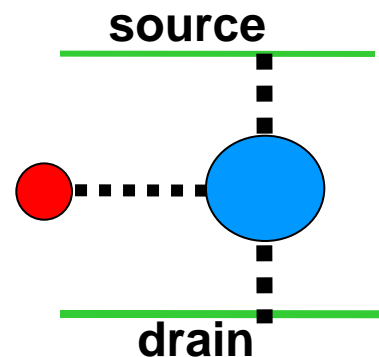
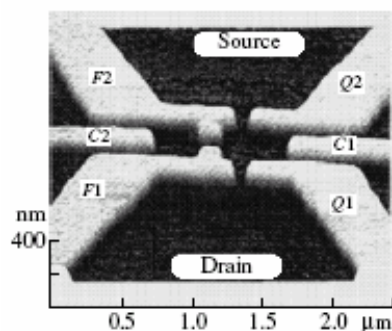
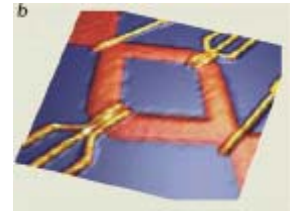


M.N.Kiselev

# Dynamical symmetries in nanophysics (Part II)



# Outline



- Quantum Dots with a few electrons
- Equilibrium and Non-Equilibrium Kondo effect
- Coherence and de-coherence
- Hidden dynamical symmetries
- Quantum dots with many electrons
- Stoner instability in isolated dots
- Perspectives

Ref. MK and R.Oppermann, PRL 2000

MK, K.Kikoin and L.W.Molenkamp JETP Lett 2003

MK, K.Kikoin and L.W.Molenkamp, PRB 2003

MK, AHP 2003

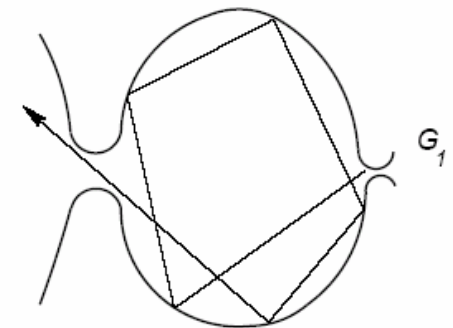
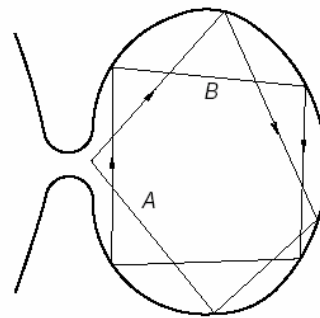
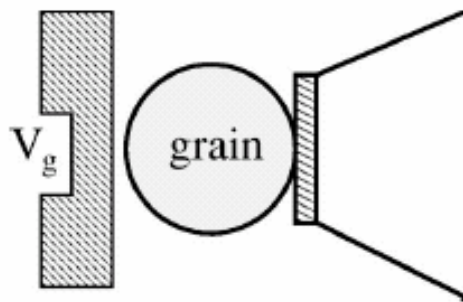
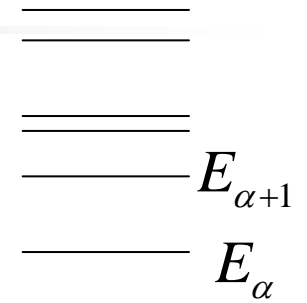
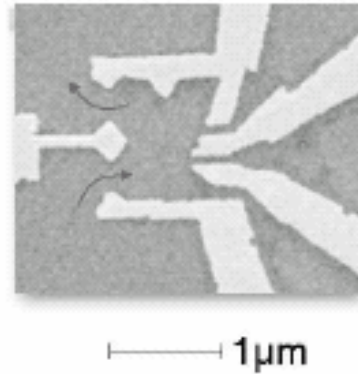
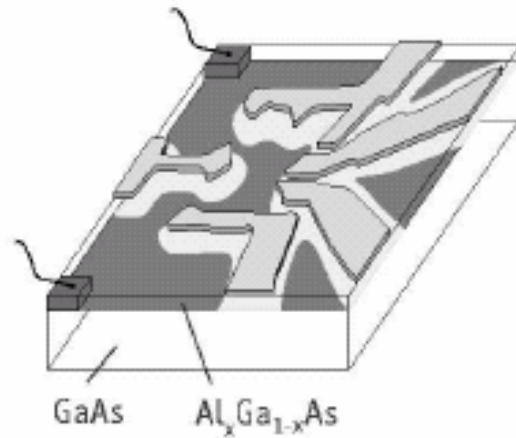
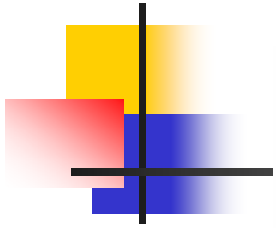
K.Kikoin, MK and Y.Avishai, Kuwler 2004, cond-mat/0309606

Y.Avishai, K.Kikoin and MK, NOVA 2005, cond-mat/0407063

MK, D.N.Aristov and K.Kikoin, PRB 2005

MK, Y.Gefen, cond-mat/0504751

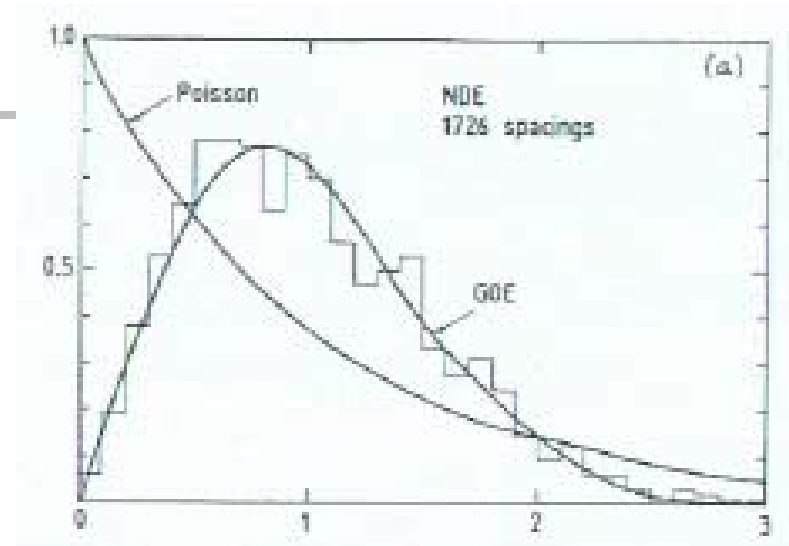
# Quantum Dots with many electrons



Spin blockade or Spin anti-blockade?

