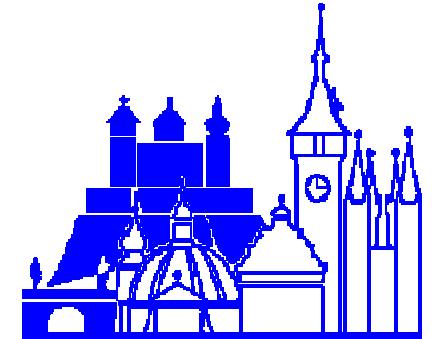
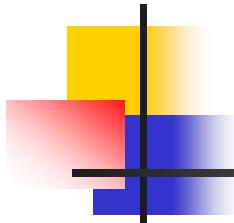


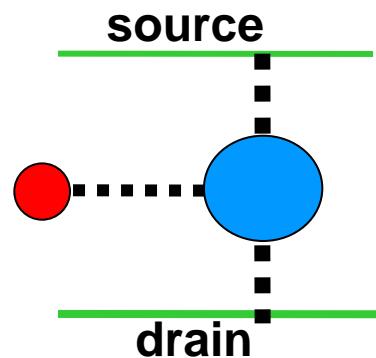
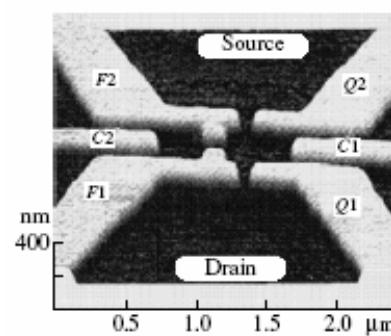
Institut für Theoretische Physik und Astrophysik

Universität Würzburg

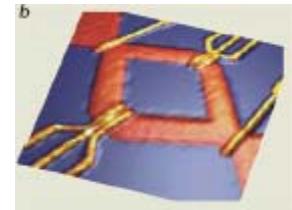


M.N.Kiselev

# Dynamical symmetries in nanophysics (Part I)



# Outline



- Quantum Dots with a few electrons
- Equilibrium and Non-Equilibrium Kondo effect
- Coherence and de-coherence
- Hidden dynamical symmetries
- Quantum dots with many electrons
- Stoner instability in isolated dots
- Perspectives

Ref. [MK](#) and R.Oppermann, PRL 2000

[MK](#), K.Kikoin and L.W.Molenkamp JETP Lett 2003

[MK](#), K.Kikoin and L.W.Molenkamp, PRB 2003

[MK](#), AHP 2003

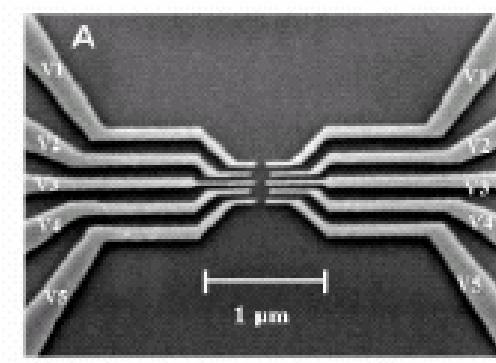
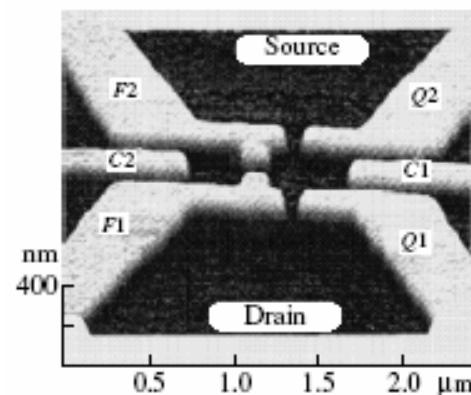
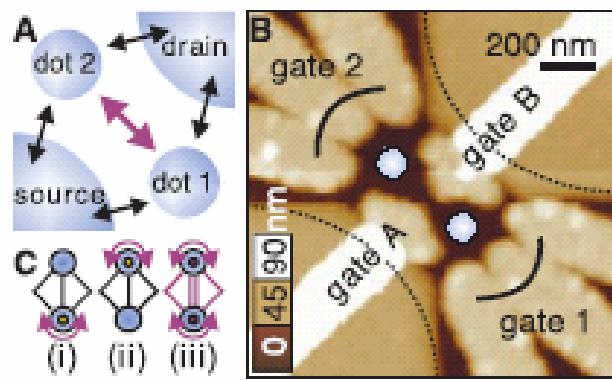
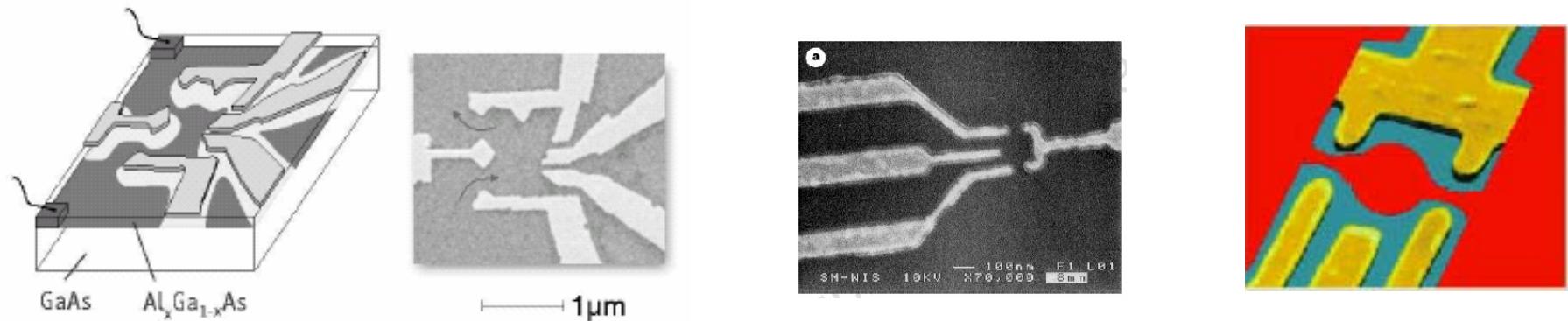
K.Kikoin, [MK](#) and Y.Avishai, Kuwler 2004, cond-mat/0309606

Y.Avishai, K.Kikoin and [MK](#), NOVA 2005, cond-mat/0407063

[MK](#), D.N.Aristov and K.Kikoin, PRB 2005

[MK](#), Y.Gefen, cond-mat/0504751

# Quantum dots: from simple to complex



D.Goldhaber-Gordon et al (1998)

