

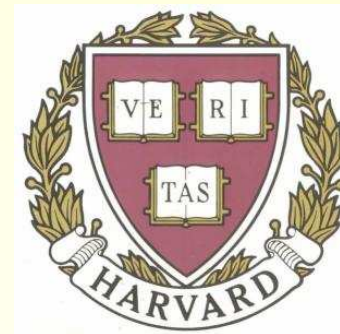
Universal Low Temperature Physics and Pseudogaps in Coulomb and Spin Glasses

December 12, 2006, SLE workshop, KITP

Markus Müller

S. Pankov (Tallahassee)

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National Science Foundation
WHERE DISCOVERIES BEGIN



FONDS NATIONAL SUISSE
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Road map

- Introduction to spin/electron glasses with long range interactions (Coulomb):
 - Pseudogaps and glassy behavior
- Theoretical mean field approach to electron glasses
 - Physics of the glass transition and replica symmetry breaking
- Solution and low temperature
 - Temporal 'RG' flow, fixed points, and universality
- Connection with Experiments

Introduction

Glasses with quenched disorder

- Interactions + disorder \rightarrow Frustration and glassy behavior
- No simple order, but randomly patterned “spin glass order” in many different pure states
- Absence of order \rightarrow no hard gaps, but soft pseudogaps
- Multitude of metastable configurations leads to out of equilibrium behavior and history dependence

Coulomb glasses

Anderson insulators with strong
electron-electron interactions

M. Pollak (1970)
A. Efros, B. Shklovskii (1975)
J.H. Davies, P.A. Lee,
T.M. Rice (1982,84)

Efros-Shklovskii model

$$H = \frac{1}{2} \sum_{i \neq j} (n_i - \nu) \frac{e^2}{r_{ij}} (n_j - \nu) + \sum_i n_i \varepsilon_i$$

$n_i = 0, 1$: Occupation of sites
on a given lattice

Unscreened Coulomb
interactions

Disorder

$$P(\varepsilon_i) = \frac{\exp\left[-(\varepsilon_i/W)^2/2\right]}{\sqrt{2\pi W^2}}$$

Neutralizing background charge

Coulomb glasses

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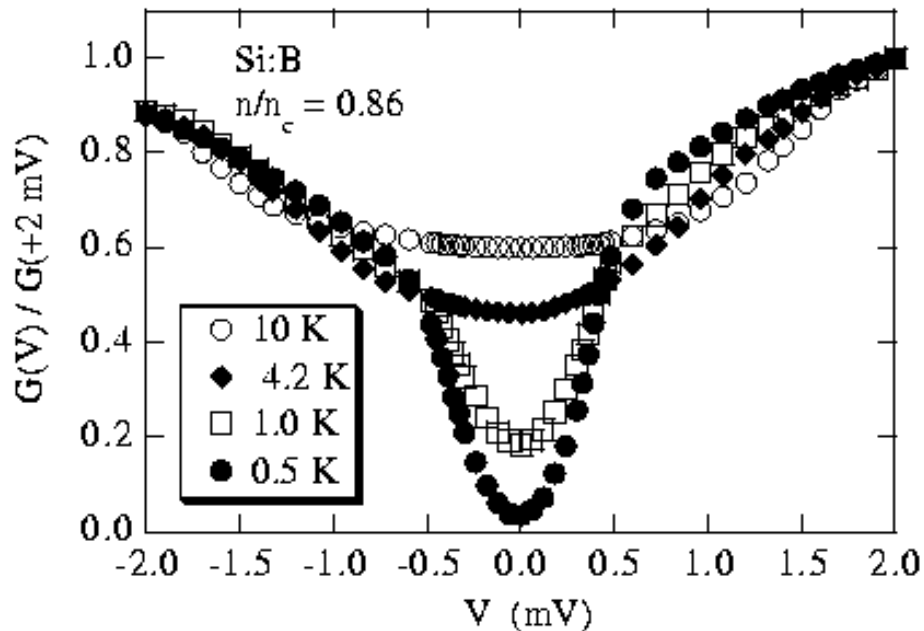
Neutralizing background charge

Strongly localized electrons \rightarrow Classical problem with strong frustration

$\nu = 1/2 \rightarrow s_i \equiv n_i - 1/2 \longleftrightarrow$ Long range antiferromagnetic spin glass