# Metallic quantum dots: many-electron system

## Random Matrix Theory



$$P(\{E_\mu\}) \propto \exp\left(\frac{\beta}{2} \sum_{\nu \neq \mu} \ln \left[ \frac{|E_\mu - E_\nu|}{\delta} \right]\right)$$

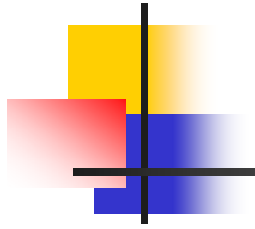
$\beta = 1$  Orthogonal (GOE)

$\beta = 2$  Unitary (GUE)

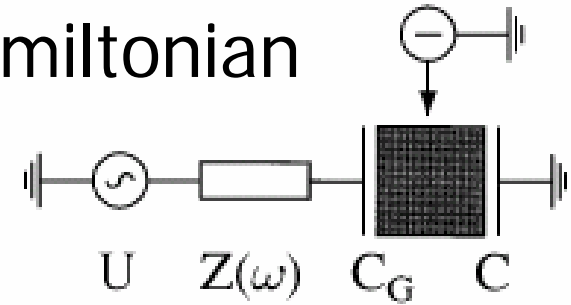
$\beta = 4$  Symplectic (GSE)

## Wigner-Dyson statistics

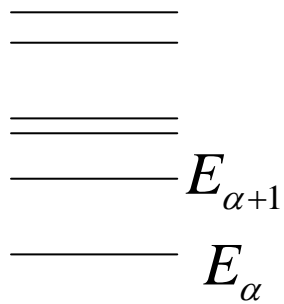
# Metallic Quantum Dot: Universal Hamiltonian



## Zero-mode interaction



## Electron-electron interactions in isolated metallic grains



Mean-level spacing  $\Delta = \langle E_{\alpha+1} - E_{\alpha} \rangle$  (kinetic energy)

Thouless energy  $E_T \sim D \cdot L^{-2}$  diffusive regime

$E_T \sim v_F L^{-1}$  ballistic regime

$g = E_T / \Delta \gg 1$  **metallic grain**

**GUE**

$$H_{\text{int}} = E_c (\hat{n} - N)^2 - J (\vec{S})^2 - \lambda_{\text{BCS}} \hat{T}^+ \hat{T}$$

charge

spin

superconducting

$$E_c = \frac{e^2}{2C}$$

Short-range interaction

$$E_c \sim |J| \sim \Delta$$

## Coulomb blockade

Scaling:

Coulomb interaction

$$E_c = r_s (k_F L)^{d-1} \Delta \gg |J|$$

Kurland, Aleiner, Altshuler (2000)  
Aleiner, Brouwer, Glazman (2002)

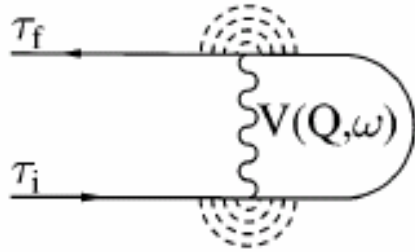
# What is a zero-mode interaction?

**Electron-electron interaction**

$$H_{\text{int}} = \frac{1}{2} \sum_{\mathbf{Q}} V(\mathbf{Q}) \rho(\mathbf{Q}) \rho(-\mathbf{Q})$$

**TDoS**

$$\delta\nu(\epsilon) = -\frac{\nu_0}{\pi} T \text{Im} \sum_{\omega_n > \epsilon_m} \sum_{\mathbf{Q}} \frac{2\pi i V(\mathbf{Q}, \omega_n)}{(DQ^2 + |\omega_n| + \gamma_{in})^2} |i\epsilon_m \rightarrow \epsilon + i\delta$$



$$V(\mathbf{Q}, \omega_n) = \frac{V_0(\mathbf{Q})}{1 + V_0(\mathbf{Q}) \Pi(\mathbf{Q}, \omega_n)}$$

**Bare Coulomb Interaction**  
**Screened Coulomb interaction**  
**Polarization Operator**

$$\vec{Q} = \frac{2\pi}{L} \vec{n}$$

$$\Pi(\mathbf{Q}, \omega_n) = \nu_0 \frac{DQ^2}{DQ^2 + |\omega_n|}$$

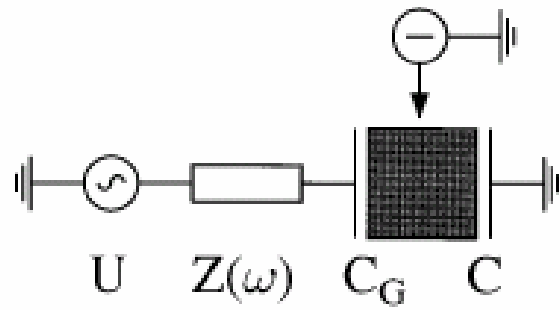
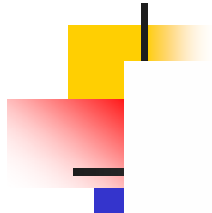
**Q=0 contribution**

$$H_{\text{int}} = \frac{1}{2} V(0) [\hat{n} - N]^2 \quad V(0) = \frac{e^2}{C}$$

Nazarov (1989)  
 Levitov, Shitov (1996)  
 Kamenev, Gefen (1996)

