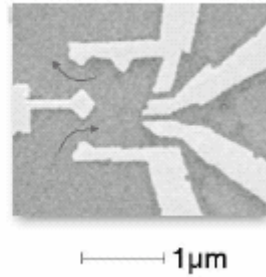
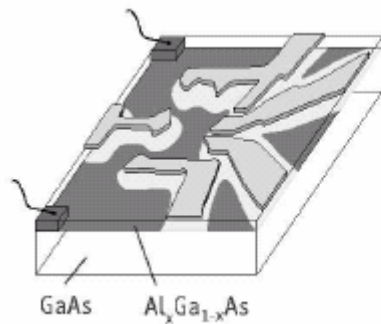
Collaborators:

Y.Avishai (Beer Sheva), Y.Gefen (Weizmann), K.Kikoin (Beer Sheva),
L.W.Molenkamp (Würzburg), R.Oppermann (Würzburg)
J.Richert (Strasbourg), V.Vinokur (Argonne), M.Wegewijs (Aachen)

PhD students: H.Feldmann, M.Bechmann (Würzburg)

Support: AvH & SFB-410 @ WU, SFB-630 @ LMU, LSF @ WIS, DOE @ ANL

Quantum dots: from simple to complex

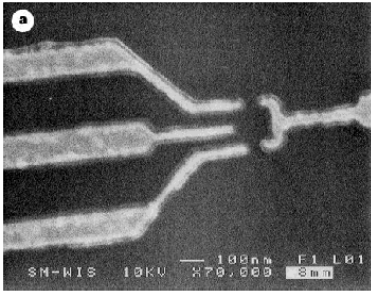


D.Goldhaber-Gordon et al (1998)

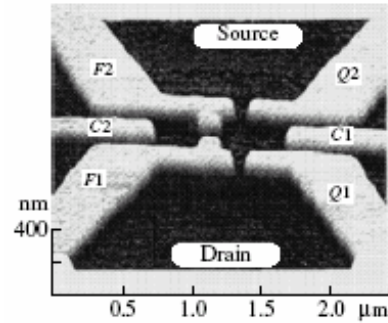
L.W.Molenkamp et al (1995)

C.Marcus et al (2003)

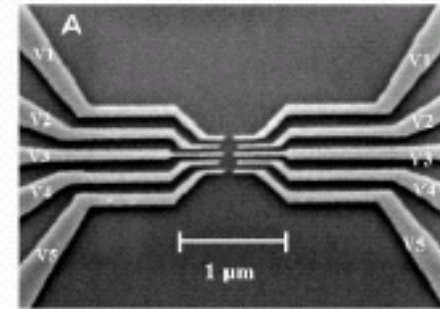
Coupled Quantum Dots



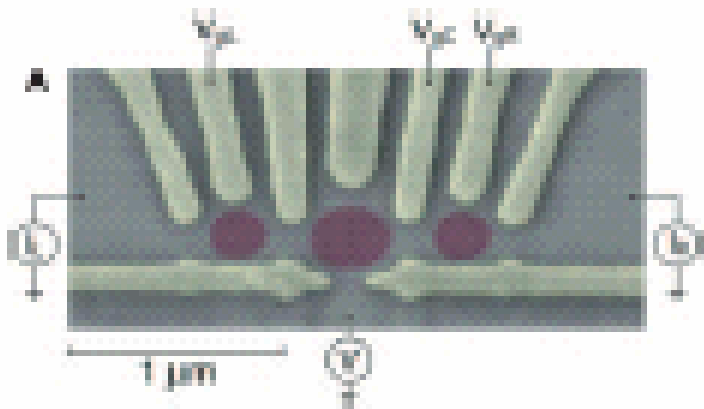
Single QD



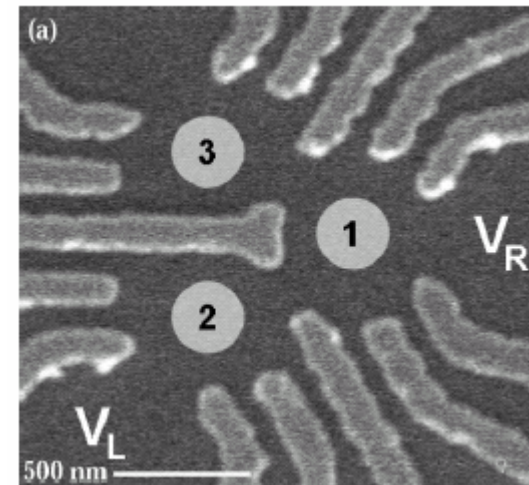
Double parallel QD



Double serial QD

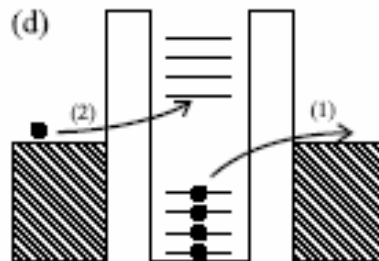
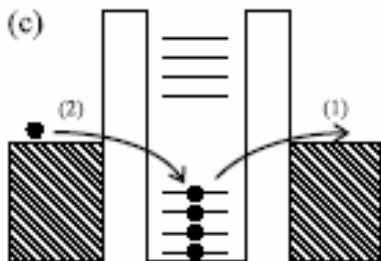
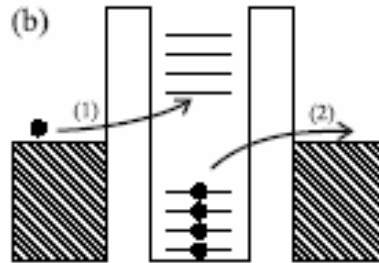
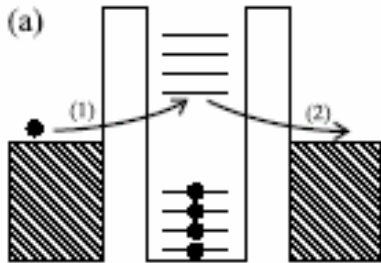
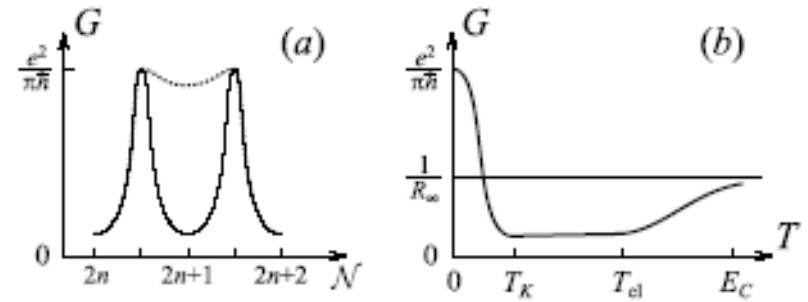
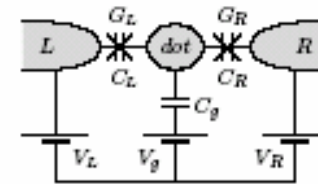
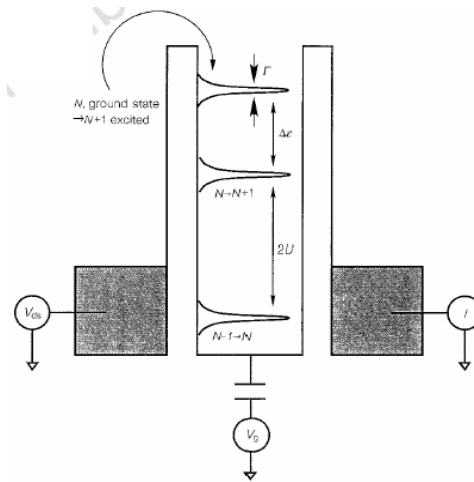
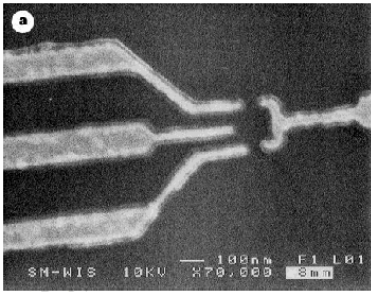


Triple serial QD



Triangular QD

Quantum Dots with few electrons

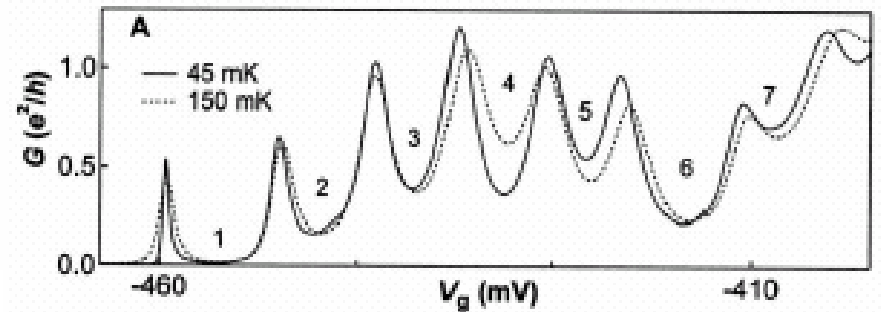


Electron-like
co-tunneling

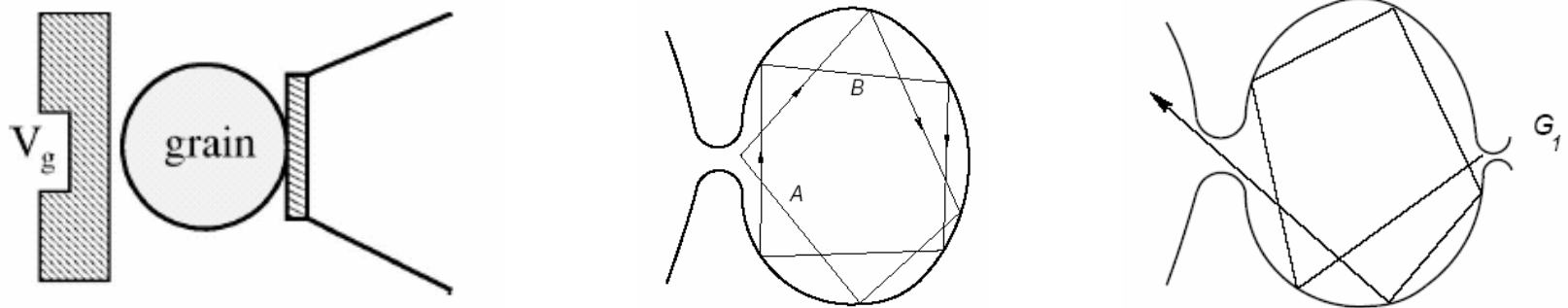
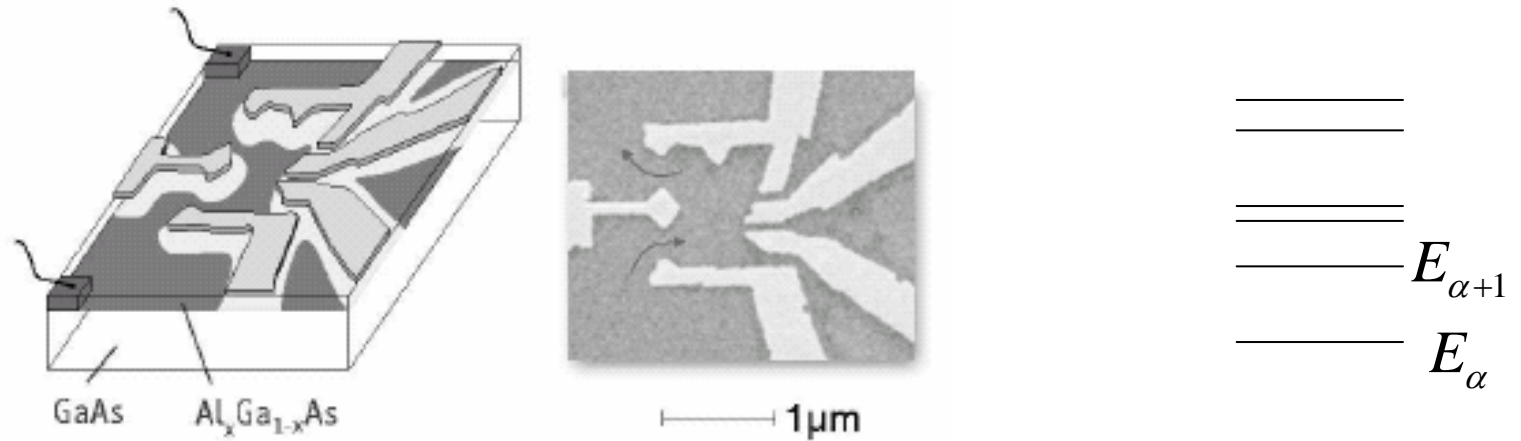
Hole-like