I. Pseudogaps

Coulomb gap : Tunneling DOS



J. G. Massey and M. Lee, PRL 75, 4266 (1995)

Boron-doped
silicon matrix

$$n = 4.0 \cdot 10^{18} \text{ cm}^{-3}$$

$$n/n_c = 86\%$$

Soft “Coulomb gap” in the density of states in the classical limit

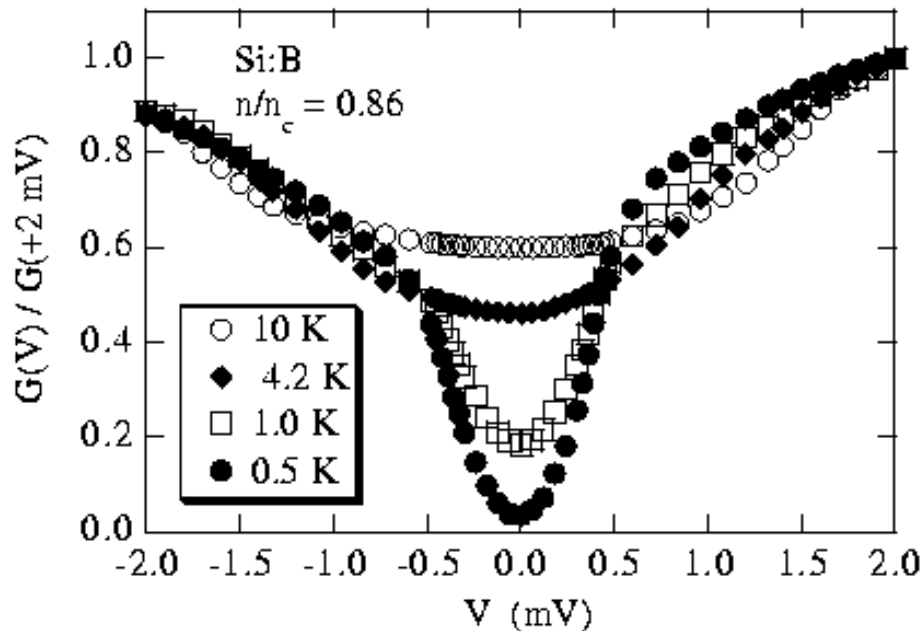
$$\text{Local fields: } E_i = \sum_{j \neq i} \frac{e^2}{\kappa r_{ij}} n_j + (\varepsilon_i - \mu) \quad \rho(E) = \frac{1}{N} \sum_{i=1}^N \delta(E - E_i)$$

Efros-Shklovskii:

$$\rho(E) = C \left(\kappa / e^2 \right)^3 E^2$$

$$\sigma(T) \propto \exp \left[- (T_{ES} / T)^{1/2} \right]$$

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↔ Mott insulator (charge ordered state): Hard gap

Long range spin glasses (SK-model)

SK model (N spins) + random fields

Sherrington and Kirkpatrick (1975)

G. Parisi (1979)

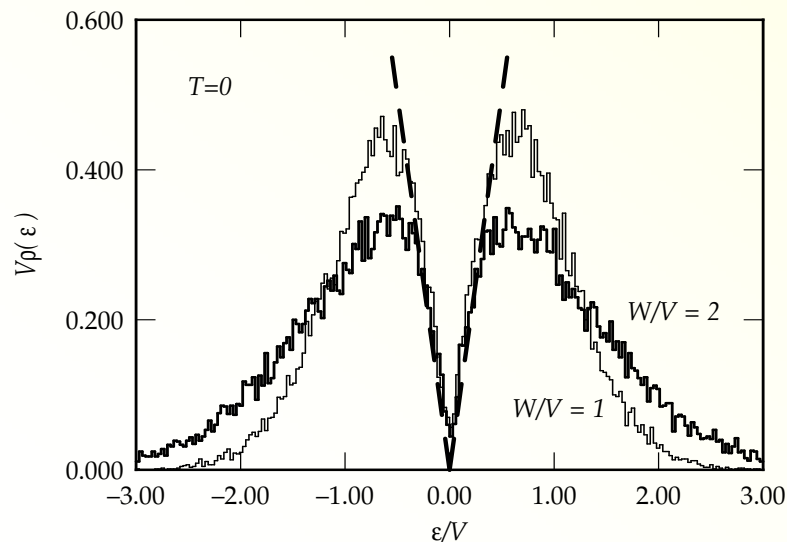
$$H = \frac{1}{2} \sum_{i \neq j} s_i J_{ij} s_j + \sum_i s_i h_i$$

Random exchange

$$P(J_{ij}) = \exp\left[-\frac{J_{ij}^2}{2NV^2}\right] / \sqrt{2\pi NV^2}$$

Random fields

$$P(h_i) = \exp\left[-\frac{h_i^2}{2W^2}\right] / \sqrt{2\pi W^2}$$



Linear 'Coulomb' gap!