**Zero-mode interaction requires  
 a non-perturbative treatment at low temperatures!**

# Zero-bias anomaly in zero-dimensional systems



$$H_{\text{int}} = E_c (\hat{n} - N)^2$$

**“Orthodox” theory of the Coulomb Blockade**

- R.I. Shekhter (1974)
- Ben-Jacob, Gefen (1985)
- Mullen, Gefen, Ben-Jacob (1988)
- Averin, Likharev (1991)

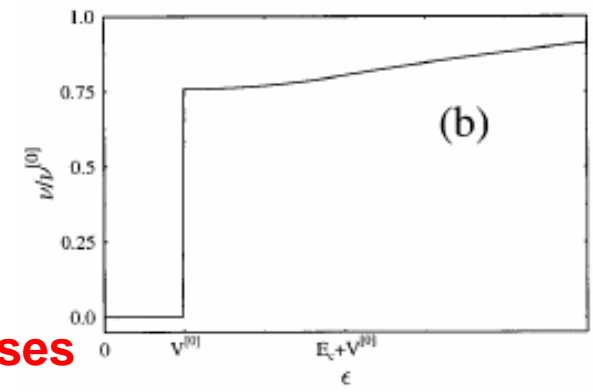
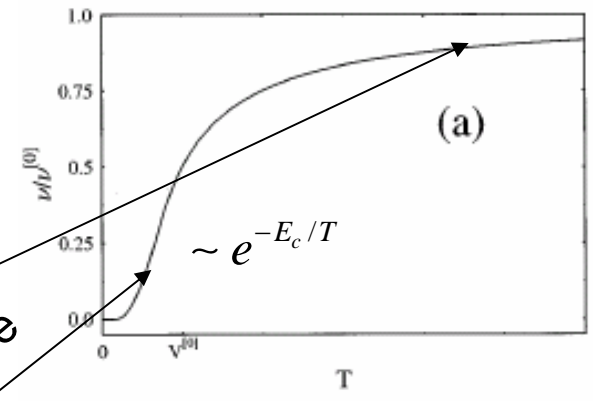
$$\nu(\epsilon) / \nu^{[0]}(\epsilon) = 1 - \frac{V}{4T} \text{sech}^2 \left( \frac{\epsilon}{2T} \right)$$

$$\nu(\epsilon) / \nu^{[0]}(0) = \cosh \left( \frac{\epsilon}{T} \right) \exp \left( -\frac{E_c}{T} \right)$$

ZBA

perturbative

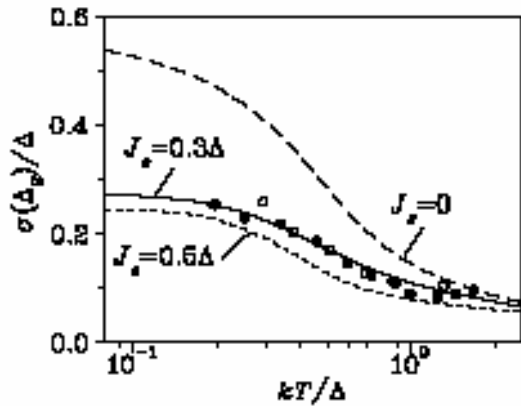
non-perturbative



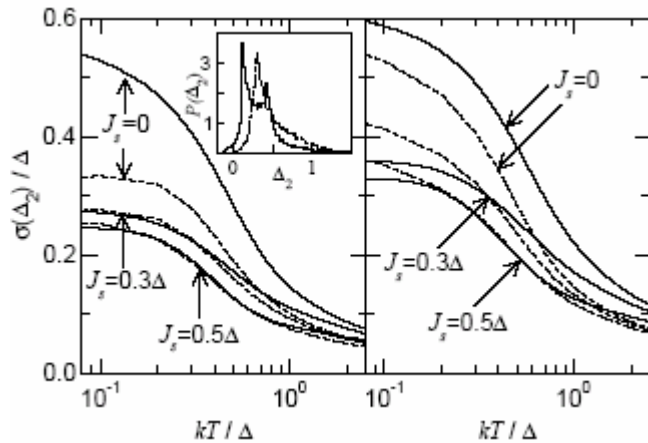
**ZBA and Coulomb blockade are two limiting cases of the same theory**