Elastic

Inelastic

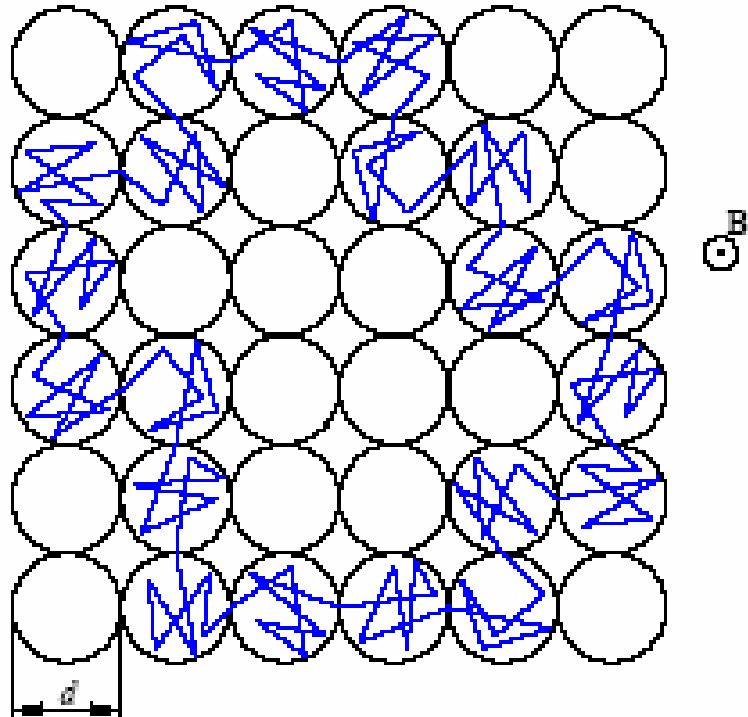


Quantum Dots with many electrons

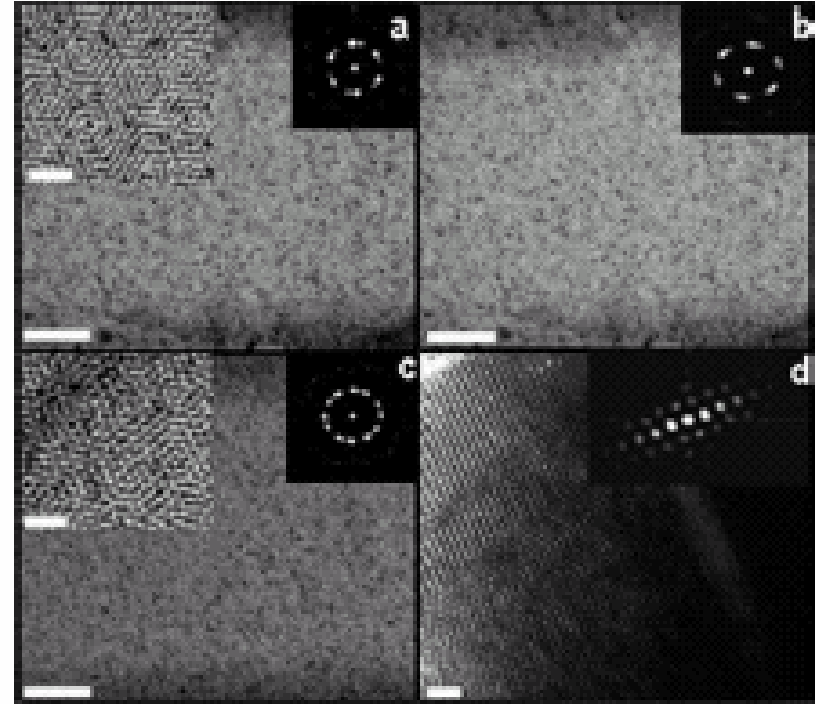


Spin blockade or Spin anti-blockade?

From Quantum Dots to Nano-Crystals

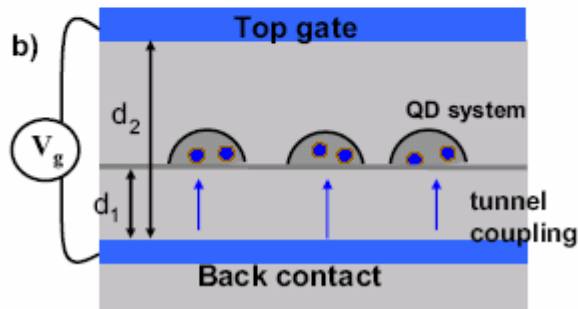
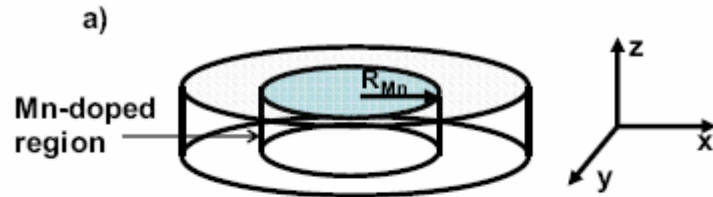


$$d \approx 6nm$$

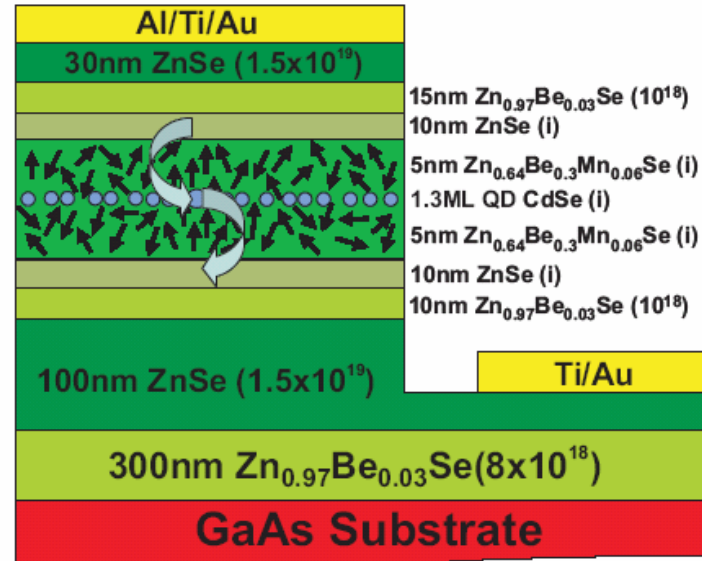
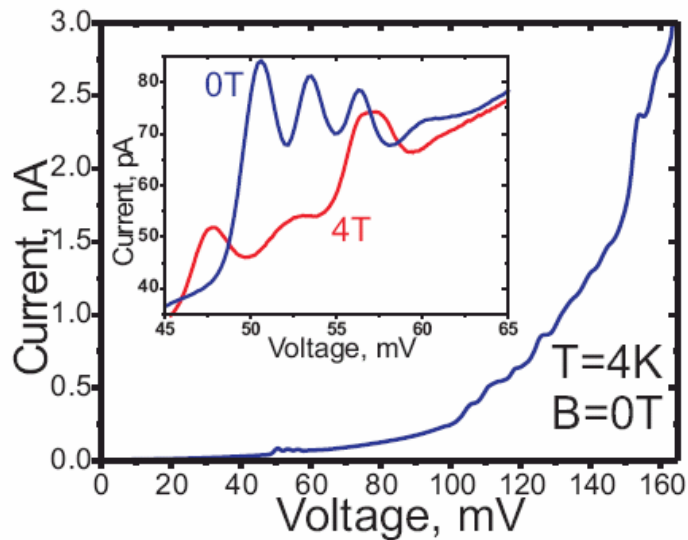


Material: Au in Si_3N_4 substrate

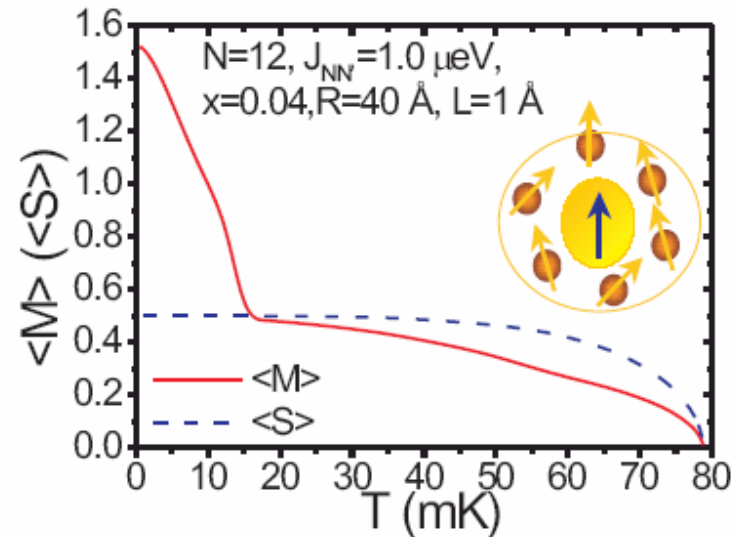
Self-assembled quantum dots



Govorov (2005)



Molenkamp et al (2005)



Magnetic correlations in Quantum Dots

Kondo effect in Quantum Dots

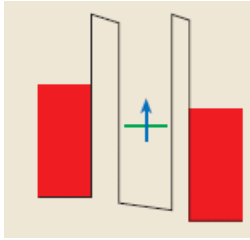
Magnetic correlations between dots

Magnetic instability in isolated dot

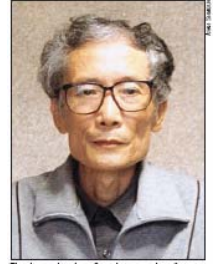
Magnetism in quantum dot arrays

Quantum criticality in granular media

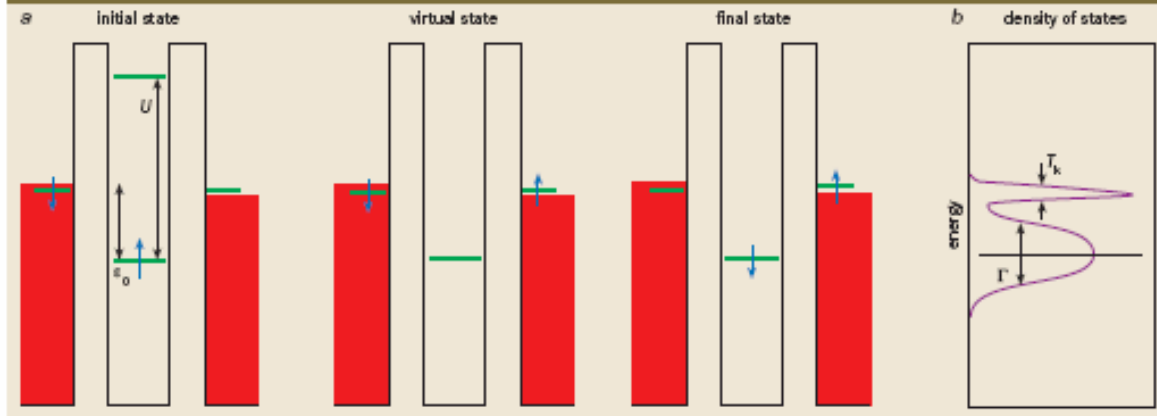
From Quantum dots to Quantum Spin Chains