Thouless, Anderson and Palmer, (1977)

Palmer and Pond (1979)

Bray, Moore (1980)

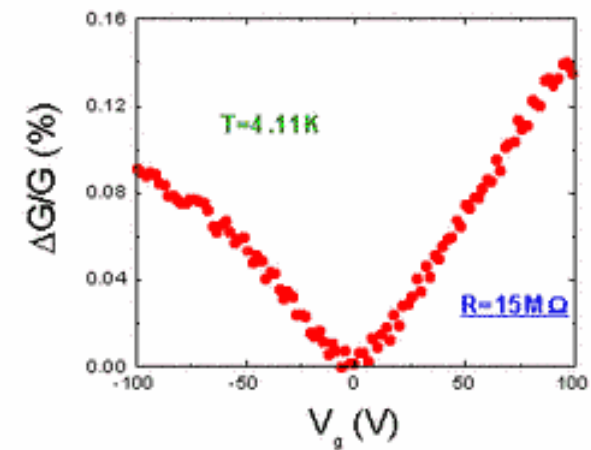
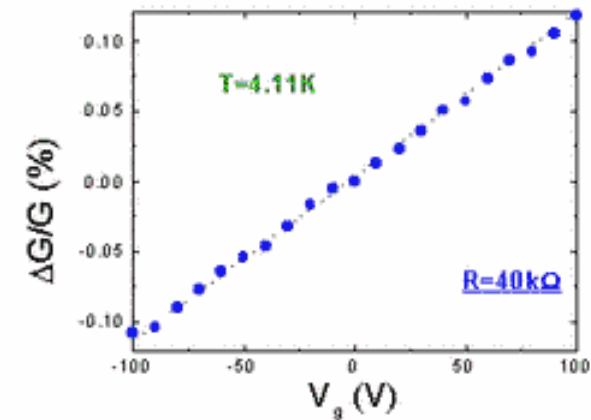
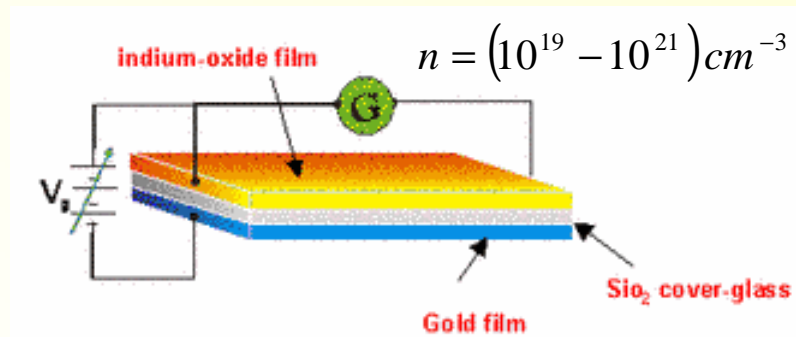
Sommers and Dupont (1984)

Dobrosavljevic, Pastor (1999)

II. Glassy behavior in electronic systems

Electron glasses: Anomalous field effect

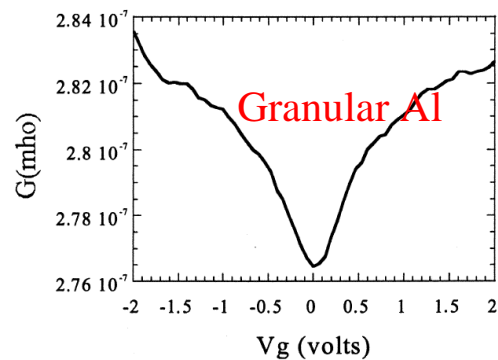
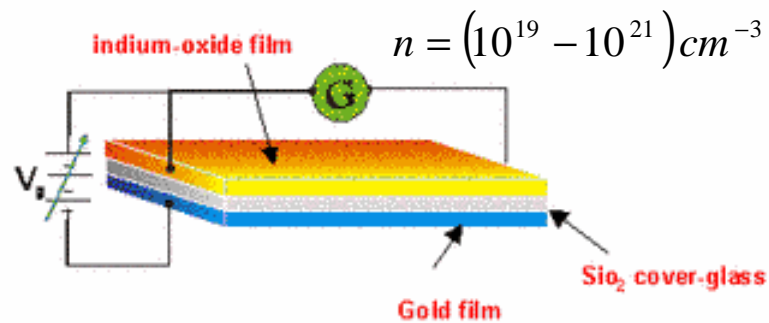
Indium-oxides $\text{In}_2\text{O}_{3-x}$ Z. Ovadyahu et al.