# Spin Exchange. Master Equation (classical) Approach

$$H_{\text{int}} = E_c (\hat{n} - N)^2 - J(\vec{S})^2$$

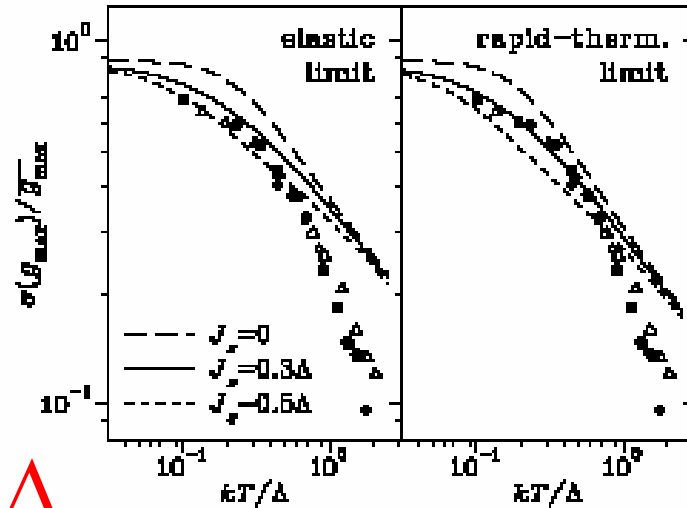


The width of peak-spacing distribution

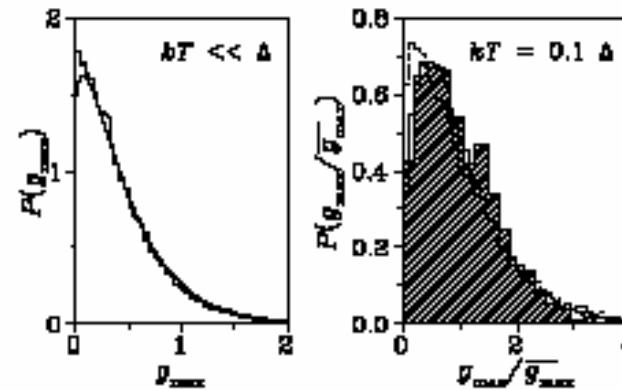


The width of peak-spacing distribution in the presence and absence of the orbital magnetic field.

$$J \geq 0.5\Delta$$



The ratio between standard deviation and the average value of peak height

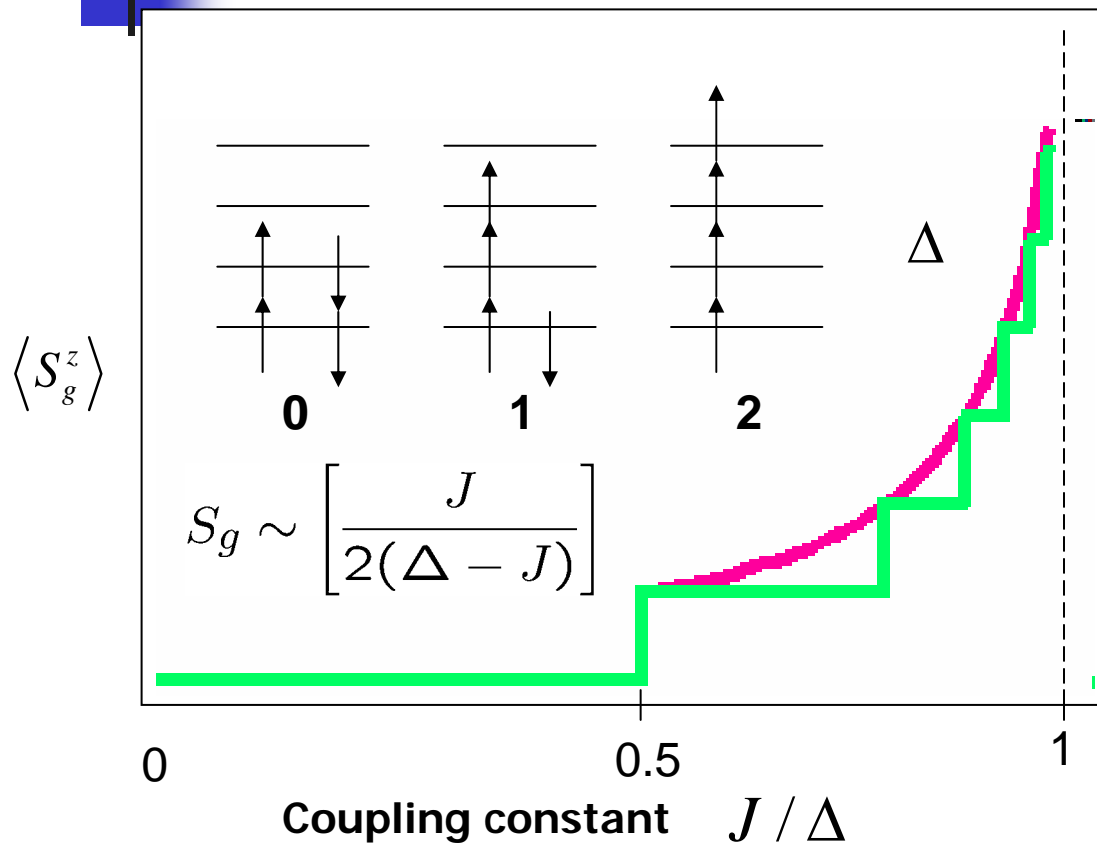


Peak-height distributions



# Mesoscopic Stoner Instability

$$H_{\text{int}} = E_c (\hat{n} - N)^2 - J \left[ (S^z)^2 + \epsilon \{ (S^x)^2 + (S^y)^2 \} \right]$$



**Isotropic exchange**  $\epsilon = 1$

$$J_c^{\text{even}} = \Delta/2$$

$$J_c^{\text{odd}} = 2\Delta/3$$

**Ising anisotropy**  $\epsilon = 0$

$$J_c^{\text{even}} = J_c^{\text{odd}} = \Delta$$

**Easy axis anisotropy**

$$\epsilon = J_{\perp} / J_{\parallel} < 1$$

$$J_c^{\text{odd}} = \Delta / (1 + \epsilon/2)$$

$$J_c^{\text{even}} = \Delta / (1 + \epsilon)$$

# Charge and Spin Interactions

Hamiltonian

$$H = \sum_{\alpha, \sigma} \varepsilon_{\alpha} \Psi_{\alpha, \sigma}^{\dagger} \Psi_{\alpha, \sigma} + H_C + H_S$$

Charge

$$H_C = E_c (\hat{n} - N)^2$$

$$\hat{n} = \sum_{\alpha, \sigma} \Psi_{\alpha\sigma}^{\dagger} \Psi_{\alpha\sigma}$$

Commutative algebra

Dynamical symmetry U(1)