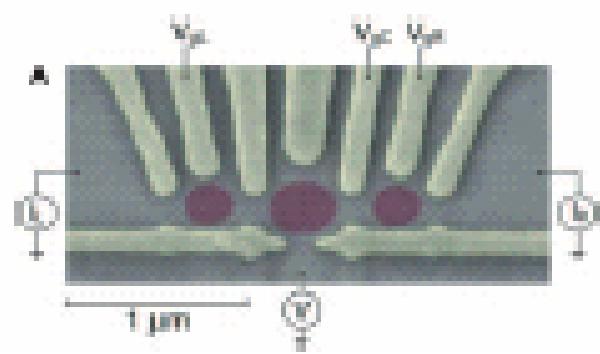
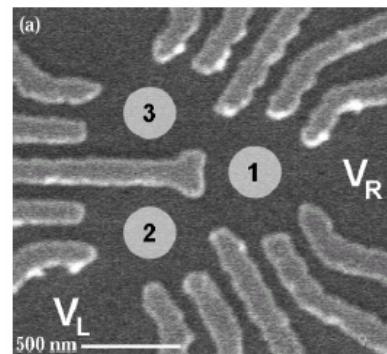
J.P.Kotthaus (1995)

A.Holleitner et al (2002)

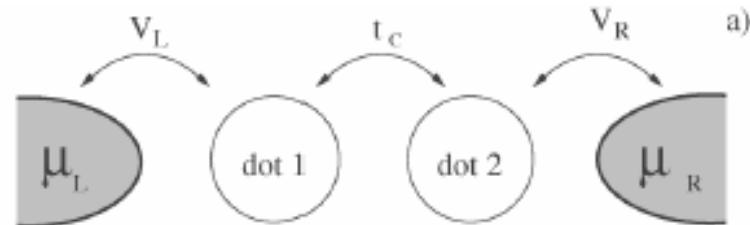
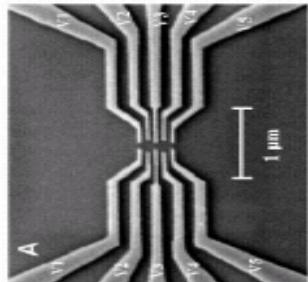
L.W.Molenkamp et al (1995)

H.Jeong et al (2001)

C.Marcus et al (2003)



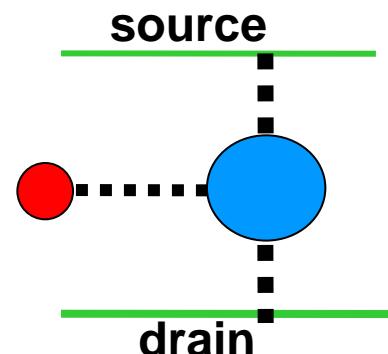
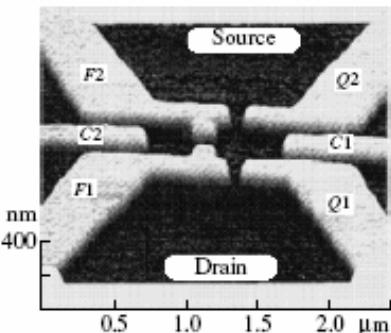
# Examples of dynamical symmetries in QD



$$SU(4)$$

L.Borda et al (2003)

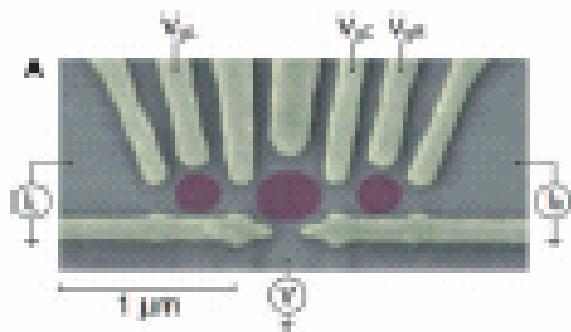
Spin and iso-spin degrees of freedom



$$SO(4) = SU(2) \otimes SU(2)$$

MK et al (2003)

Interplay between singlet and triplet states in DQD



$$SU(3)$$

$$SO(5)$$

$$SO(7)$$

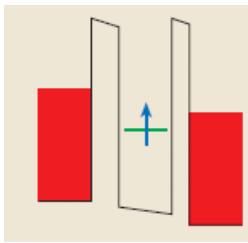
C.Marcus et al (2003)

K.Kikoin et al (2004)

## Motivation

- manifestation of dynamical symmetries in quantum dots
- how dynamical symmetries affect transport through nano-particles
- dynamical symmetries in systems out of equilibrium

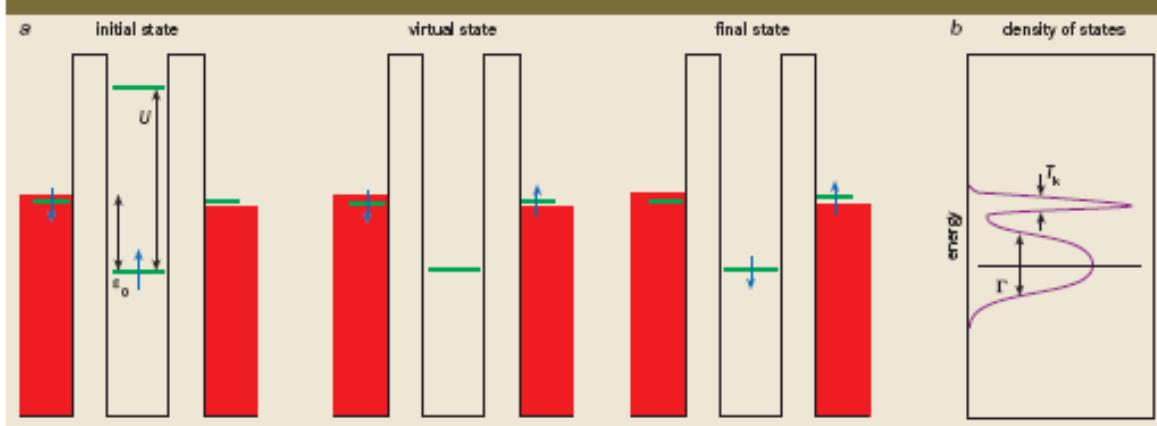
**Kondo effect as a tool to test dynamical symmetries**