M. Ben-Chorin et al., PRL 84, 3402 (2000)

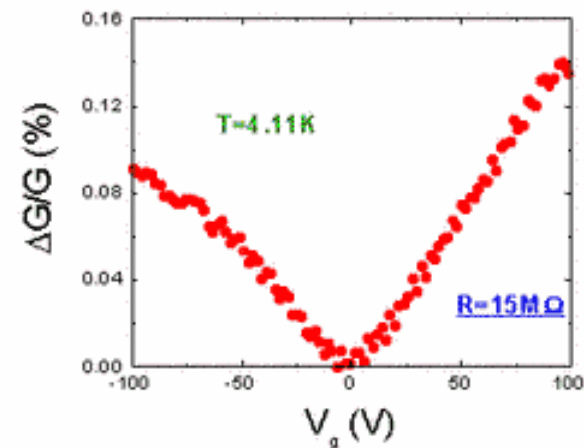
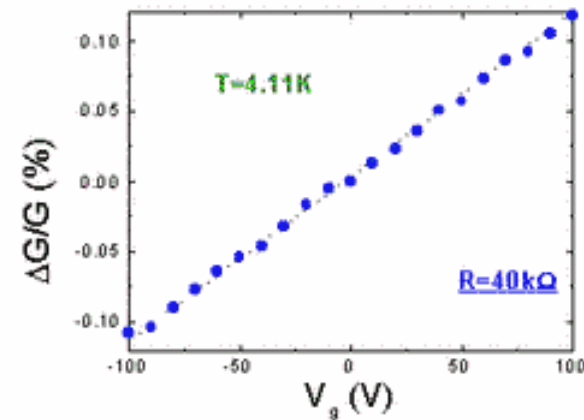
Electron glasses: Anomalous field effect

Indium-oxides $\text{In}_2\text{O}_{3-x}$ Z. Ovadyahu et al.



• T. Grenet, EPJ B 32, 275 (2003)

- Slow relaxation
- + • Aging
- Memory



M. Ben-Chorin et al., PRL 84, 3402 (2000)

Questions

- Why is the Coulomb gap so **universal**?
- How is the **pseudogap** related to **glassiness**?
- **Low temperature** description?
- **Experimental** consequences of the glass?

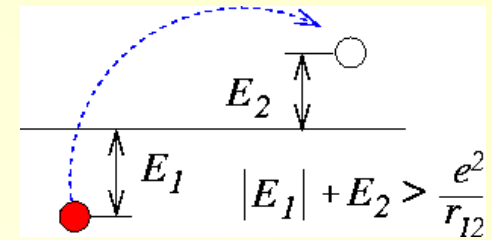


Review: The Coulomb gap

A. Efros, B. Shklovskii (1975)

Stability of ground state with respect to one particle hop:

The density of states at the Fermi level
must vanish at $T = 0$.

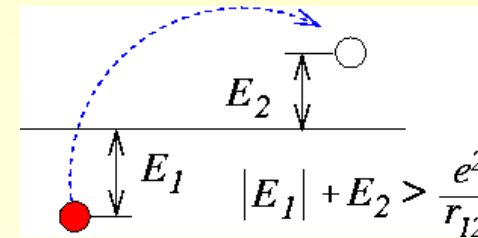


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Self-consistent argument:

$$R_E = \frac{e^2}{E} ; R_E^D \cdot \int_0^E \rho(E) dE \leq 1$$



Parabolic pseudogap in $D = 3$.

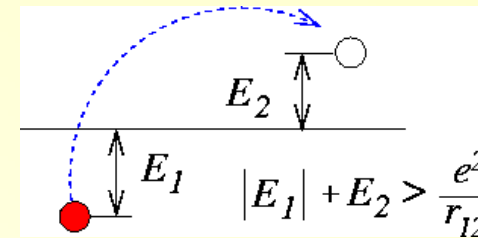
$$\rho(E) = cst. (\kappa/e^2)^D E^{D-1}$$

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Why is this upper bound saturated?
Why is the gap so universal?



Locator approximation for the Coulomb glass

MM and L.B. Ioffe, PRL 2004

S. Pankov and V. Dobrosavljevic, PRL 2005

MM and S. Pankov, condmat - 0611021

Locator approximation based on a systematic diagrammatic technique.

- Glass transition due to critical fluctuations in the screening
- Marginal stability and its relation to the saturated Efros-Shklovskii Coulomb gap.
- Low temperature universality

High T expansion

S. R. Johnson, D.E. Khmel'nitskii (1996)

Hamiltonian (Coulomb glass)

$$H = \frac{1}{2} \sum_{i \neq j} (n_i - \nu) \frac{e^2}{r_{ij}} (n_j - \nu) + \sum_i n_i \varepsilon_i$$