Kondo Effect in Quantum Dots

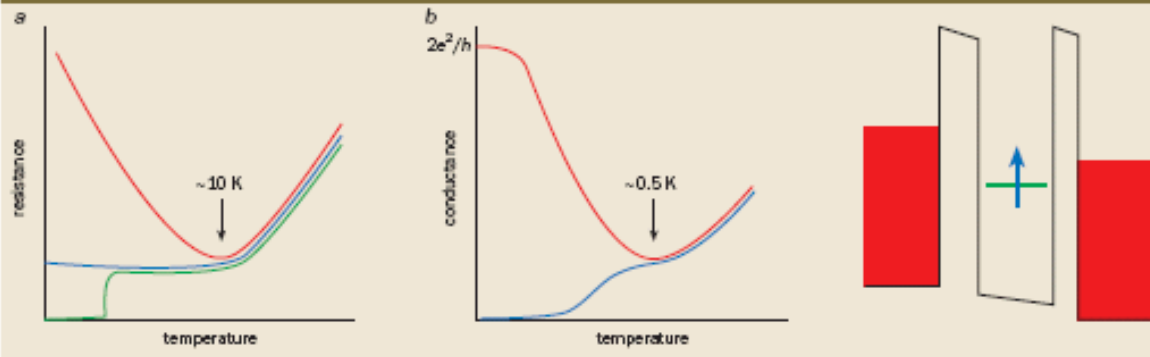


2 Spin flips

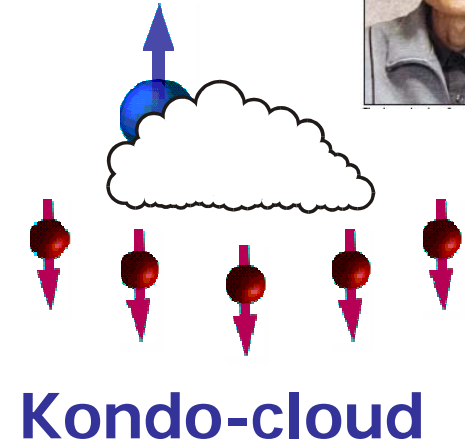


$$G / G_0 \propto \ln^{-2} (T / T_K)$$

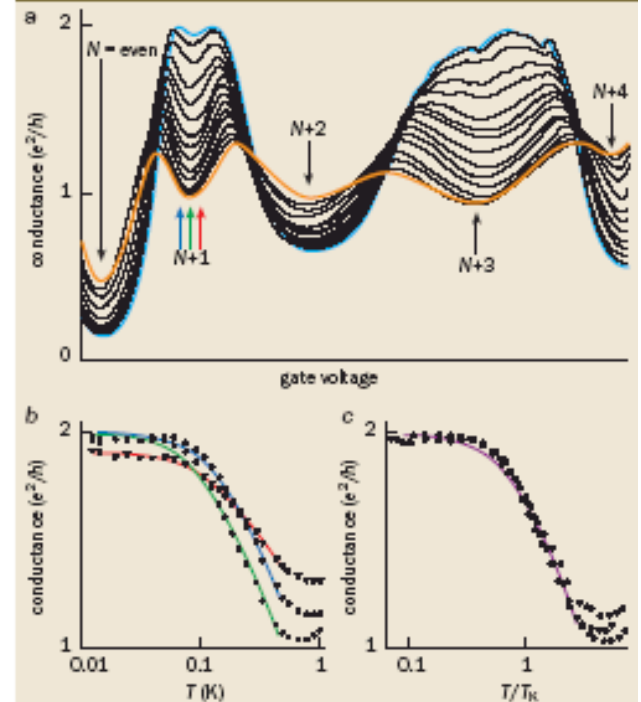
1 The Kondo effect in metals and in quantum dots

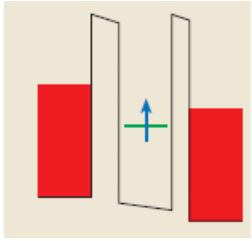


L.Kouwenhoven and L.Glazman (2001)

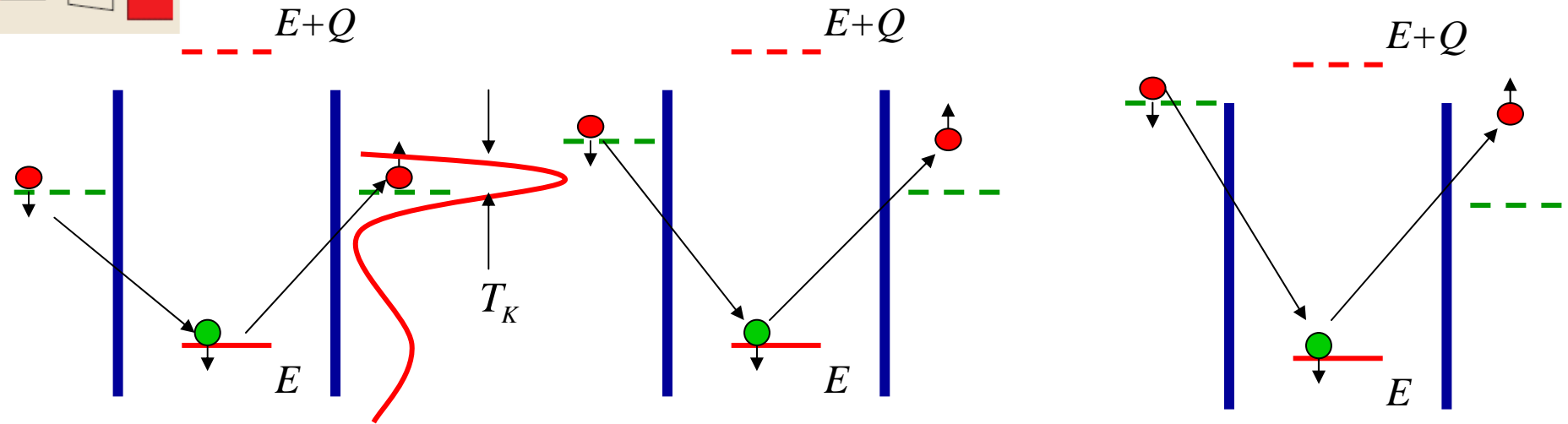
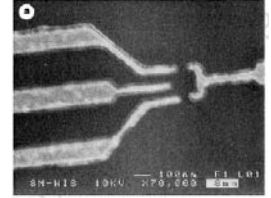


5 Universal scaling





Non-equilibrium Kondo effect



Zero-bias (equilibrium)

Small bias
(quasi-equilibrium)

Large bias
(out of equilibrium)

$$T_K$$

$$eV \ll T_K$$

$$eV \gg T_K$$

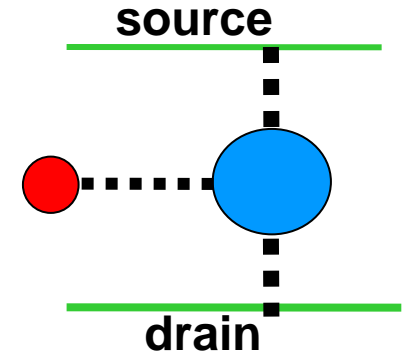
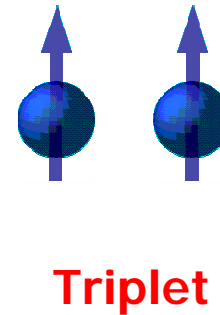
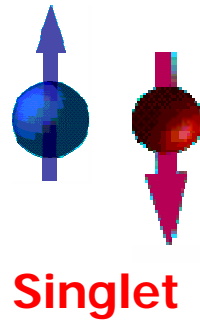
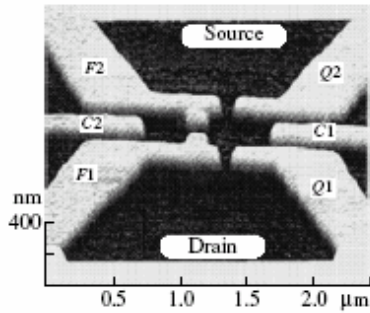
Effects of decoherence

$$\Gamma_{rel} \sim eV$$

$$\Gamma_{rel} \sim eV / \ln^2(eV / T_K)$$

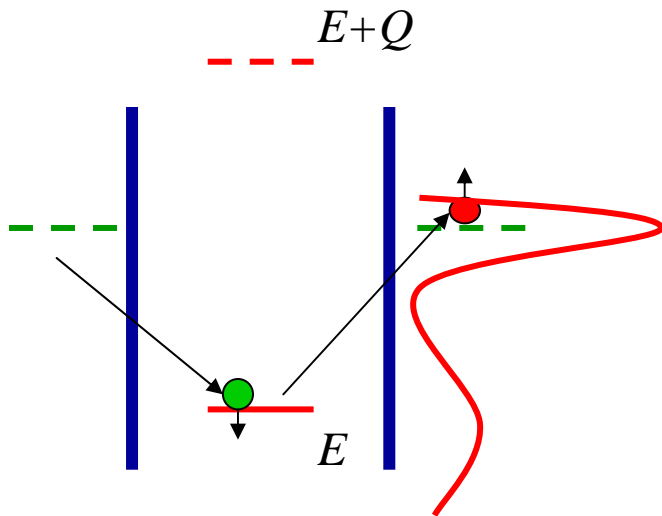
There is no strong coupling (Kondo) regime at low T in out of equilibrium

From Single Quantum Dot to Double Quantum Dot

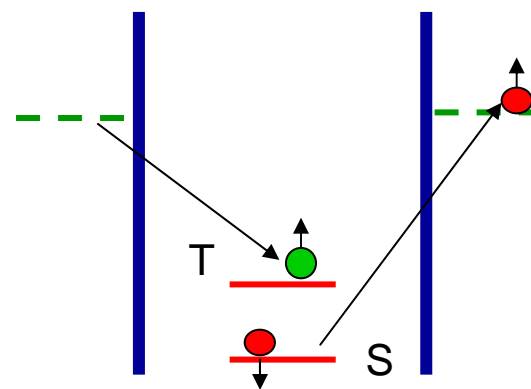


- Kondo co-tunneling through QD: $N=1$

- Kondo co-tunneling through DQD: $N=2$



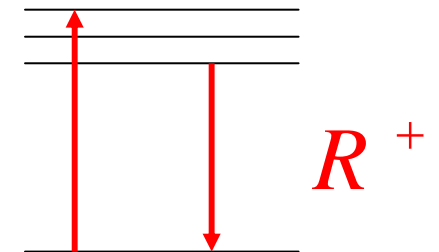
Kondo Hamiltonian
 $H = J (S s)$
 $S = 1/2$