Spin

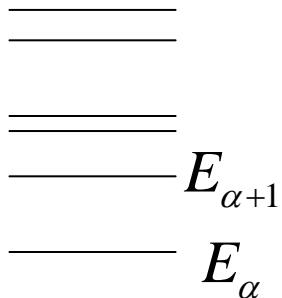
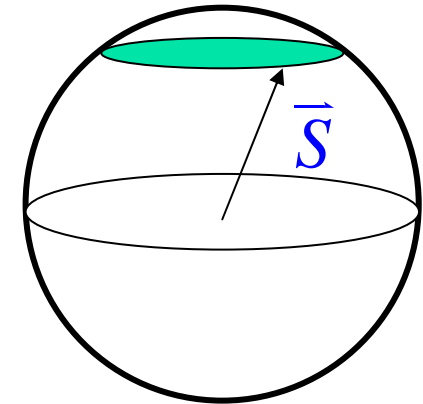
$$H_S = -J (\vec{S})^2$$

$$\hat{S} = \sum_{\alpha, \sigma, \sigma'} \Psi_{\alpha\sigma}^{\dagger} \vec{\sigma}_{\sigma\sigma'} \Psi_{\alpha\sigma'}$$

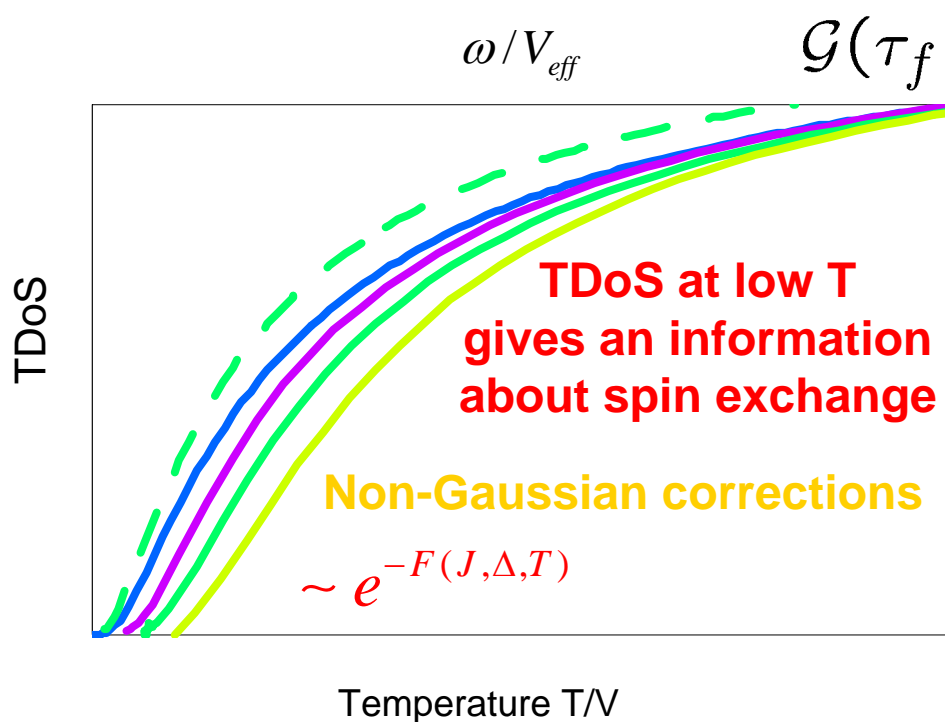
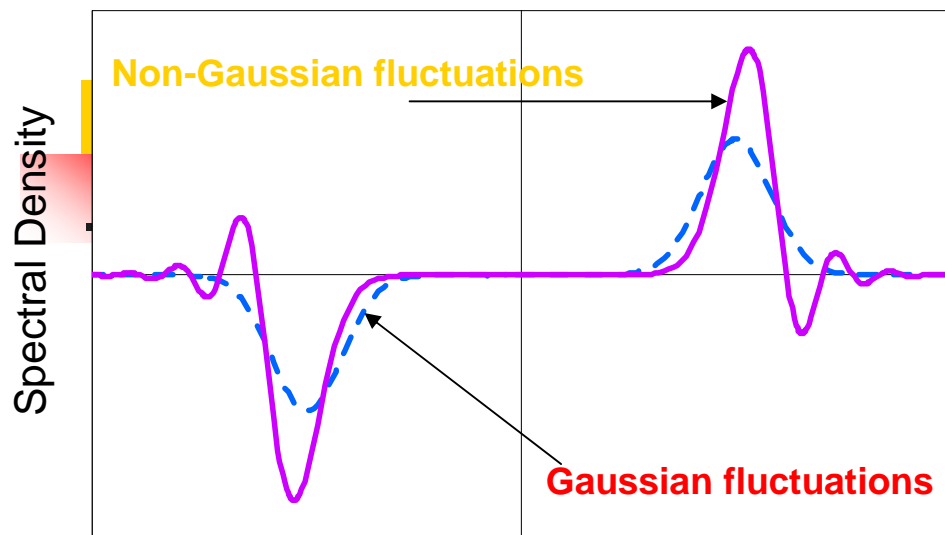
$$[S_j, S_k] = i \varepsilon_{jkl} S_l$$

Non-commutative algebra

Dynamical symmetry SU(2)