Particle hole symmetric case

$$\nu = 1/2 \quad s_i \equiv n_i - 1/2$$
$$J_{ij} \equiv e^2 / r_{ij}$$



$$H = \frac{1}{2} \sum_{i \neq j} s_i J_{ij} s_j + \sum_i s_i \varepsilon_i$$

Partition function

$$Z = \sum_{\{s_i\}} \exp \left\{ -\frac{1}{2} \sum_{i \neq j} s_i (\beta J)_{ij} s_j + \sum_i \beta \varepsilon_i s_i \right\}$$

$$= \int \prod_i d\varphi_i \sum_{\{s_i\}} \exp \left\{ -\frac{1}{2} \sum_{i \neq j} \varphi_i (\beta J)_{ij}^{-1} \varphi_j + \sum_i (\beta \varepsilon_i + i\varphi_i) s_i \right\}$$

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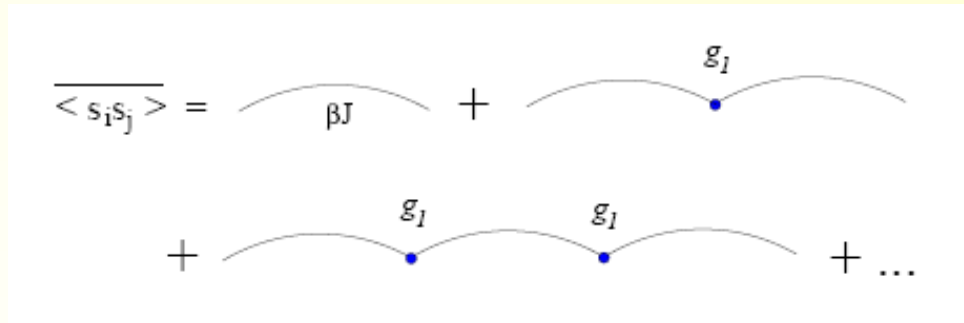
Replica trick

$$-\beta \bar{F} \equiv \overline{\ln[Z]} = \lim_{n \rightarrow 0} \frac{\overline{Z^n} - 1}{n}$$

Glass transition I

Disorder-averaged
correlations

$$\overline{\langle s_i s_j \rangle}_c = C \frac{e^{-r/\xi_1}}{r}, \quad \xi_1 \propto a \sqrt{W/E_{cb}}$$

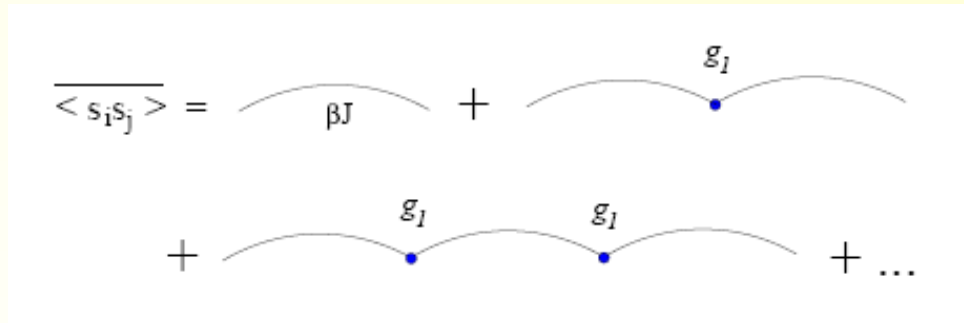


$$g_1 \propto \left\langle \frac{\beta}{\cosh^2(\beta \varepsilon)} \right\rangle_\varepsilon \propto \frac{1}{W}$$

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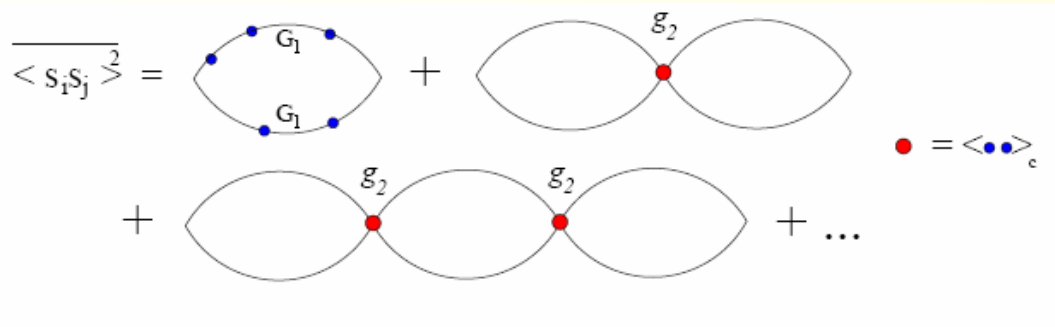
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Fluctuations