Generalized Kondo Hamiltonian
 $H = J_1 (S s) + J_2 (R s)$
 $S = 1$ (triplet) plus $S = 0$ (singlet)



$$\vec{S} = \vec{s}_1 + \vec{s}_2$$

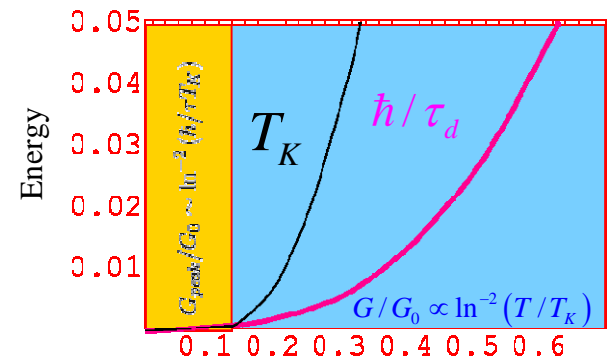
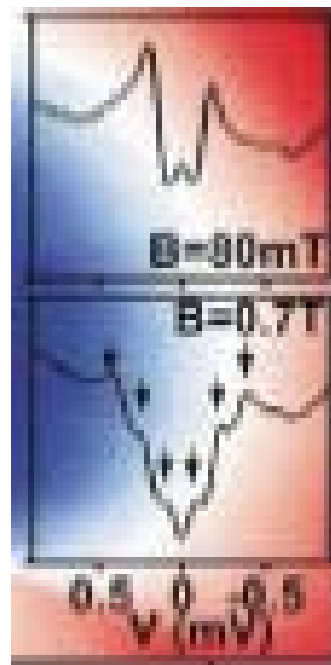
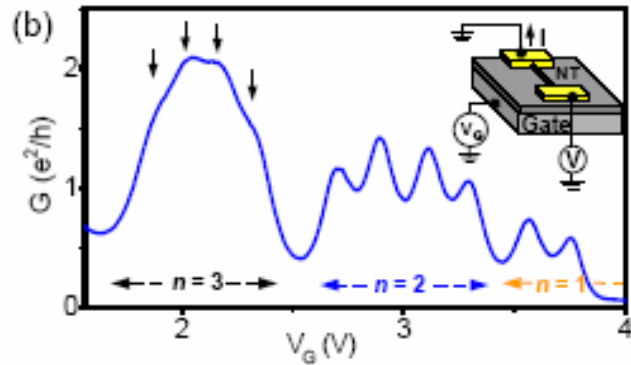
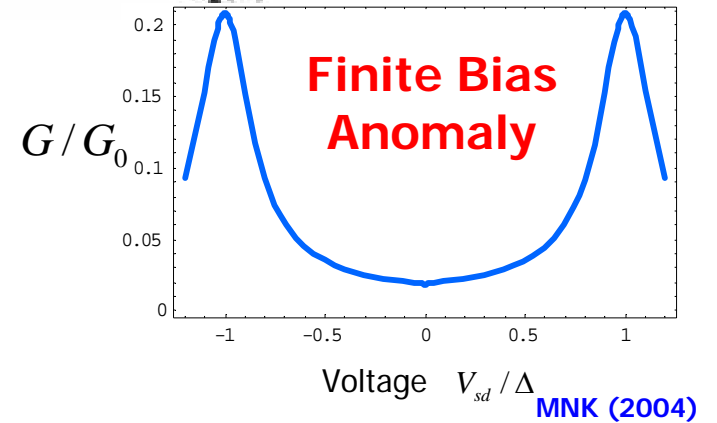
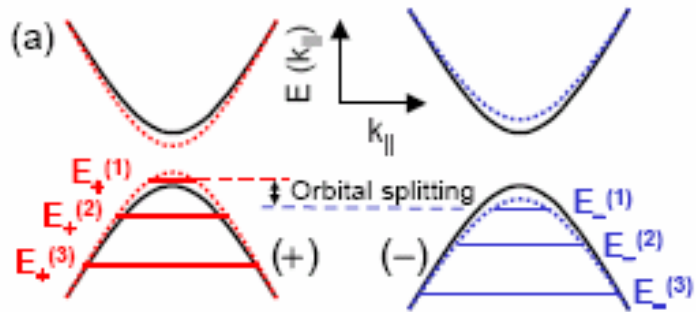
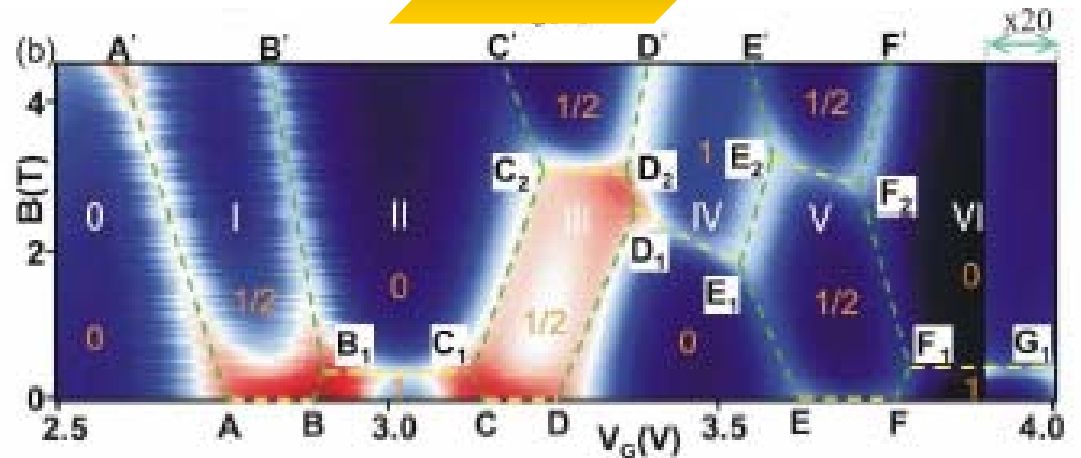
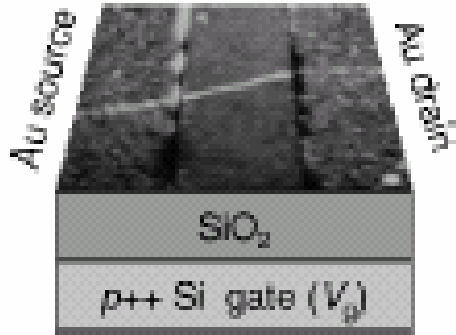


$$\vec{R} = \vec{s}_1 - \vec{s}_2$$

Non-universal Kondo temperature

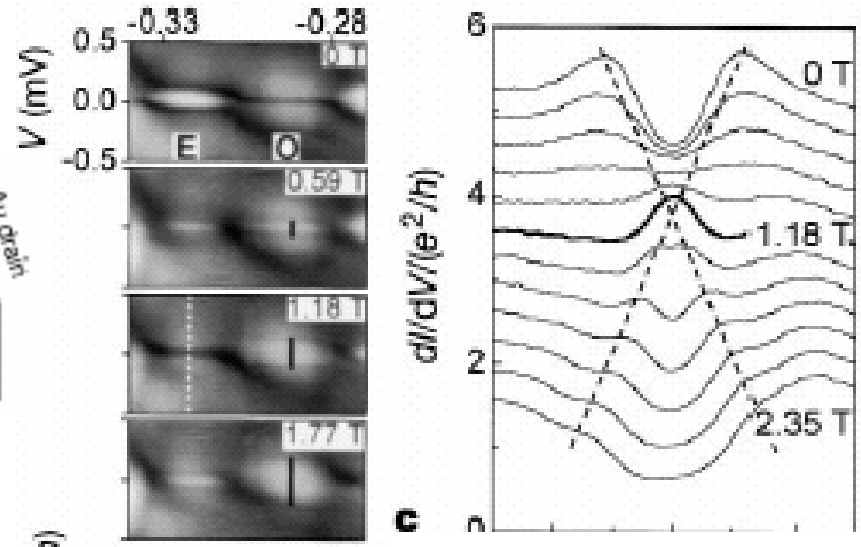
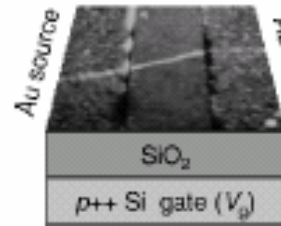
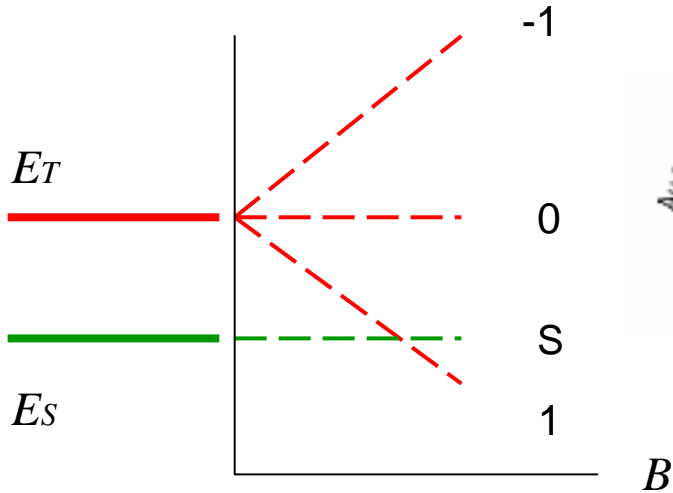
$$\Delta_{TS} \sim T_K(\Delta_{TS})$$

Experiment in carbon nanotubes

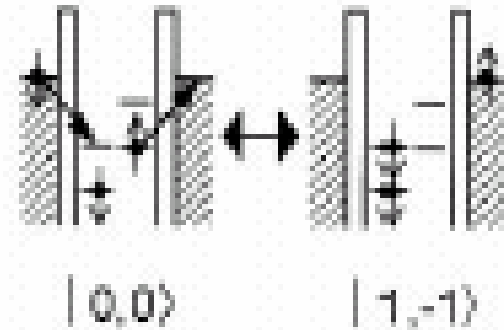


S/T transition: Magnetic field induced Kondo effect

Symmetry reduction from SO(4) to SU(2)



$$H_{Kondo} = J (\vec{R} \cdot \vec{s})$$



Kondo effect due to dynamical symmetry of DQD

M. Pustilnik, Y. Avishai & K.Kikoin (2000)

D. Kobden et al (2000)

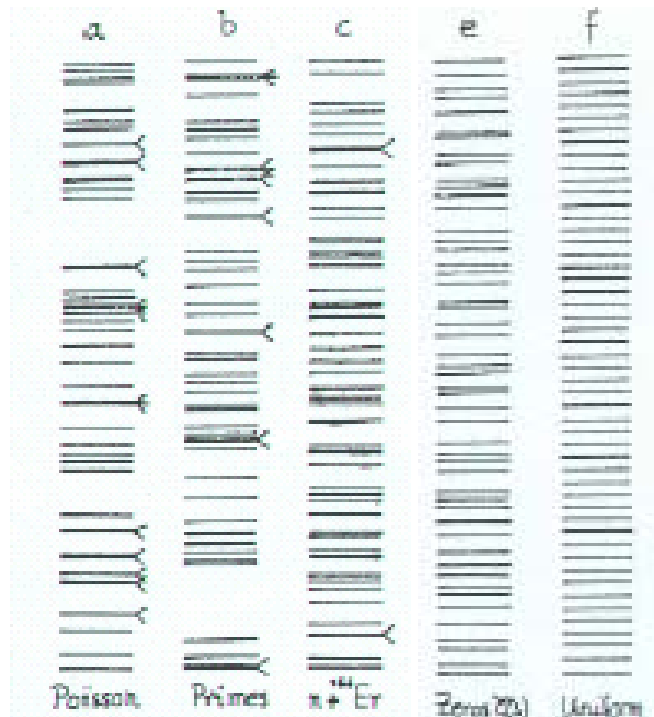
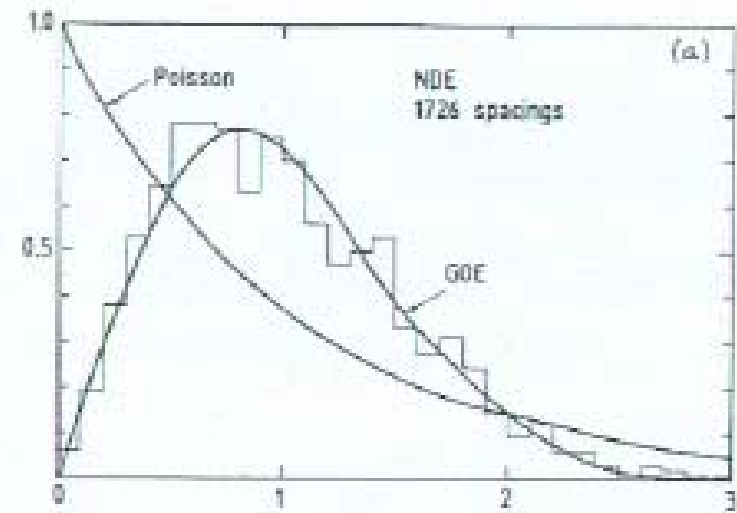
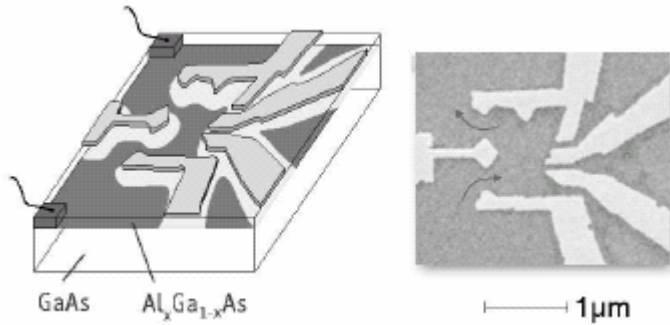
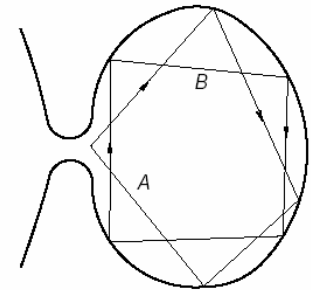
Messages