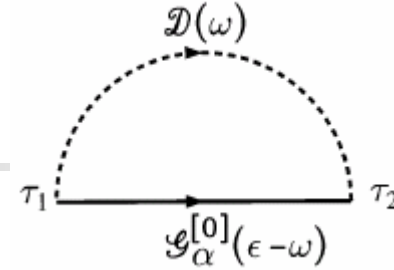
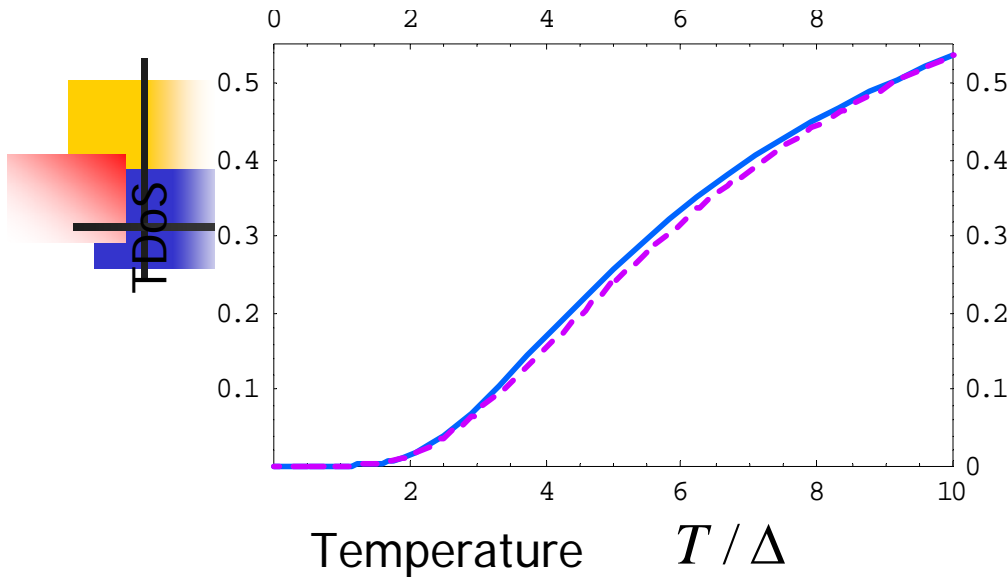
# Tunneling Density of States



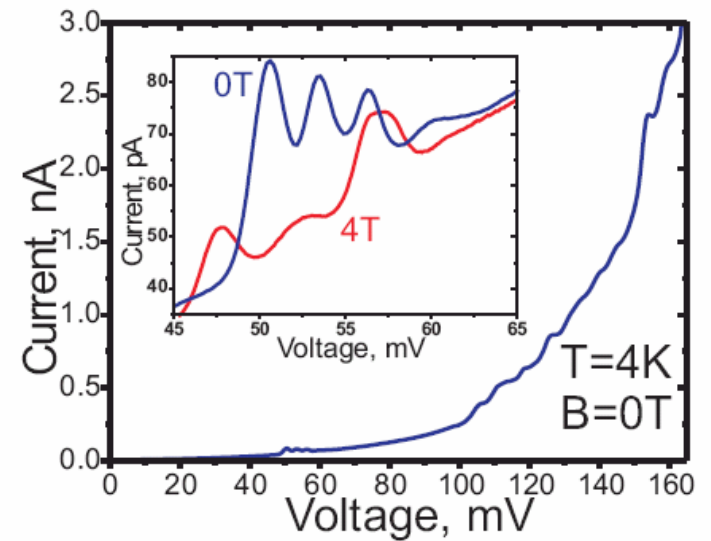
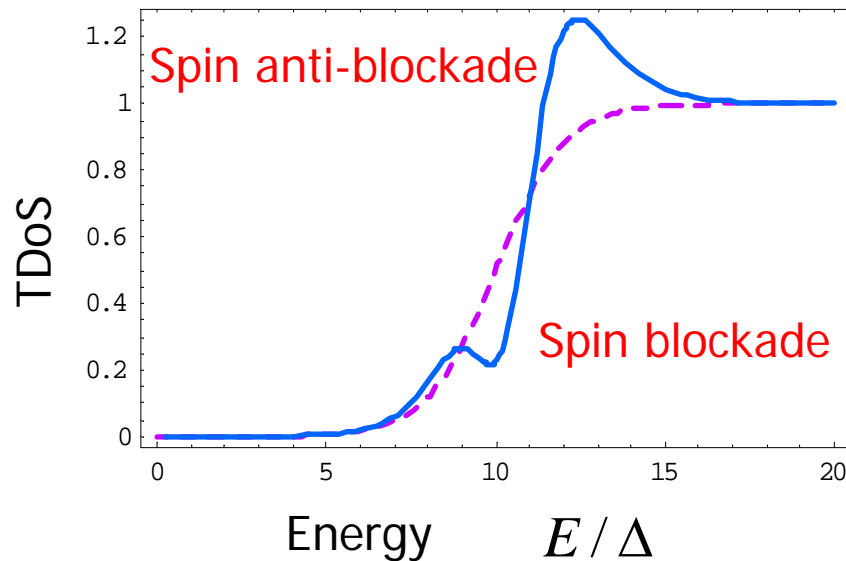
$$\nu(\epsilon) = -\frac{1}{\pi} \cosh\left(\frac{\epsilon}{2T}\right) \int_{-\infty}^{\infty} \mathcal{G}\left(\frac{1}{2T} + it\right) e^{i\epsilon t} dt$$

$$\nu(\epsilon) = -\frac{1}{2} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \left[ \tanh\left(\frac{\epsilon - \omega}{2T}\right) + \coth\left(\frac{\omega}{2T}\right) \right] B_{\parallel}(\omega) \nu^{[0]}(\epsilon - \omega)$$