$$\overline{\langle s_i s_j \rangle^2}_c = C \frac{e^{-r/\xi_2}}{r},$$

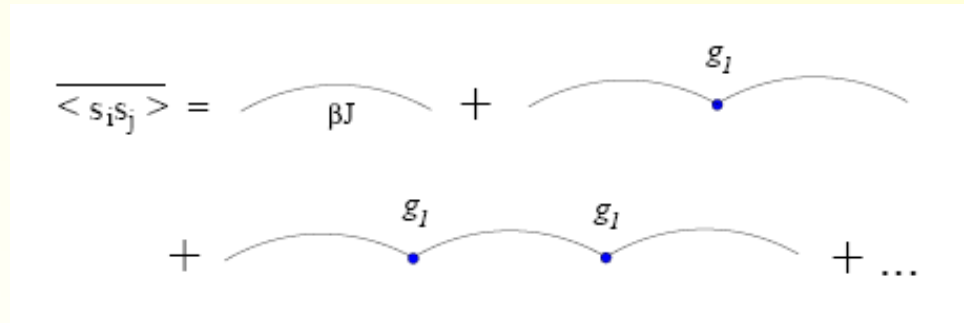


$$g_2 \propto \left\langle \frac{\beta^2}{\cosh^4(\beta \epsilon)} \right\rangle_\epsilon - g_1^2$$

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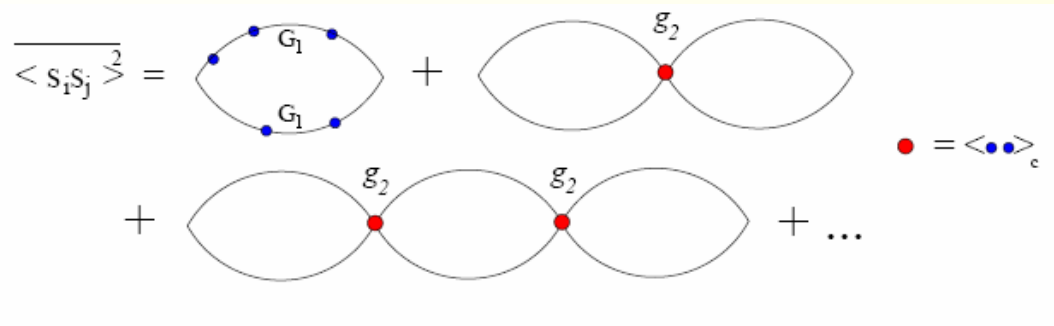


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Fluctuations

$$\overline{\langle s_i s_j \rangle_c^2} = C \frac{e^{-r/\xi_2}}{r},$$

$$\xi_2 \rightarrow \infty \text{ for } T \rightarrow T_c$$



$$g_2 \propto \left\langle \frac{\beta^2}{\cosh^4(\beta \epsilon)} \right\rangle_\epsilon - g_1^2$$

Glass transition II/III

Alternative views of T_c : II) Onsager back reaction

$$h_o = \begin{array}{c} \chi_j \\ \bullet \\ \text{---} \\ \text{---} \\ \text{---} \\ \circ \end{array} J_{j0} J_{0j} - \begin{array}{c} \chi_k \quad \chi_j \\ \bullet \quad \bullet \\ \text{---} \quad \text{---} \\ \text{---} \quad \text{---} \\ \text{---} \quad \text{---} \\ \circ \end{array} J_{jk} J_{j0} J_{0j} + \begin{array}{c} \chi_k \\ \bullet \\ \text{---} \\ \text{---} \\ \text{---} \\ \circ \end{array} J_{kl} J_{jk} J_{j0} J_{0j} - + \dots$$