Kondo tunneling in QD with few electrons is more rich effect than Kondo scattering in metals

The effects of dynamical symmetries are directly observable in transport experiments

The magnetic (RKKY) correlations between dots is a controllable parameter

Quantum Dots as artificial atoms



GOE GUE

$$P(\{E_\mu\}) \propto \exp\left(\frac{\beta}{2} \sum_{\nu \neq \mu} \ln \left[\frac{|E_\mu - E_\nu|}{\delta} \right]\right)$$

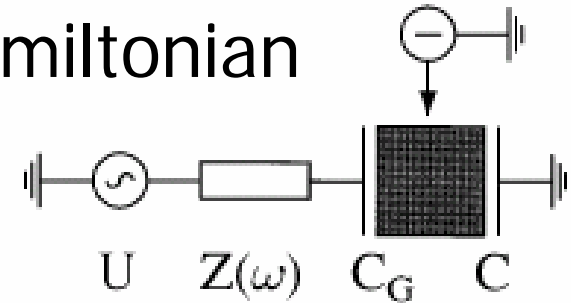
$\beta = 1$ Orthogonal (GOE)

$\beta = 2$ Unitary (GUE)

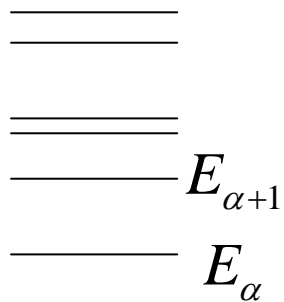
$\beta = 4$ Symplectic (GSE)

Metallic Quantum Dot: Universal Hamiltonian

Zero-mode interaction



Electron-electron interactions in isolated metallic grains



Mean-level spacing $\Delta = \langle E_{\alpha+1} - E_{\alpha} \rangle$ (kinetic energy)

Thouless energy $E_T \sim D \cdot L^2$ diffusive regime

$E_T \sim v_F L^{-1}$ ballistic regime

$g = E_T / \Delta \gg 1$ **metallic grain**

GUE

$$H_{\text{int}} = E_c (\hat{n} - N)^2 - J (\vec{S})^2 - \lambda_{\text{BCS}} \hat{T}^+ \hat{T}$$

charge

spin

superconducting

$$E_c = \frac{e^2}{2C}$$

Short-range interaction

$$E_c \sim |J| \sim \Delta$$

Coulomb blockade

Scaling:

Coulomb interaction

$$E_c = r_s (k_F L)^{d-1} \Delta \gg |J|$$

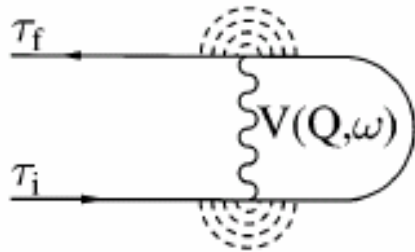
Kurland, Aleiner, Altshuler (2000)
Aleiner, Brouwer, Glazman (2002)

What is a zero-mode interaction?

Electron-electron interaction

$$H_{\text{int}} = \frac{1}{2} \sum_{\mathbf{Q}} V(\mathbf{Q}) \rho(\mathbf{Q}) \rho(-\mathbf{Q})$$

TDoS $\delta\nu(\epsilon) = -\frac{\nu_0}{\pi} T \text{Im} \sum_{\omega_n > \epsilon_m} \sum_{\mathbf{Q}} \frac{2\pi i V(\mathbf{Q}, \omega_n)}{(DQ^2 + |\omega_n| + \gamma_{in})^2} |i\epsilon_m \rightarrow \epsilon + i\delta$



$$V(\mathbf{Q}, \omega_n) = \frac{V_0(\mathbf{Q})}{1 + V_0(\mathbf{Q}) \Pi(\mathbf{Q}, \omega_n)}$$

Bare Coulomb Interaction
 Screened Coulomb interaction
 Polarization Operator

$$\vec{Q} = \frac{2\pi}{L} \vec{n}$$

$$\Pi(\mathbf{Q}, \omega_n) = \nu_0 \frac{DQ^2}{DQ^2 + |\omega_n|}$$

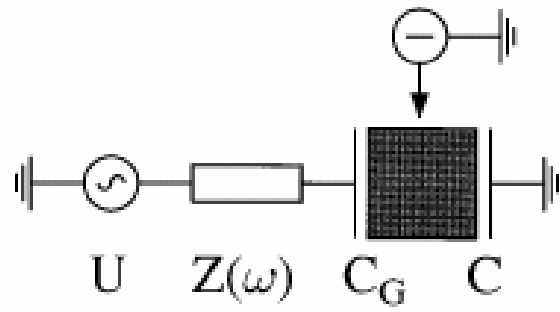
Q=0 contribution

$$H_{\text{int}} = \frac{1}{2} V(0) [\hat{n} - N]^2 \quad V(0) = \frac{e^2}{C}$$

Nazarov (1989)
 Levitov, Shitov (1996)
 Kamenev, Gefen (1996)

Zero-mode interaction requires
 a non-perturbative treatment at low temperatures!

Zero-bias anomaly in zero-dimensional systems



$$H_{\text{int}} = E_c (\hat{n} - N)^2$$

Hubbard Interaction

“Orthodox” theory of the Coulomb Blockade

- R.I. Shekhter (1974)
- Ben-Jacob, Gefen (1985)
- Mullen, Gefen, Ben-Jacob (1988)
- Averin, Likharev (1991)

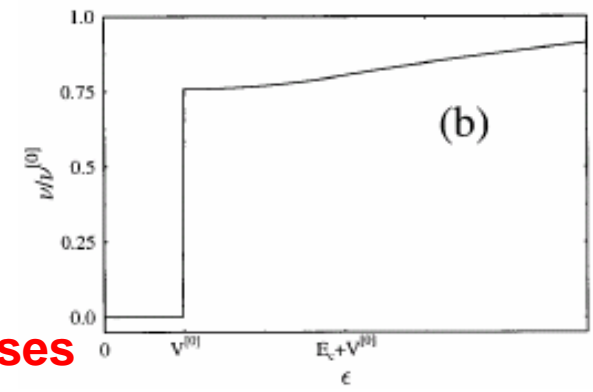
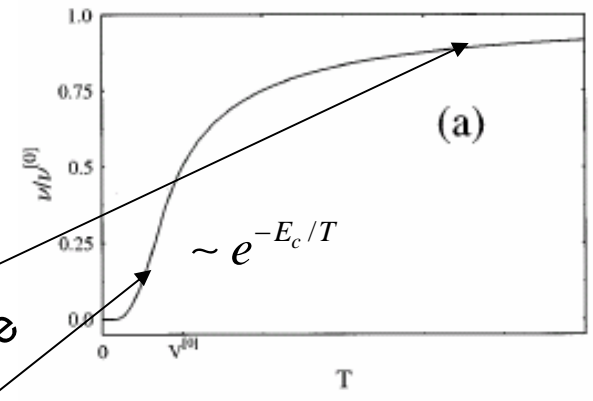
ZBA

perturbative

non-perturbative

$$\nu(\epsilon) / \nu^{[0]}(\epsilon) = 1 - \frac{V}{4T} \text{sech}^2 \left(\frac{\epsilon}{2T} \right)$$

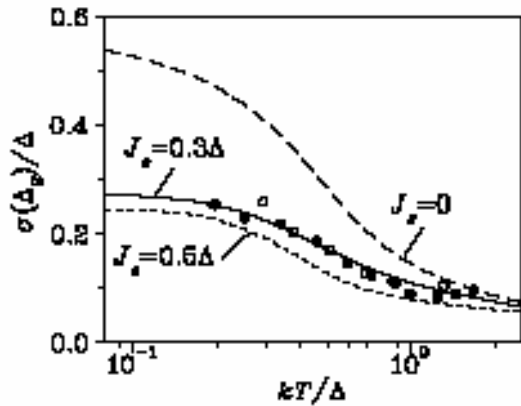
$$\nu(\epsilon) / \nu^{[0]}(0) = \cosh \left(\frac{\epsilon}{T} \right) \exp \left(-\frac{E_c}{T} \right)$$



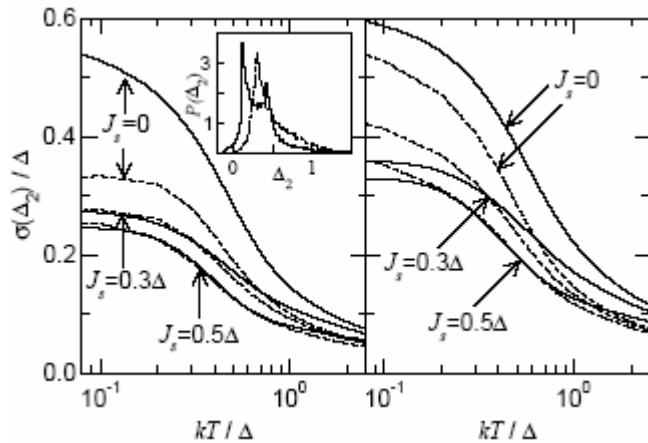
ZBA and Coulomb blockade are two limiting cases of the same theory

Spin Exchange. Master Equation (classical) Approach

$$H_{\text{int}} = E_c (\hat{n} - N)^2 - J(\vec{S})^2$$

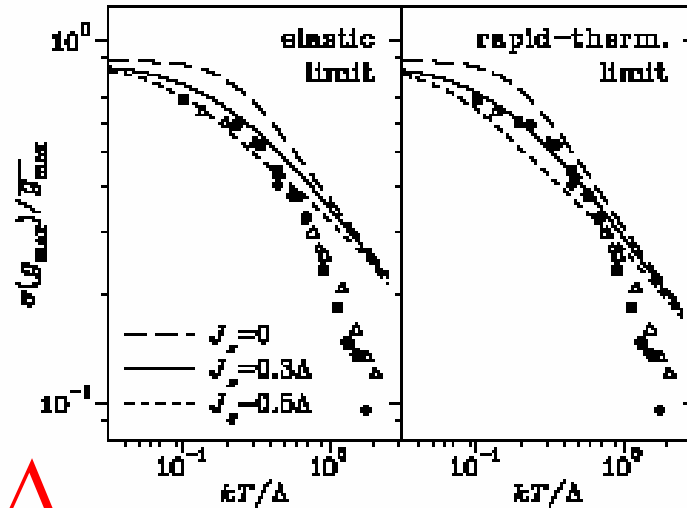


The width of peak-spacing distribution

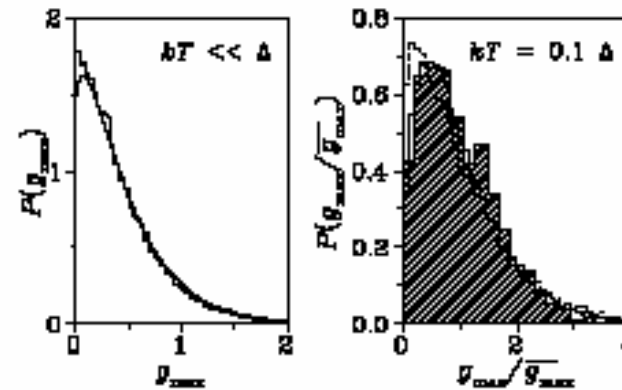


The width of peak-spacing distribution in the presence and absence of the orbital magnetic field.