# Quantum Dot Spectroscopy $T > \Delta$



Spin and Charge gauge factors

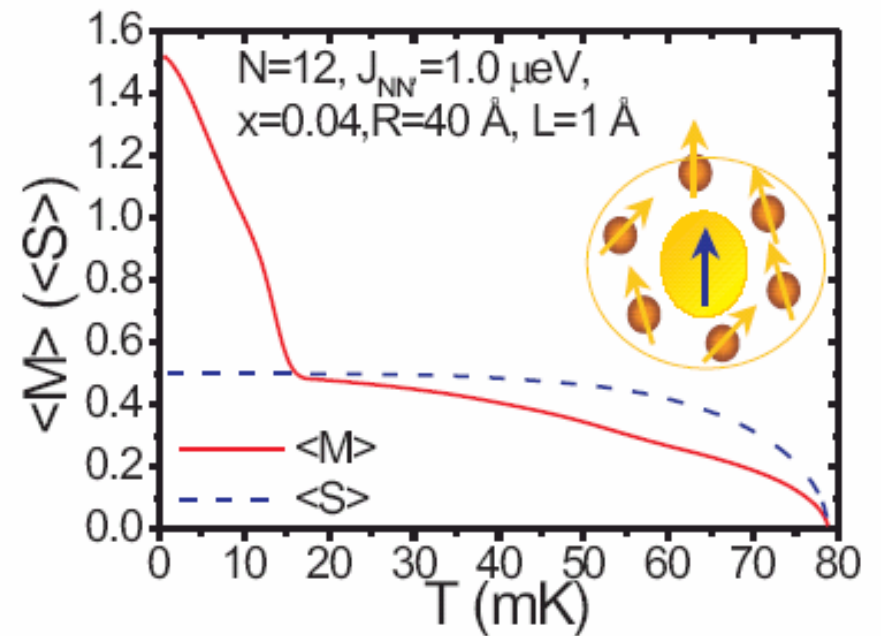
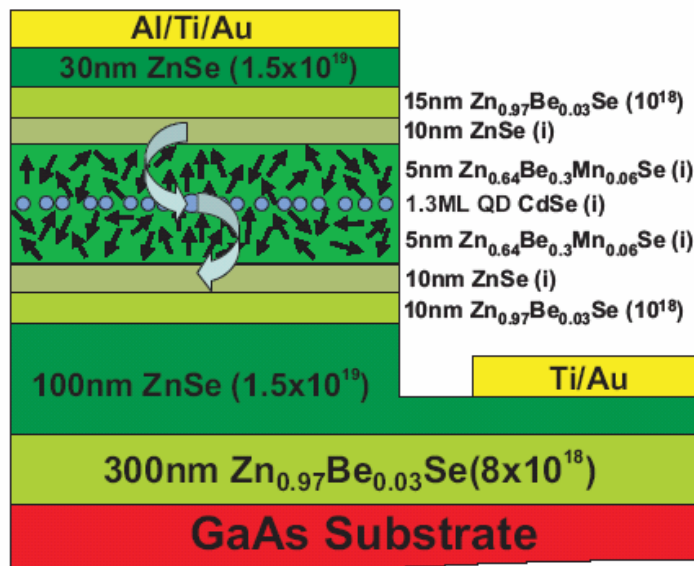


Molenkamp et al (2005)

Spin channel affects the charge transport

Kiselev, Gefen (2005)

# Self-assembled quantum dots



Molenkamp et al (2005)

# Spin susceptibilities

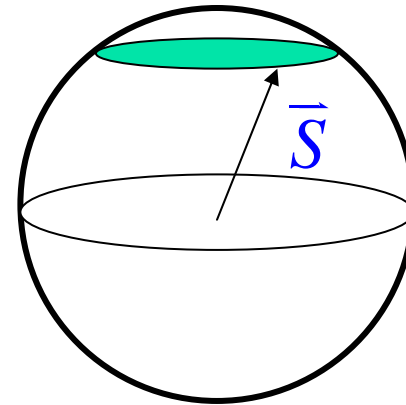
Longitudinal Susceptibility

$$\chi^{zz} = \frac{\chi_0}{1 - J\chi_0} \leftarrow \text{Stoner Instability}$$

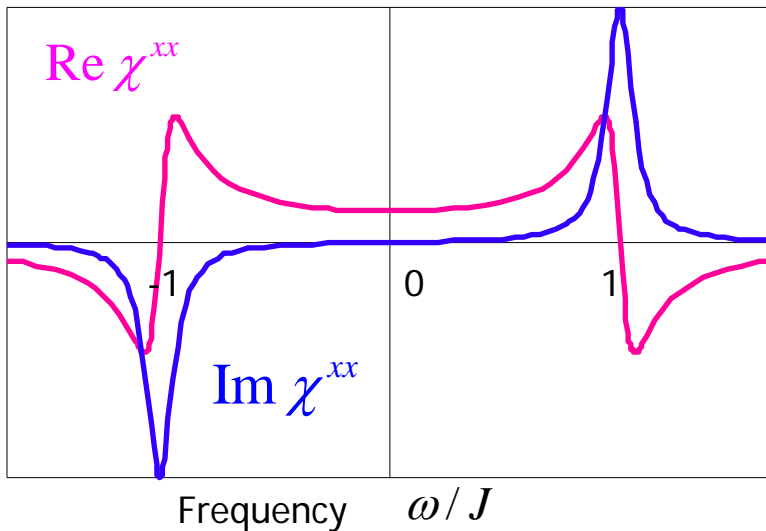
Static longitudinal susceptibility diverges at Stoner Instability point