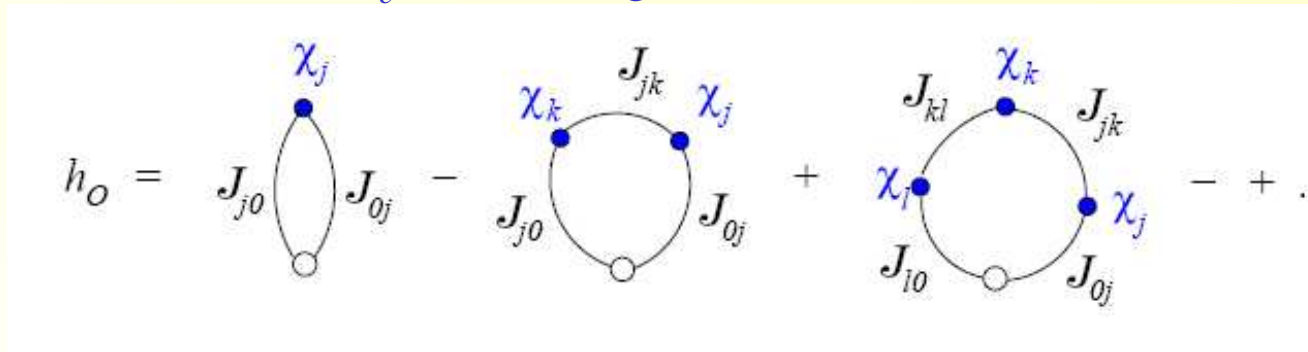
Back reaction of environment $\sim T$

$$h_o \approx \int_0^{\xi_1} d^3 r \frac{J^2(r)}{W} \approx T_c$$

→ Transition to collective, correlated state

Glass transition II/III

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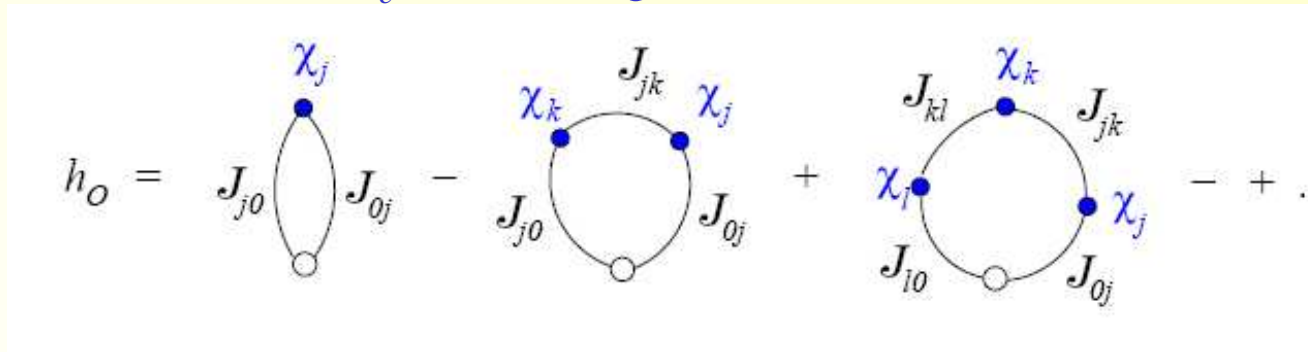
→ Transition to collective, correlated state

$$T_c = \frac{e^2/a}{6(2/\pi)^{1/4}} \sqrt{e^2/aW}$$

Width of Efros-Shklovskii gap!

Glass transition II/III

Alternative views of T_c : II) Onsager back reaction



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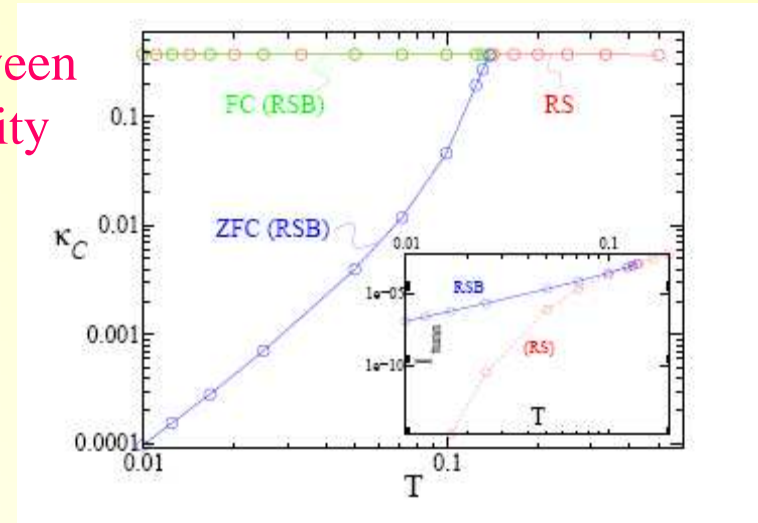
Width of Efros-Shklovskii gap!

III) Local approximation (MF theory): Instability of the high T (replica symmetric) phase

→ Continuous glass transition, same universality as the RF-SK model.

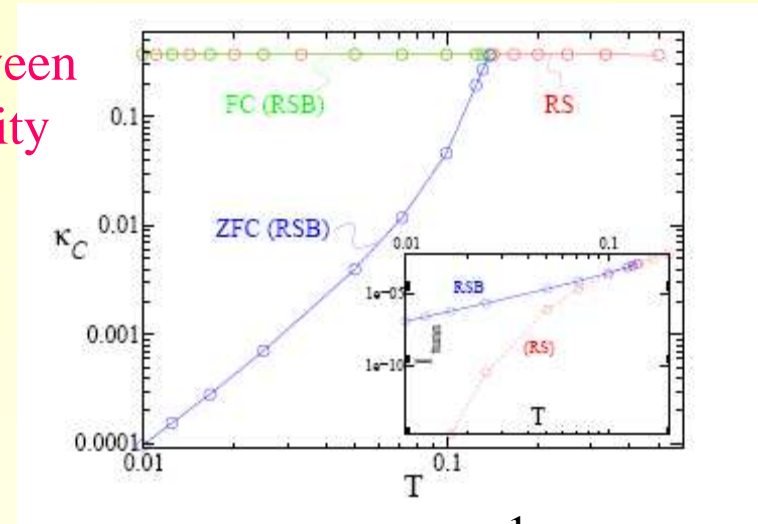
Properties of the glass phase

- Large number of pure states \rightarrow Difference between field cooled, and zero field cooled compressibility
- Broken ergodicity