$$J \geq 0.5\Delta$$



The ratio between standard deviation and the average value of peak height



Peak-height distributions

Charge and Spin Interactions

Hamiltonian

$$H = \sum_{\alpha, \sigma} \varepsilon_{\alpha} \Psi_{\alpha, \sigma}^{\dagger} \Psi_{\alpha, \sigma} + H_C + H_S$$

Charge

$$H_C = E_c (\hat{n} - N)^2$$

$$\hat{n} = \sum_{\alpha, \sigma} \Psi_{\alpha\sigma}^{\dagger} \Psi_{\alpha\sigma}$$

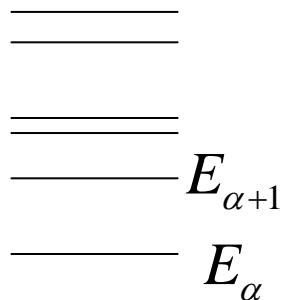
Commutative algebra

Spin

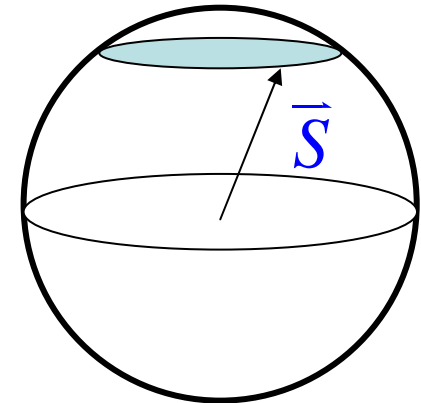
$$H_S = -J(\vec{S})^2$$

$$\hat{S} = \sum_{\alpha, \sigma, \sigma'} \Psi_{\alpha\sigma}^{\dagger} \vec{\sigma}_{\sigma\sigma'} \Psi_{\alpha\sigma'}$$

$$[S_j, S_k] = i\epsilon_{jkl} S_l$$

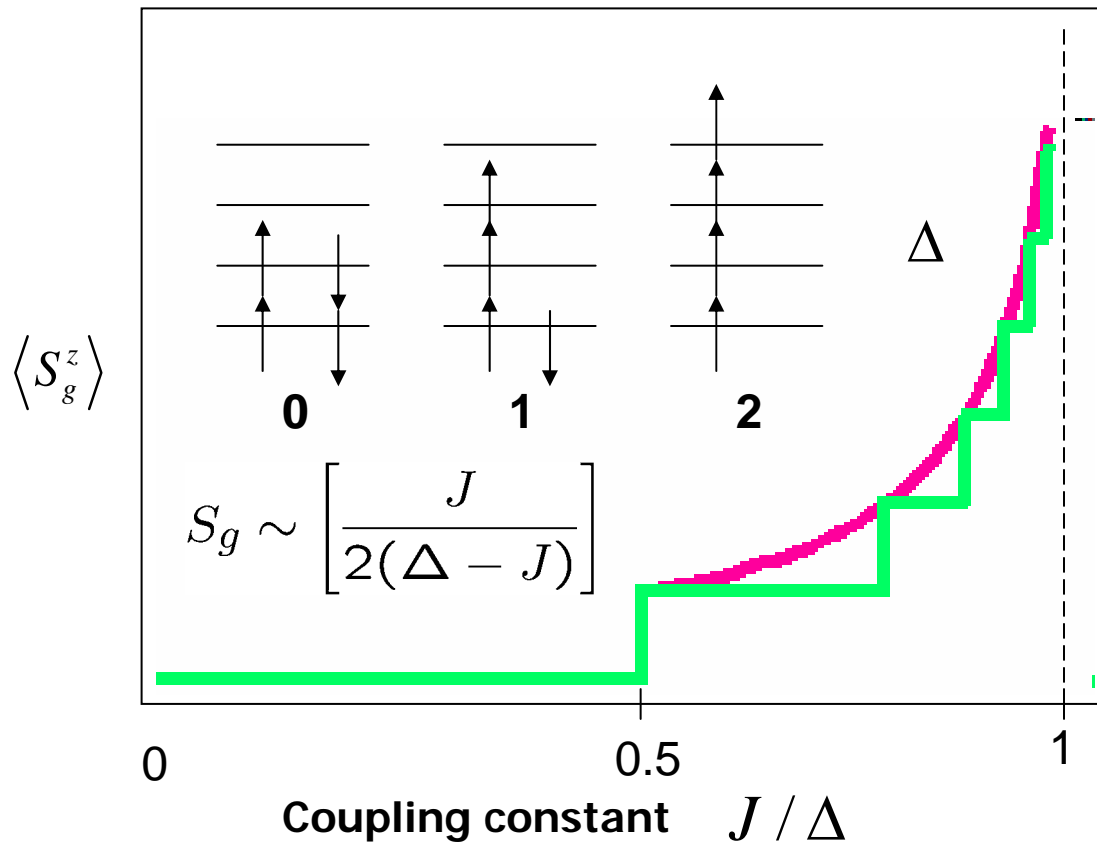


Non-commutative algebra



Mesoscopic Stoner Instability

$$H_{\text{int}} = E_c (\hat{n} - N)^2 - J \left[(S^z)^2 + \epsilon \left\{ (S^x)^2 + (S^y)^2 \right\} \right]$$



Isotropic exchange $\epsilon = 1$

$$J_c^{\text{even}} = \Delta/2$$

$$J_c^{\text{odd}} = 2\Delta/3$$

Ising anisotropy $\epsilon = 0$

$$J_c^{\text{even}} = J_c^{\text{odd}} = \Delta$$

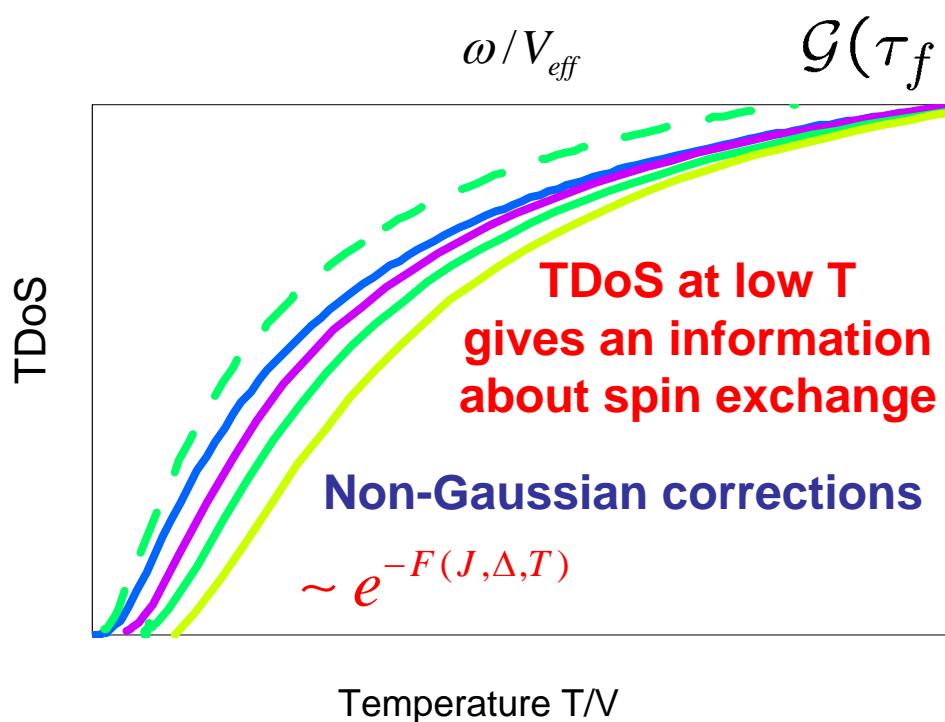
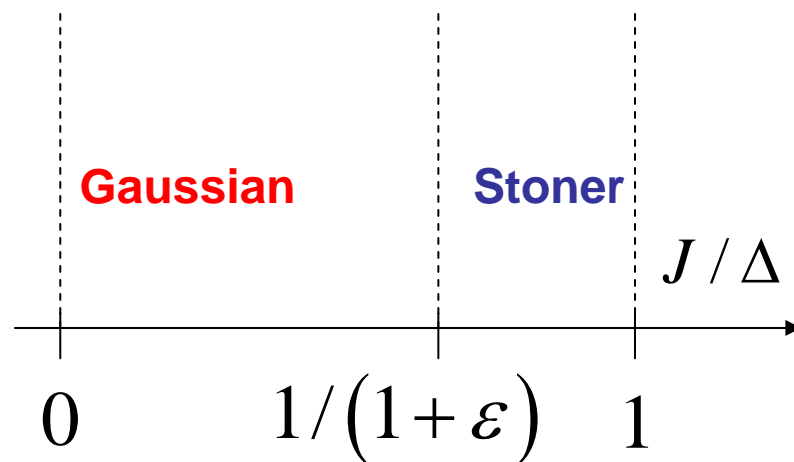
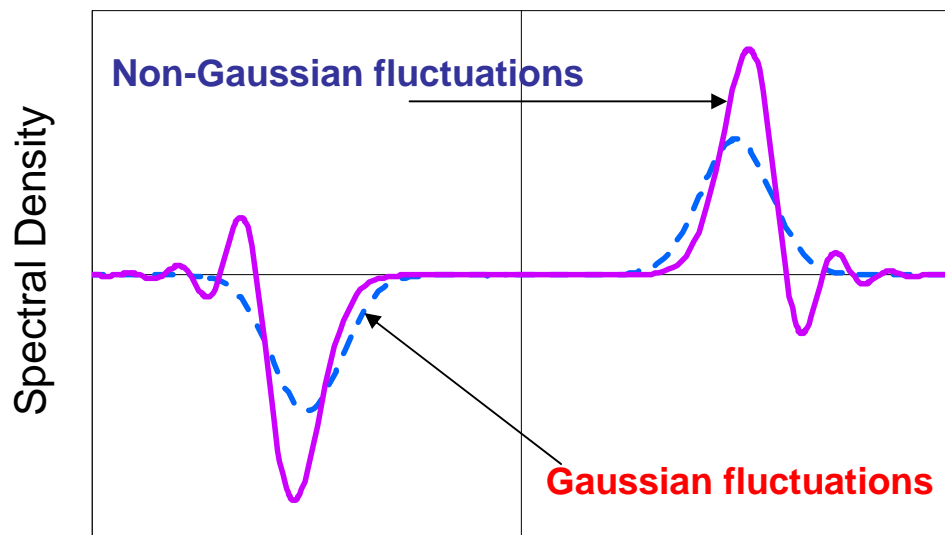
Easy axis anisotropy

$$\epsilon = J_{\perp} / J_{\parallel} < 1$$

$$J_c^{\text{odd}} = \Delta / (1 + \epsilon/2)$$

$$J_c^{\text{even}} = \Delta / (1 + \epsilon)$$

Tunneling Density of States



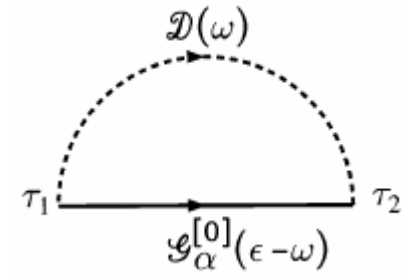
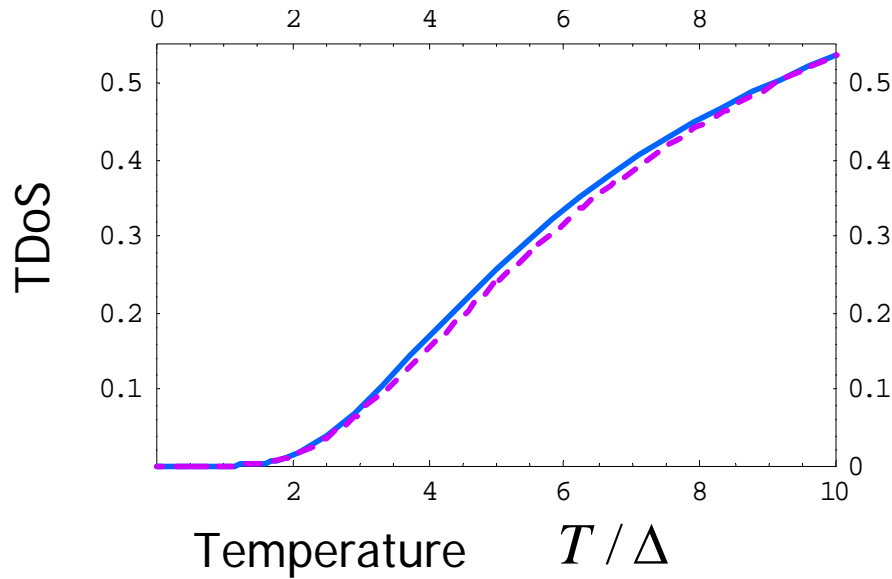
$\mathcal{G}(\tau_f - \tau_i) =$