# Kondo Effect in Quantum Dots

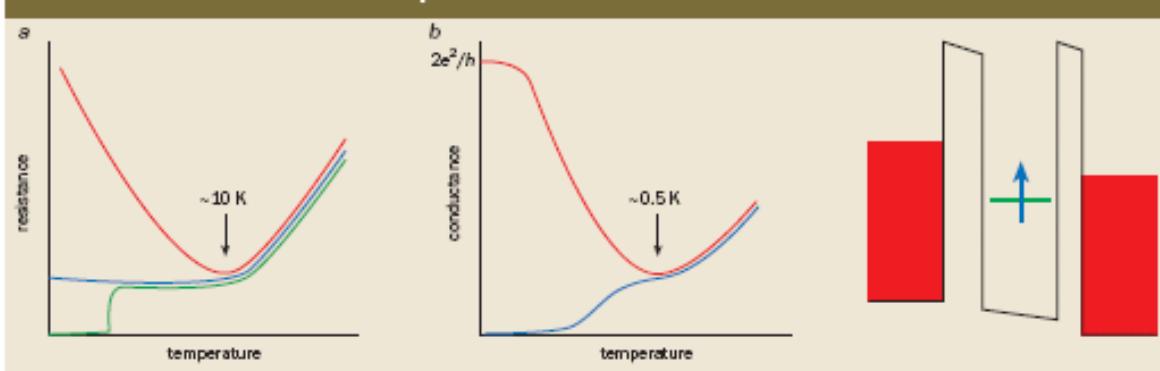


**2 Spin flips**

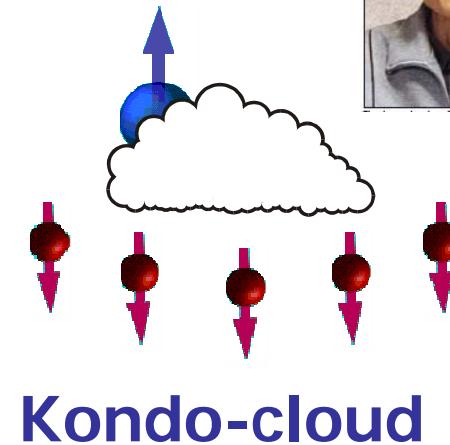


$$G / G_0 \propto \ln^{-2} (T / T_K)$$

**1 The Kondo effect in metals and in quantum dots**

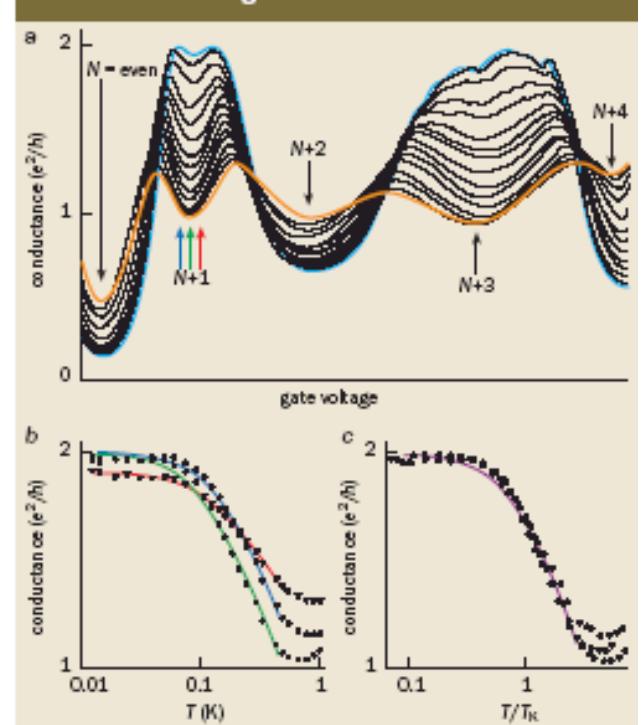


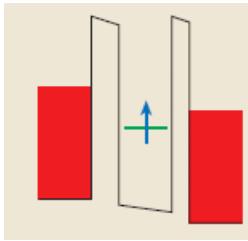
L.Kouwenhoven and L.Glazman (2001)



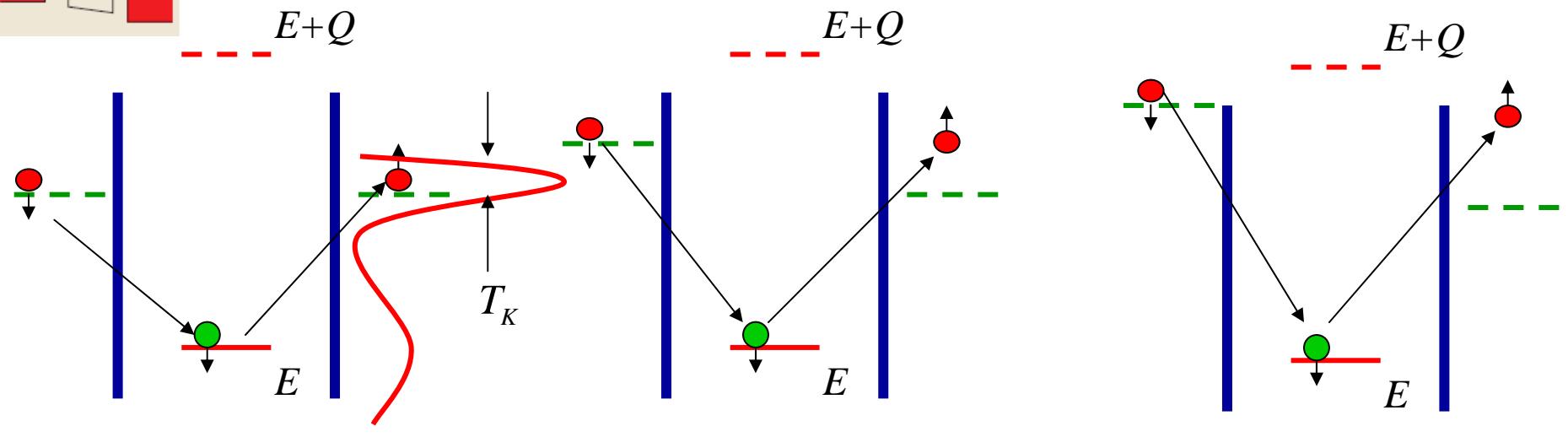
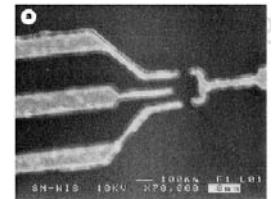
**Kondo-cloud**

**5 Universal scaling**





## Non-equilibrium Kondo effect



Zero-bias (equilibrium)

$$T_K$$

Effects of decoherence

Small bias  
(quasi-equilibrium)

$$eV \ll T_K$$

Large bias  
(out of equilibrium)

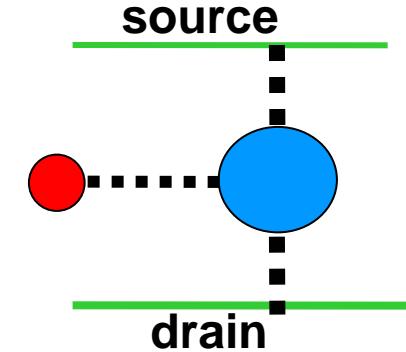
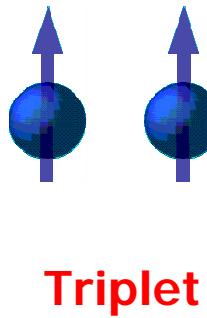
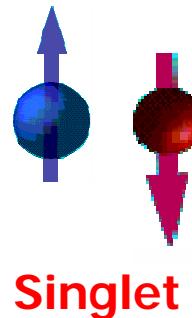
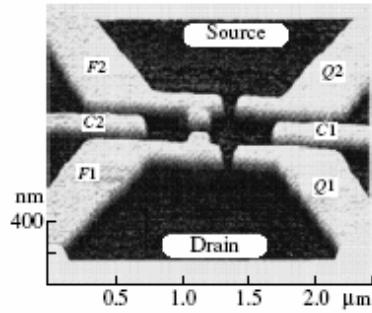
$$eV \gg T_K$$