Transverse Susceptibility

$$\partial_t S^\pm = iJ(1 - \varepsilon)[1 - 2S^z]S^\pm$$



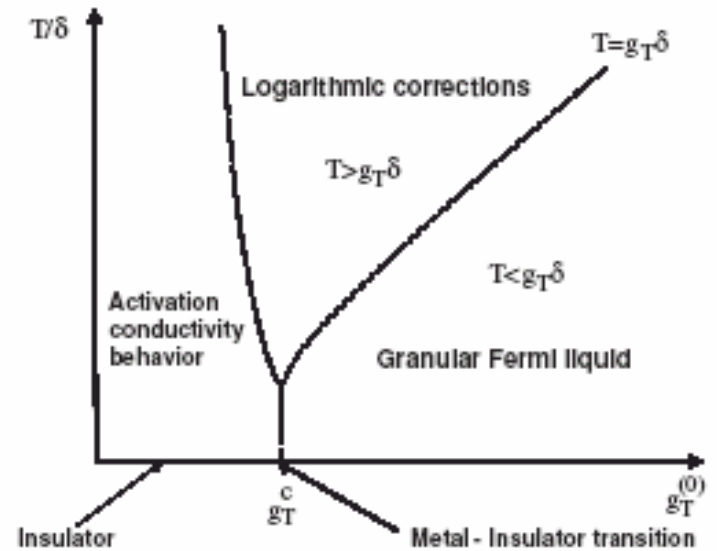
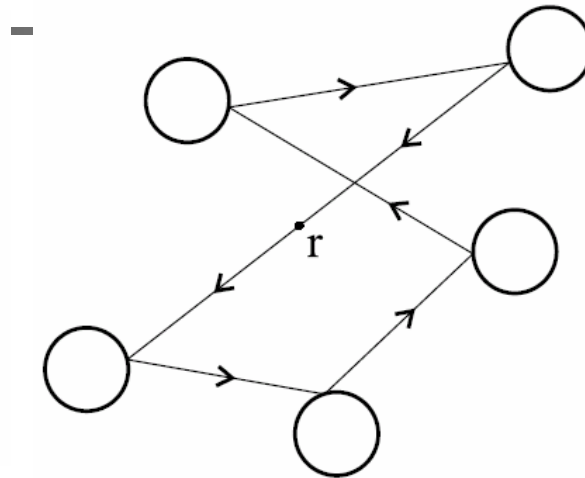
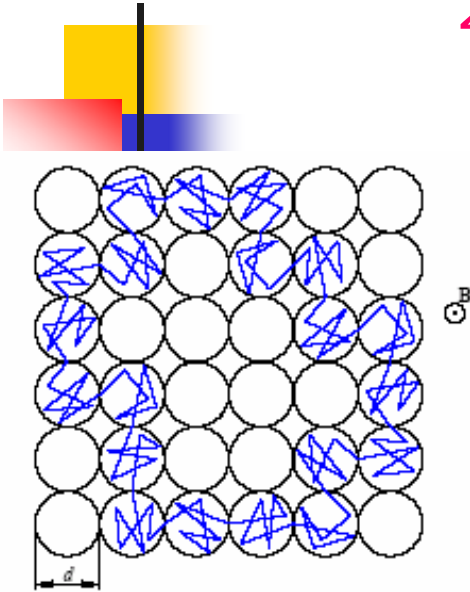
Exponentially enhanced!



$$\chi^{xx}(t) = \frac{\chi_0 \varepsilon e^{J/T}}{1 - \varepsilon J \chi_0} e^{i(1-\varepsilon)Jt}$$

# Magnetic instability in a system of coupled dots

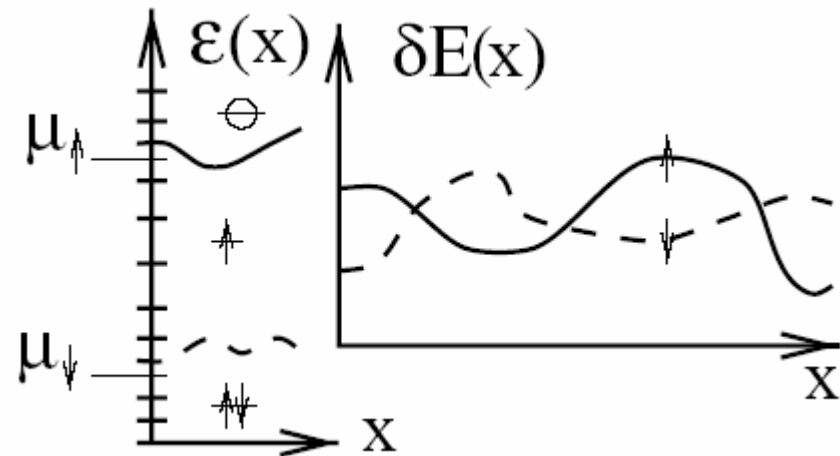
## 2D arrays



What about spin correlations?

$$\chi_s(T^*) = 2 \left( 1 - V(k_F) - \frac{V(k_F)}{\pi^2 g} \ln \frac{\tilde{\epsilon}_F}{T^*} \right)^{-1}$$

Quantum spin criticality?





## Metallic Quantum Dots: summary

- Charge and Spin zero-mode interactions strongly affect an electron transport through metallic grain (Quantum Dot) in Coulomb valley regime
- Dynamical symmetries associated with Charge Channel lead to Zero-Bias Anomaly
- Dynamical symmetries associated with Spin Channel may give rise to a Spin Blockade

### Collaborators:

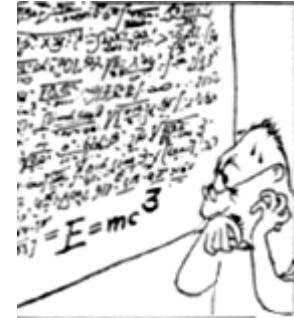
Y.Avishai (Beer Sheva), Y.Gefen (Weizmann), K.Kikoin (Beer Sheva),  
L.W.Molenkamp (Würzburg), R.Oppermann (Würzburg)  
J.Richert (Strasbourg), V.Vinokur (Argonne), M.Wegewijs (Aachen)

**PhD students:** H.Feldmann, M.Bechmann (Würzburg)

**Support:** AvH & SFB-410 @ WU, SFB-631 @ LMU, LSF @ WIS, DOE @ ANL



# Conclusions



- Complex quantum dots possess **hidden symmetries** responsible for several exotic transport properties of these nano-devices
- Magnetic correlations between electrons in a dot result in many interesting effects (**Stoner instability, Kondo effect, Non-Fermi-Liquid behavior** etc)
- **Dynamical symmetry** explains many known properties and predicts new effects in low-D nano-objects