Zero-mode interaction

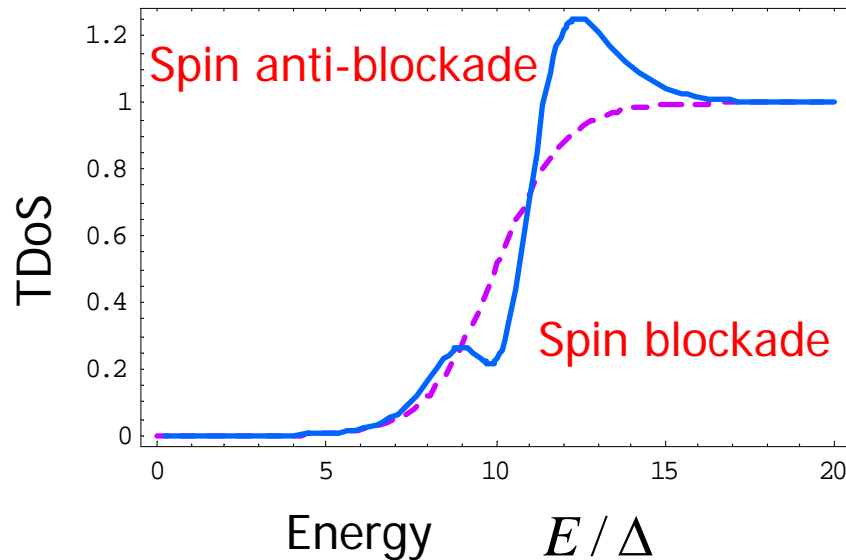
$$\nu(\epsilon) = -\frac{1}{\pi} \cosh\left(\frac{\epsilon}{2T}\right) \int_{-\infty}^{\infty} \mathcal{G}\left(\frac{1}{2T} + it\right) e^{i\epsilon t} dt$$

$$\nu(\epsilon) = -\frac{1}{2} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \left[\tanh\left(\frac{\epsilon - \omega}{2T}\right) + \coth\left(\frac{\omega}{2T}\right) \right] B_{\parallel}(\omega) \nu^{[0]}(\epsilon - \omega)$$

Quantum Dot Spectroscopy $T > \Delta$



Charge gauge factor



Spin gauge factor

Spin channel affects the charge transport

Spin susceptibilities

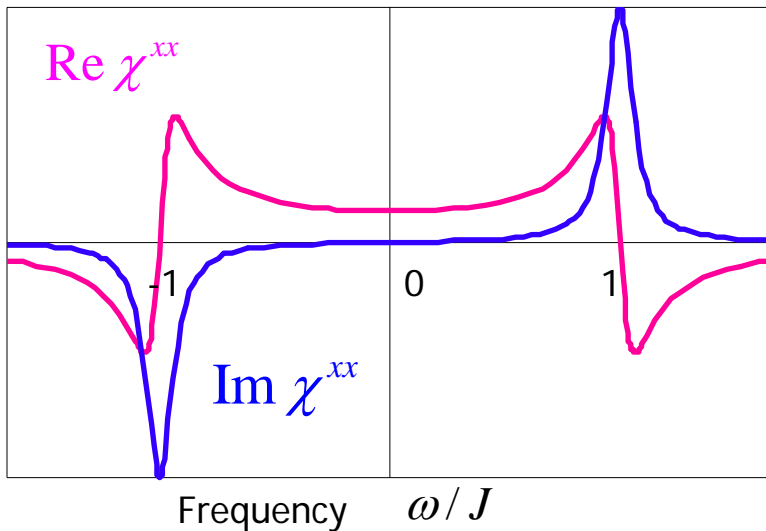
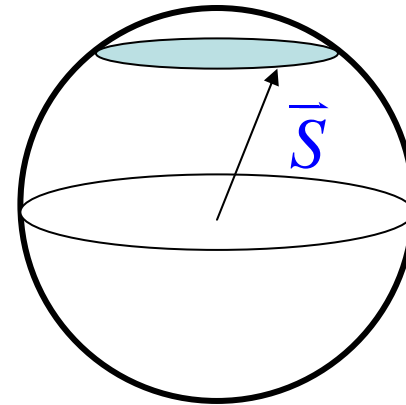
Longitudinal Susceptibility

$$\chi^{zz} = \frac{\chi_0}{1 - J\chi_0} \leftarrow \text{Stoner Instability}$$

Static longitudinal susceptibility diverges at Stoner Instability point

Transverse Susceptibility

$$\partial_t S^\pm = iJ(1 - \varepsilon)[1 - 2S^z]S^\pm$$



Charging energy does not affect spin correlations

Exponentially enhanced!

$$\chi^{xx}(t) = \frac{\chi_0 \varepsilon e^{J/T}}{1 - \varepsilon J \chi_0} e^{i(1-\varepsilon)Jt}$$

Response Functions

Electron Green's Function

Add electron to the dot
(charge + spin)

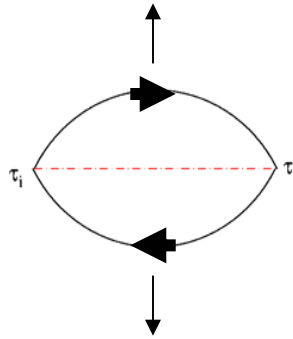


Remove electron from the dot
(charge + spin)

Spin and Charge channels affect transport properties

Spin susceptibility

Charge is conserved,
Spin flips

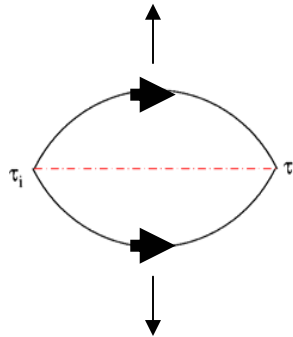


Charge is conserved,
Spin flips

Only Spin channel matters

Superconducting loop

Spin is conserved,
Charge $2e$ is transferred

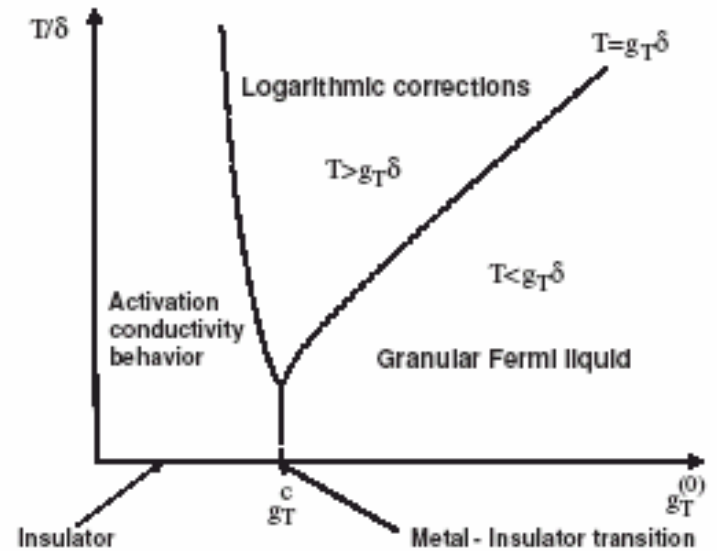
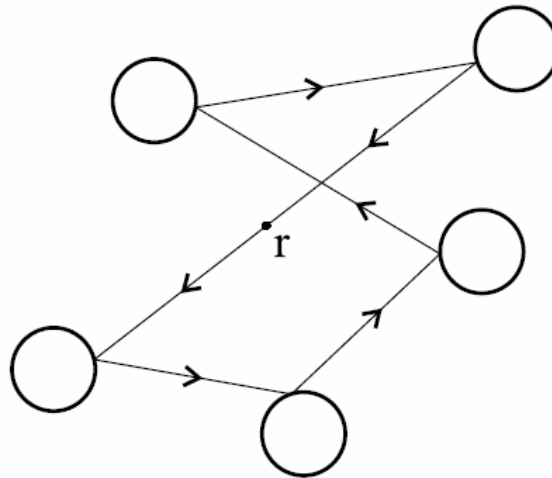
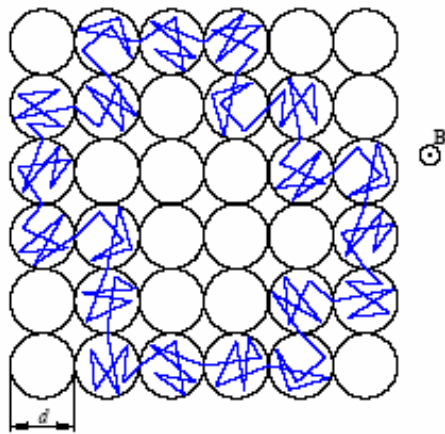