$$\Gamma_{rel} \sim eV$$

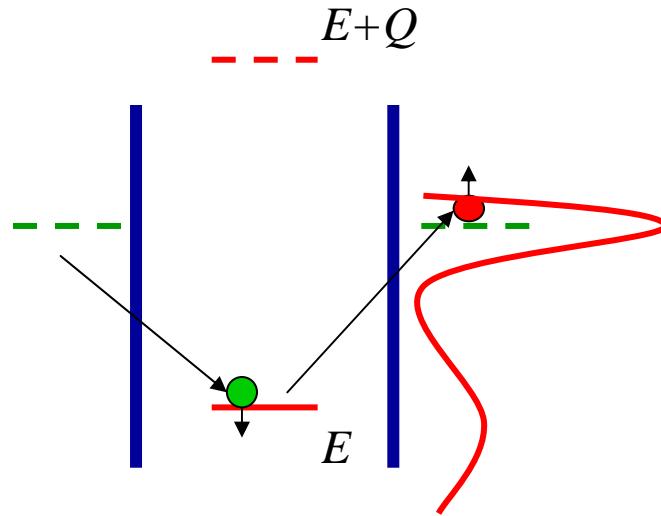
$$\Gamma_{rel} \sim eV / \ln^2(eV/T_K)$$

There is no strong coupling (Kondo) regime at low T in out of equilibrium

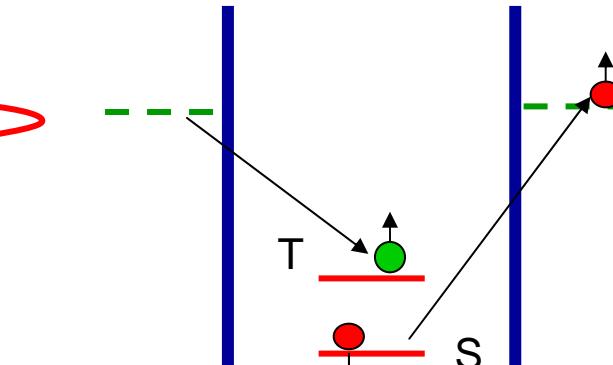
# From Single Quantum Dot to Double Quantum Dot



- Kondo co-tunneling through QD: N=1



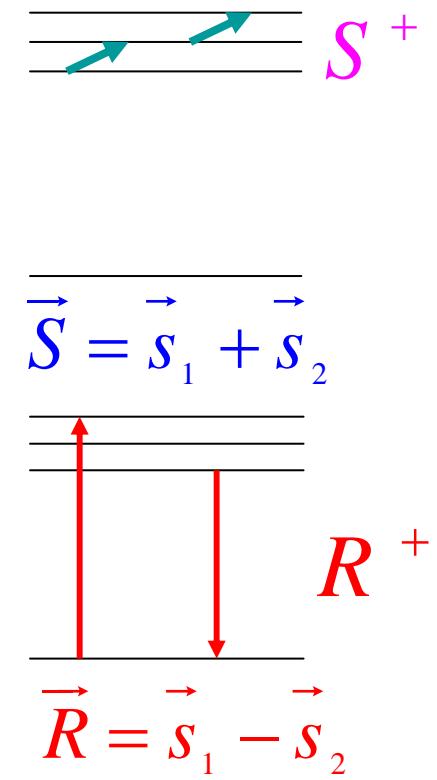
Kondo Hamiltonian  
 $H = J(S s)$   
 $S=1/2$



Generalized Kondo Hamiltonian  
 $H = J_1(S s) + J_2(R s)$   
 $S=1 \text{ (triplet)} \text{ plus } S=0 \text{ (singlet)}$

**Non-universal Kondo temperature**

$$\Delta_{TS} \sim T_K(\Delta_{TS})$$



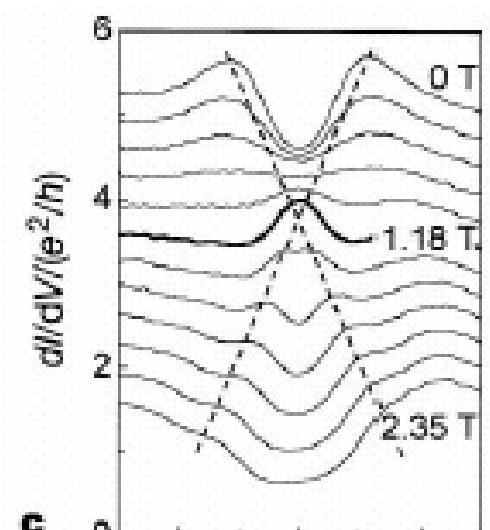
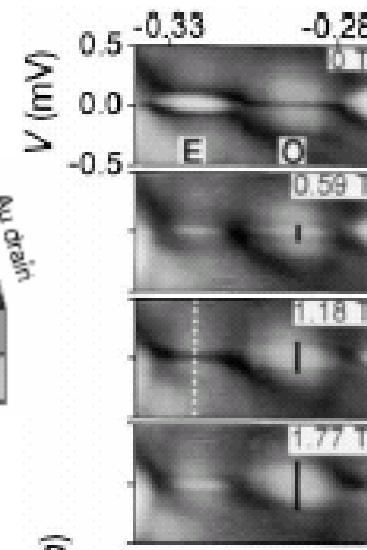
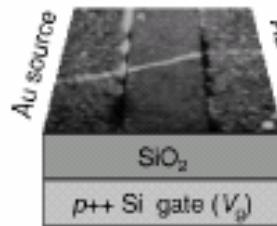
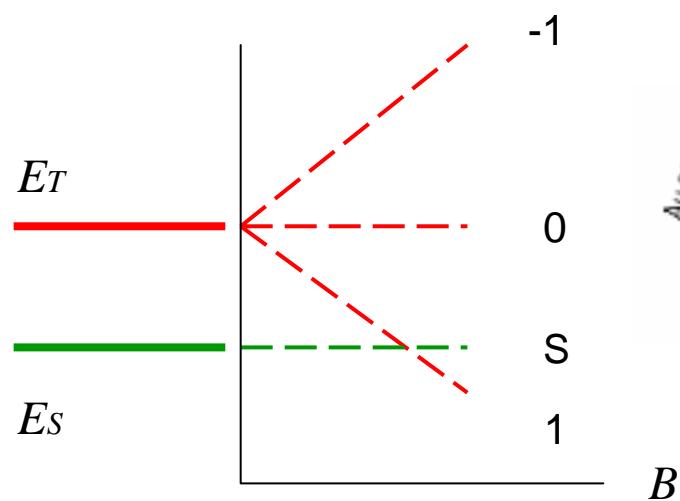
# **“Simple” knowledge about Kondo Effect**

- Kondo effect exists if the total number of electrons in a dot is odd
- Kondo effect is destroyed by external magnetic field
- Relaxation effects associated with the non-equilibrium conditions eliminate the Kondo peak

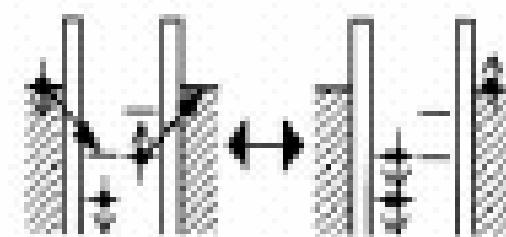
**Is it always true?**

## S/T transition: Magnetic field induced Kondo effect

Symmetry reduction from SO(4) to SU(2)



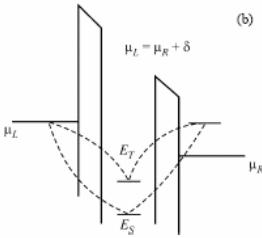
$$H_{Kondo} = J \left( \vec{R} \bullet \vec{S} \right)$$



Kondo effect due to the dynamical symmetry of DQD

**M. Pustilnik, Y. Avishai & K.Kikoin** (2000)

**D. Kobden et al** (2000)



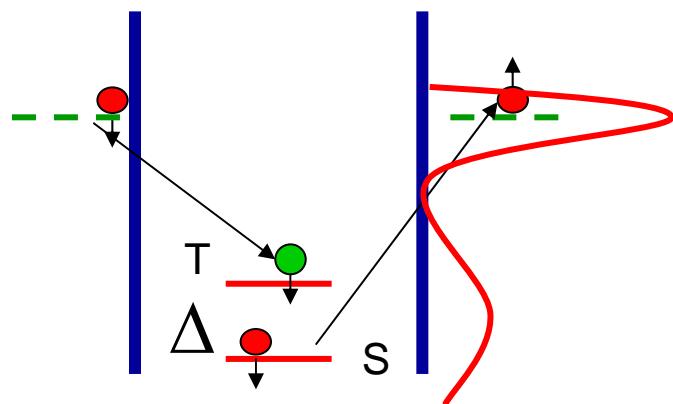
## Non-equilibrium Kondo effect in DQD

$$\Delta_{ST} \ll T_K^{EQ}$$

Underscreened S=1 NEK

$$\Delta_{ST} \gg T_K^{EQ}$$

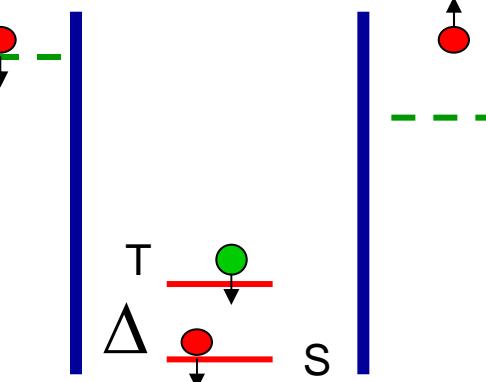
Is Kondo effect possible?



Zero-bias (equilibrium)

$$T_K^{EQ}$$

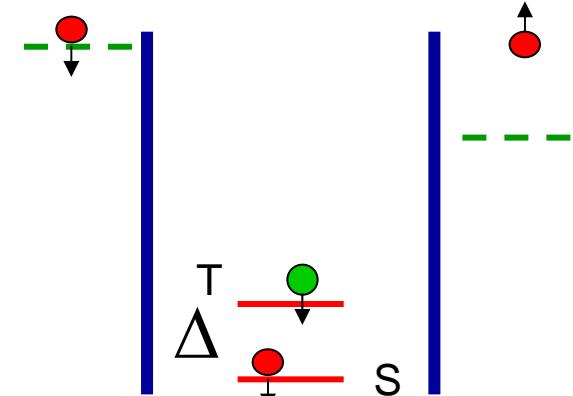
Effects of decoherence



Small bias  
(quasi-equilibrium)

$$eV \ll T_K^{EQ}$$

$$\Gamma_{rel} \sim eV$$



Large bias  
(out of equilibrium)

$$eV \gg T_K^{EQ}$$

?

What happens if  $eV \sim \Delta_{ST}$  ?

$$\Delta_{ST} \gg T_K^{EQ}$$

## Non-equilibrium Kondo effect in DQD

### Basic equations and differential conductance

$$\frac{dJ_{LL}^T}{d \ln D} = -\nu \left( J_{LL}^T \right)^2, \quad \frac{dJ_{LL}^{ST}}{d \ln D} = -\nu J_{LL}^{ST} J_{LL}^T,$$

$$\frac{dJ_{LR}^T}{d \ln D} = -\nu J_{LL}^T J_{LR}^T, \quad \frac{dJ_{LR}^{ST}}{d \ln D} = -\nu J_{LL}^{ST} J_{LR}^T,$$

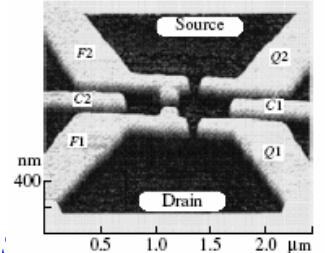
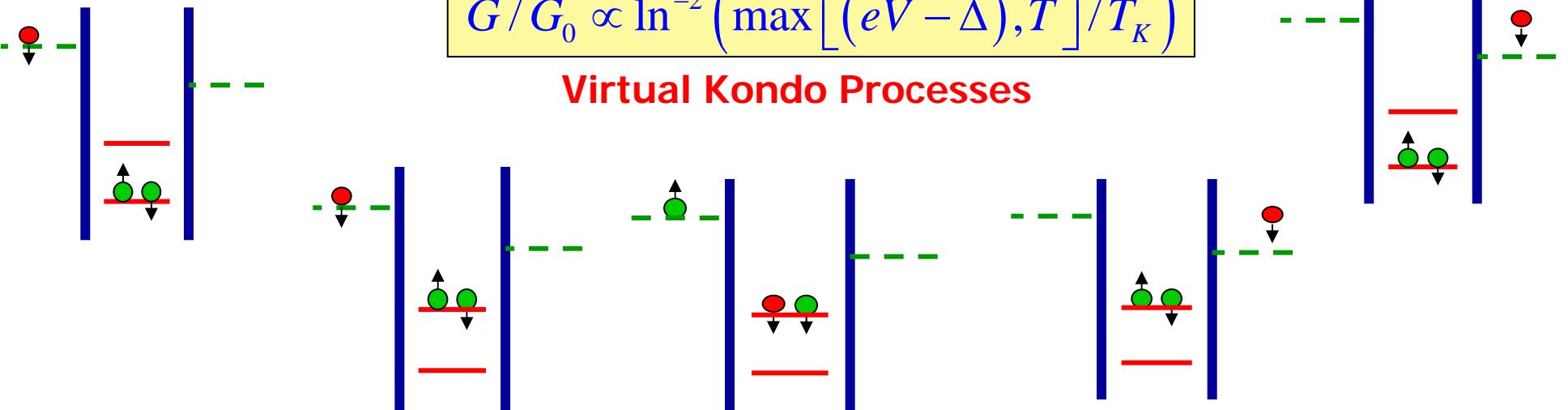
$$\frac{dJ_{LR}^S}{d \ln D} = -\frac{1}{2} \nu \left( J_{LL,+}^{ST} J_{LR,-}^{TS} + \frac{1}{2} J_{LL,z}^{ST} J_{LR,z}^{TS} \right).$$