Spin is conserved,
Charge $2e$ is transferred

Only Charge channel matters

Magnetic instability in a system of coupled dots

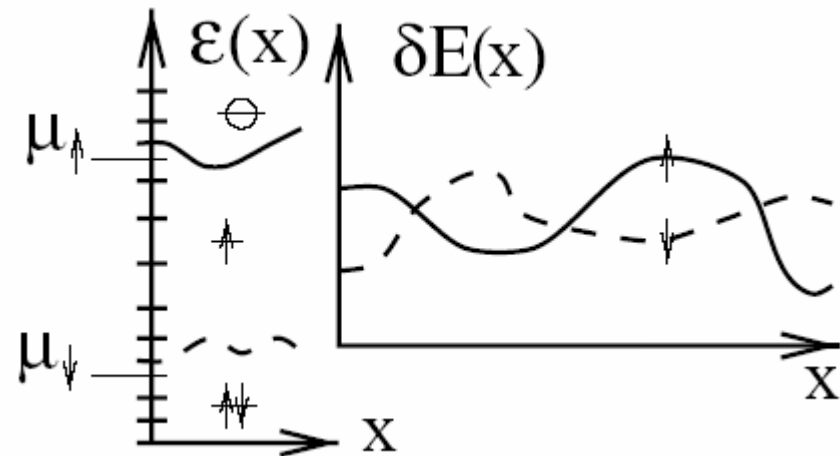
2D arrays



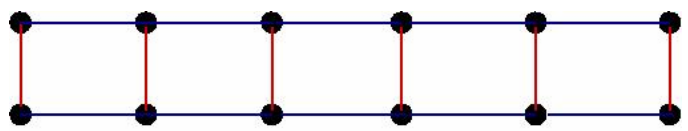
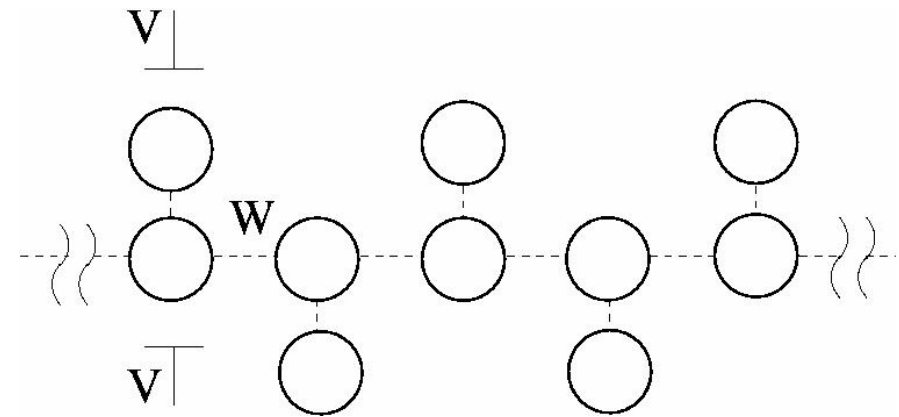
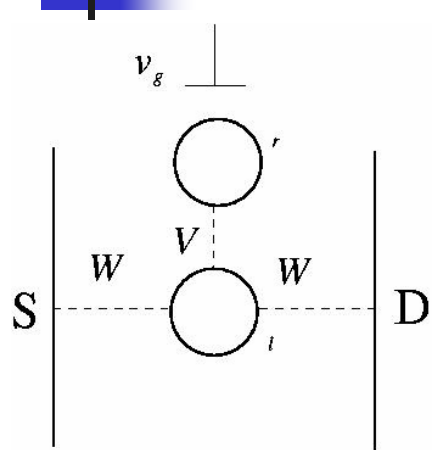
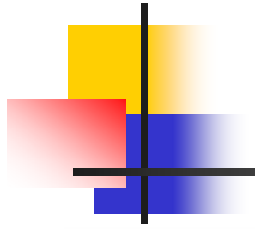
What about spin correlations?

$$\chi_s(T^*) = 2 \left(1 - V(k_F) - \frac{V(k_F)}{\pi^2 g} \ln \frac{\tilde{\epsilon}_F}{T^*} \right)^{-1}$$

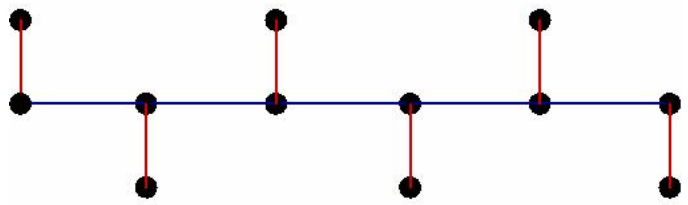
Quantum spin criticality?



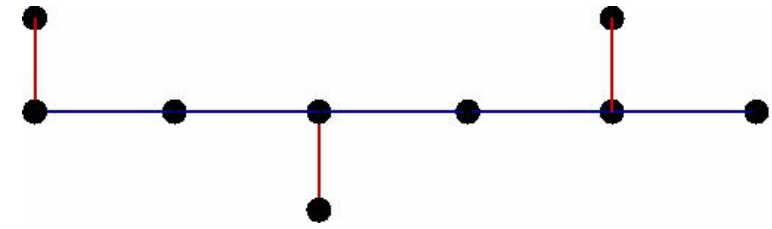
From complex dots to quantum chains



(a)



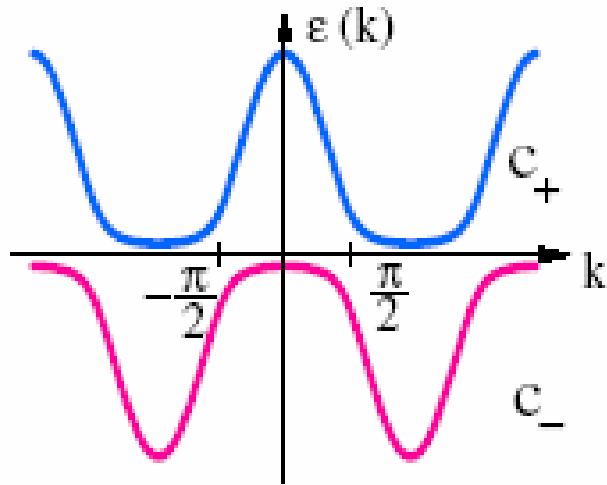
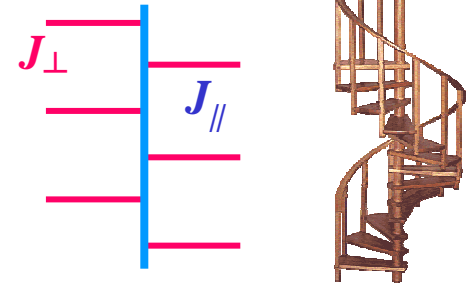
(b)



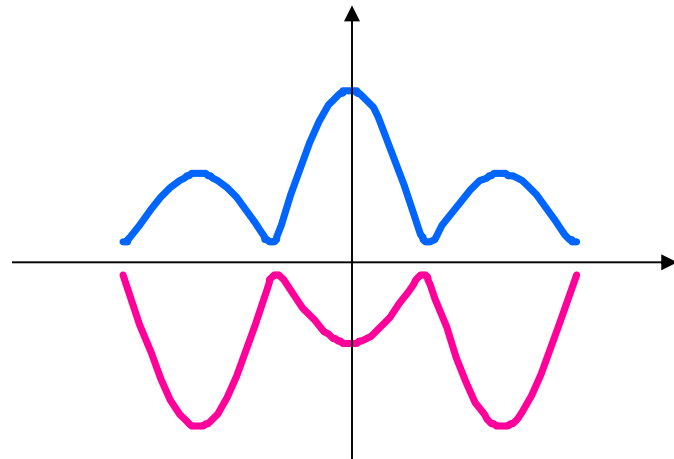
- Haldane Gap
- Charge Density Waves
- Exciton propagation

Haldane Gap in Spiral Staircase Model

Role of dynamical symmetries



$$\theta = \pi$$



$$\theta = \pi / 2$$

- Two Fermi velocities in uncoupled railings
- Two energy scales (gaps) in staircase model
- Two stage renormalization in continuum limit

$$\Delta \sim J_{\parallel} (J_{\perp} / J_{\parallel})^{2/3}$$

Single gap regime

$$\Delta \sim J_{\perp}$$

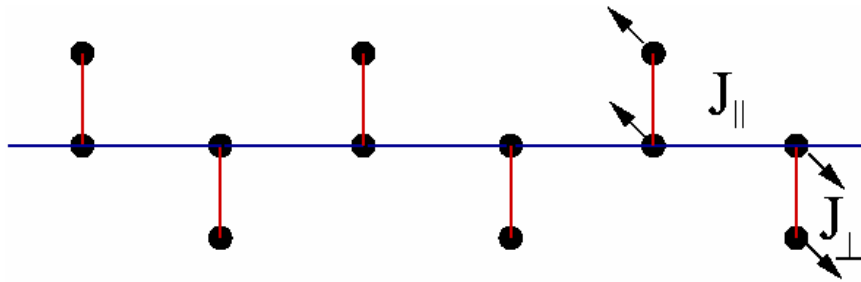
One stage renormalization procedure

Hidden symmetries: Z_2 and $Z_2 \otimes Z_2$

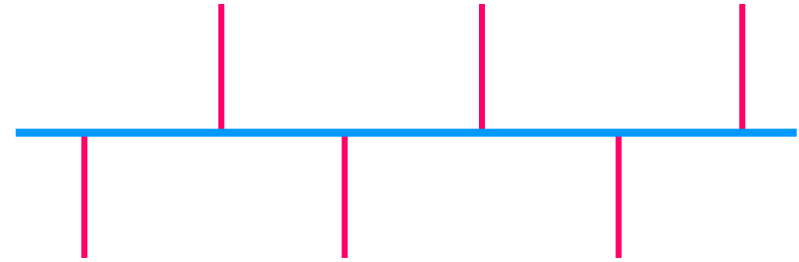
Open questions and perspectives

From “half filling” to “quarter filling”

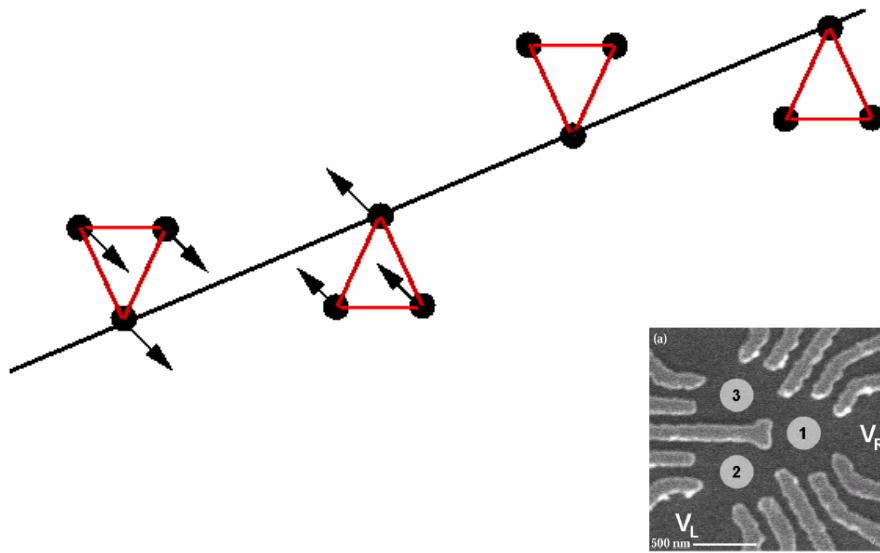
Two electrons per rung $SO(4)$



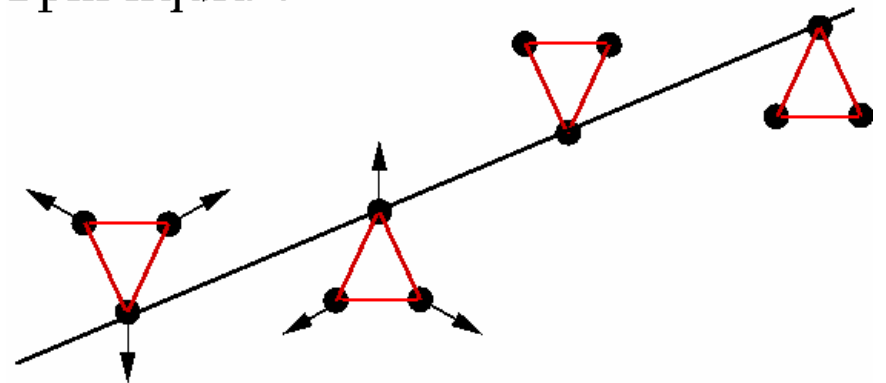
One electron per rung $SU(4)$



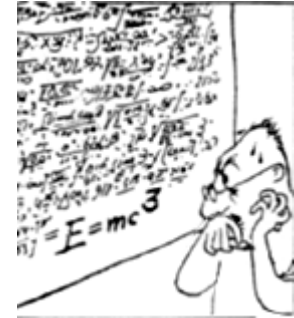
From “double dot” rung to “triple dot” rung



Spin liquid ?



Summary



- Complex quantum dots possess hidden symmetries responsible for several exotic transport properties of these nano-devices
- Magnetic correlations between electrons in a dot result in many interesting effects (Stoner instability, Kondo effect, Non-Fermi-Liquid behavior etc)
- Transport properties of quantum dots is an interesting object both for experimental and theoretical investigations