initial

$$T_K^{NEQ} = D \exp \left( -\frac{1}{\nu J_0^T} \right) = \left( T_K^{EQ} \right)^2 / D$$

$$G(eV, T) \propto |J_{LR}^{ST}|^2$$

Virtual Kondo Processes



$$J_{\Lambda\Lambda'}^{ST} = \frac{J_0^T}{1 - \nu J_0^T \ln(D/T)}$$

$$J_{\Lambda\Lambda'}^T = \frac{J_0^T}{1 - \nu J_0^T \ln(D/T)}$$

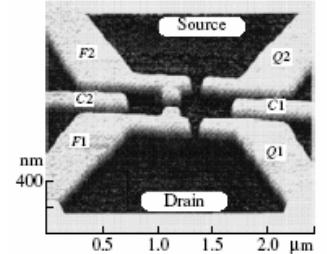
$$G(eV, T) \propto |J_{LR}^{ST}|^2$$

final

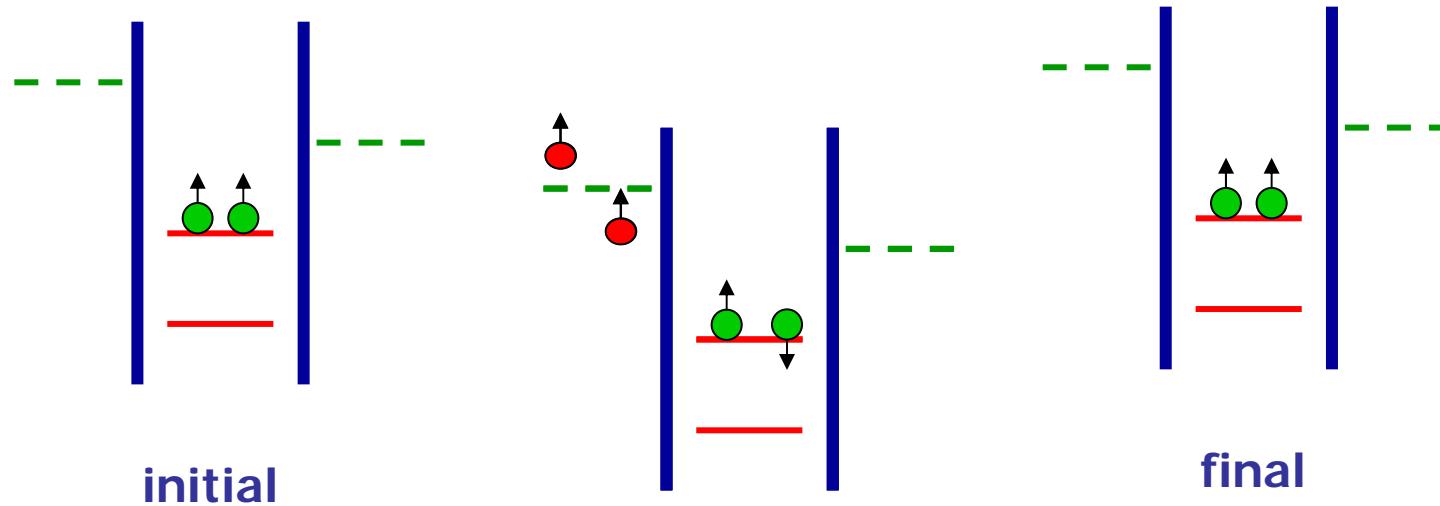
$$\Delta \gg T_K^{EQ}$$

## Non-equilibrium Kondo effect in DQD

Effects of decoherence and repopulation



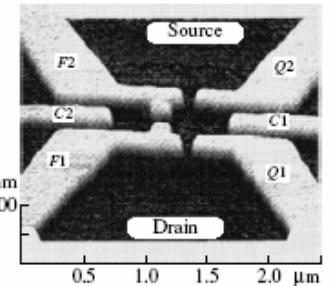
### Triplet/Triplet Relaxation



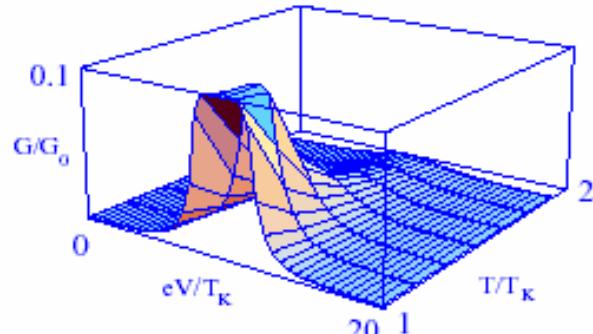
$$\hbar/\tau_d \sim eV \left( J^{ST} / D \right)^2 \left[ 1 + O\left( J/D \ln \{ D/eV \} \right) \right]$$

$$P_t(eV) \propto \exp\left(-\Delta^*(eV)/T\right) \quad \Delta^*(eV) - \Delta = O\left(\frac{J/D \ln \left[ \frac{D}{\max\{\omega, eV, T\}} \right]}{\max\{\omega, eV, T\}}\right)$$

# Non-equilibrium Kondo effect in Double Quantum Dot



$$H_{\text{int}} = \sum [ (J_{\alpha\alpha}^{TT} \vec{S} + J_{\alpha\alpha}^{ST} \vec{P}) \cdot \vec{s}_{\alpha\alpha} + J_{\alpha\alpha}^S X^{SS} n_{\alpha\alpha} ]$$



$$T_K^{NEQ} \sim (T_K^{EQ})^2 / D$$

$$T_K^{NEQ} \ll T_K^{EQ}$$

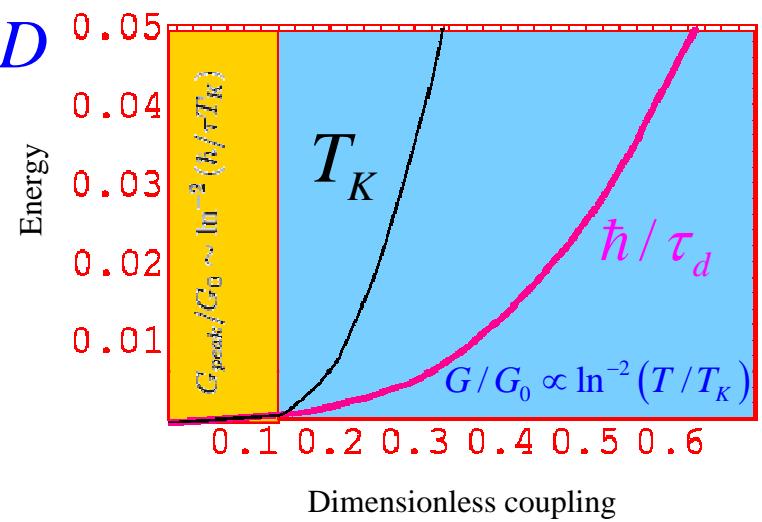
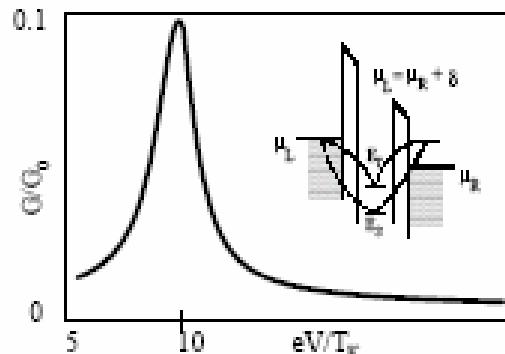
$$G(eV, T) \propto |J_{LR}^{ST}|^2$$

$$G_0 = 2e^2 / h$$

**DC Voltage**

$$G/G_0 \propto \ln^{-2} \left( \max \left[ (eV - \Delta), T \right] / T_K \right)$$

MNK, K.Kikoin and L.W.Molenkamp, (2003)

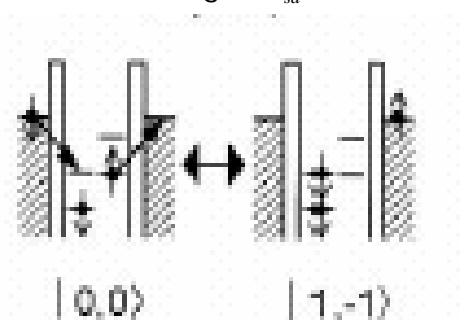
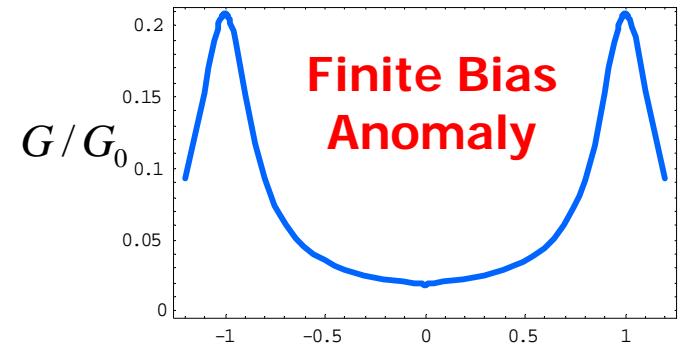
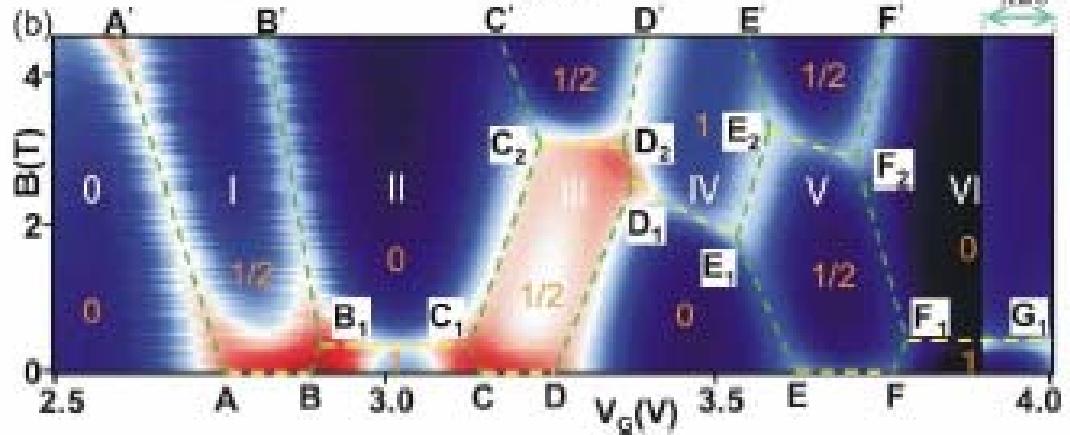
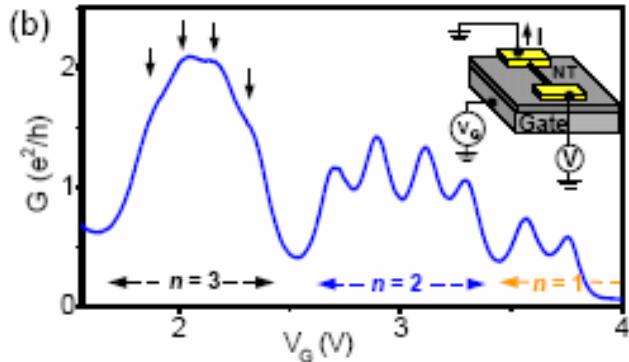
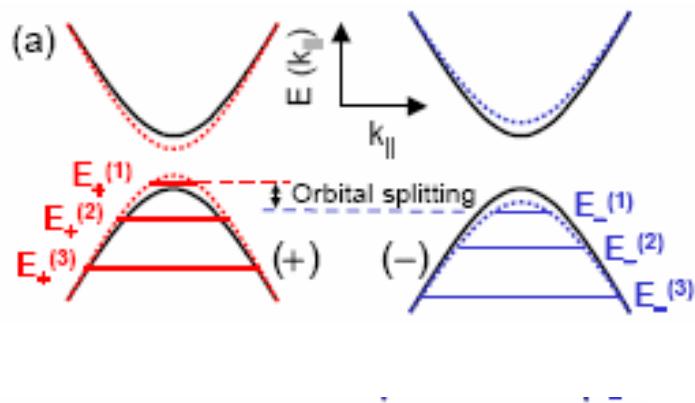
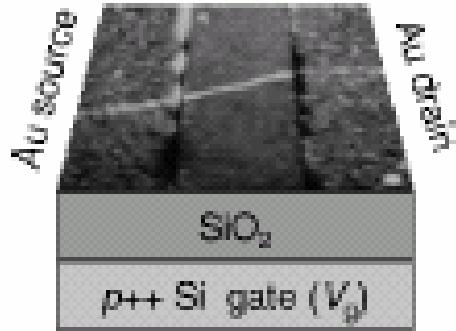


**AC Voltage**

$$G_{\text{peak}} \propto \overline{G(V_{ac} \cos(\omega t))}$$

$$G_{\text{peak}} / G_0 \propto \ln^{-2} \left( \frac{\hbar}{\tau T_K} \right)$$

# Experiment in carbon nanotubes



# Double Quantum Dot: SU(4) physics and quantum criticality

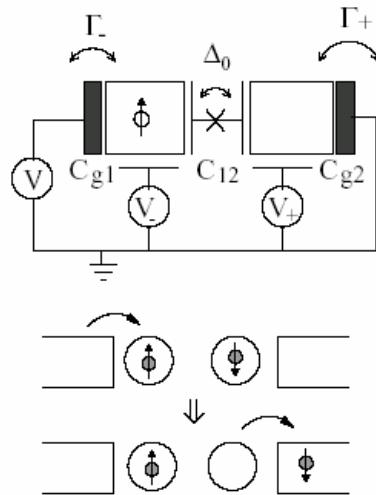
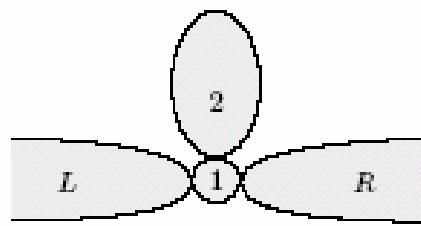
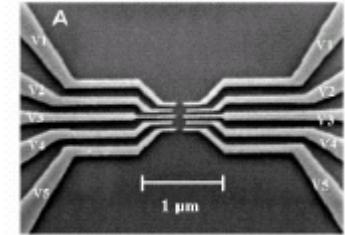
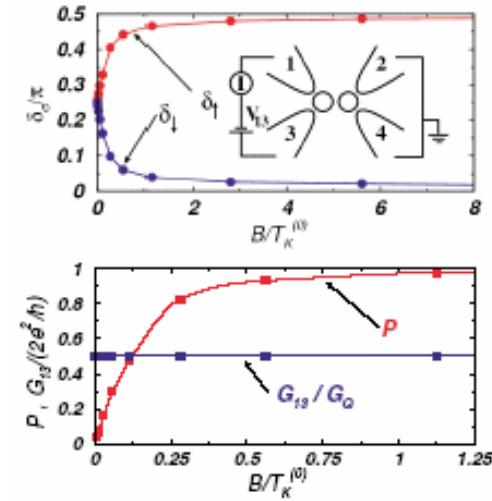


FIG. 1. Upper part: Schematics of the DD device. Lower part: Virtual process leading to 'spin-flip assisted tunneling' as described in Eq. (4)

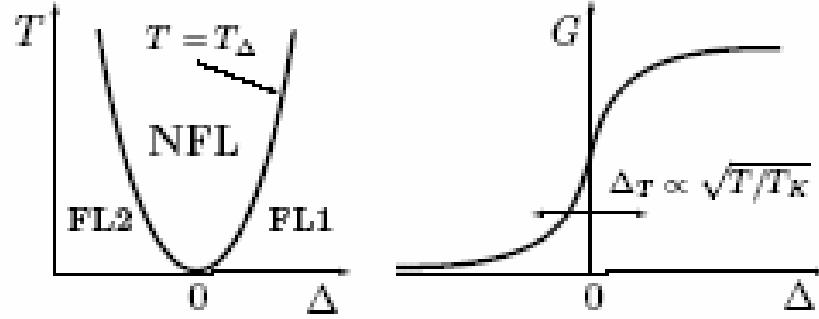


SU(4) symmetry

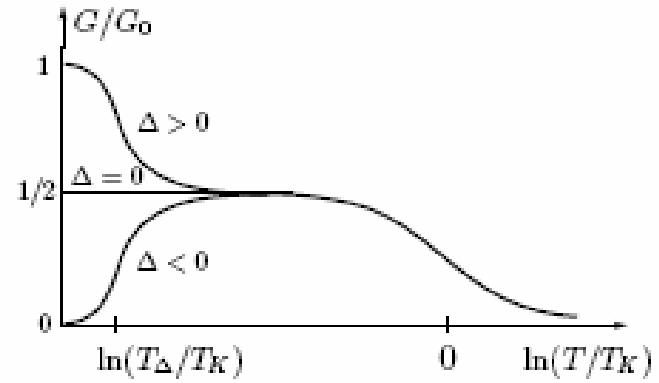
L.Borda et al (2003)

$$\Delta = \nu(J_1 - J_2)$$

Electrons in large dot provide an additional channel for Kondo effect



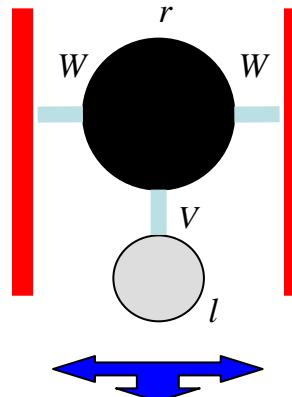
M.Pustilnik et al (2004)



## Perspectives:

### Double Quantum Dot as Charge-Spin Transformer

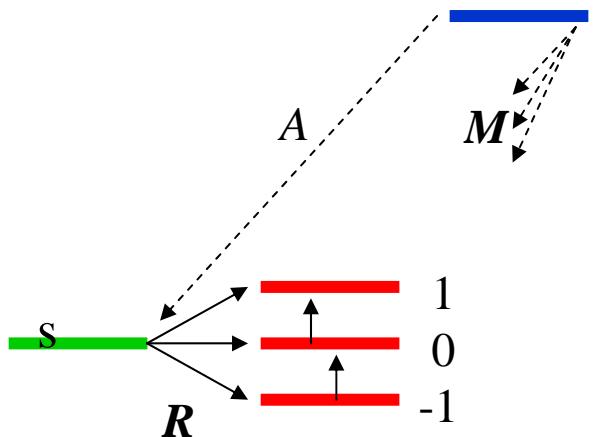
$N=2 (1+1)$



$V_g(t)$

“trembling” gate voltage

Singlet      Triplet      Exciton



SO(5) algebra

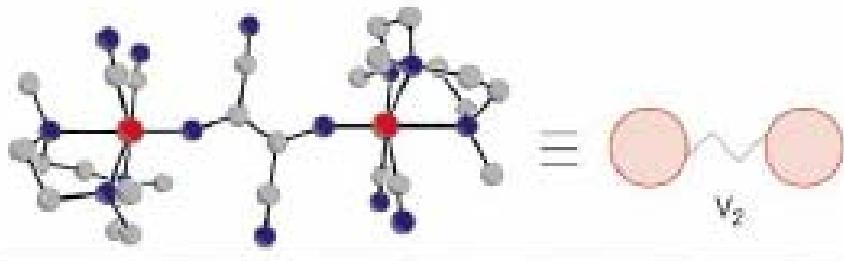
Transformation of charge fluctuations (noise) to spin fluctuations

Relaxation, dephasing, decoherence...

MK, K.Kikoin, Y.Avishai, and J.Richter (in progress)

## Perspectives:

### Phonon-induced Kondo effect in real and artificial molecules



- How may a distortion excite magnetic degrees of freedom?
- Is it possible to achieve Kondo regime by inelastic processes?
- Single-phonon assisted processes vs multiphonon processes.

MK, K.Kikoin, Y.Avishai, and M.Wegewijs (in progress)

## Messages

Kondo tunneling in QD with few electrons is more rich effect than Kondo scattering in metals

The effects of dynamical symmetries are directly observable in transport experiments

Singlet/Triplet mixing can be controlled by the gate voltage and results in peculiar non-equilibrium effects