Properties of the glass phase

- Large number of pure states → Difference between field cooled, and zero field cooled compressibility
- Broken ergodicity
- Marginal stability



→ Widely spread charge response (screening) $\langle n_j | n_i = n \rangle - \langle n_j \rangle \propto \frac{1}{r^\alpha}$

→ Detect glass phase by non-local charge response!

→ The system is **permanently** in a critical (almost unstable) state with excitations down to zero energy.

→ Soft collective modes and slow dynamics.

→ Expect effects of these modes on activated transport (hopping).

Below T_c : Locator approximation

M. Feigel'man, A. Tsvelik (1979)

A. Bray, M. Moore (1979)

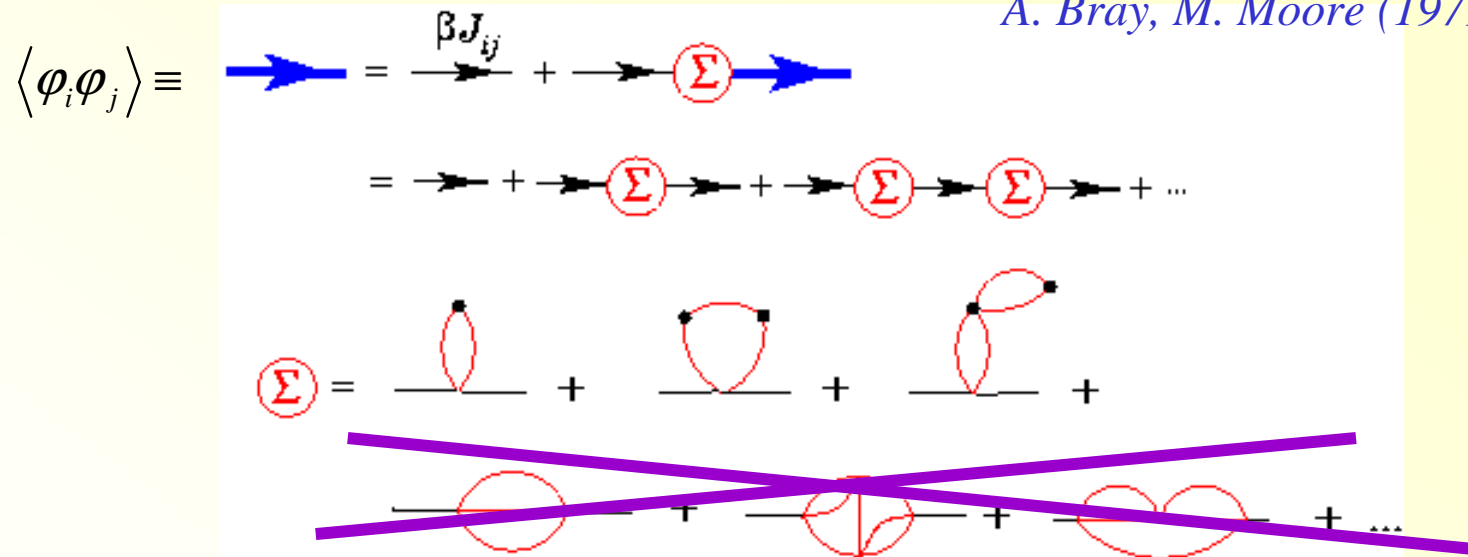
$$\begin{aligned}
 \langle \varphi_i \varphi_j \rangle &\equiv \text{blue arrow} = \xrightarrow{\beta J_{ij}} + \text{red circle } \Sigma \text{ blue arrow} \\
 &= \text{black arrow} + \text{red circle } \Sigma \text{ black arrow} + \text{red circle } \Sigma \text{ red circle } \Sigma \text{ black arrow} + \dots \\
 \Sigma &= \text{red loop} + \text{red loop} + \text{red loop} + \dots \\
 &= \text{red circle} + \text{red circle} + \text{red circle} + \dots
 \end{aligned}$$

$\propto \left(\frac{\beta E_{Cb}^2}{W} \right)^{1/2} \frac{1}{(\beta W)^3}$
 $\propto \left(\frac{E_{Cb}}{W} \right)^3 \frac{1}{(\beta W)^3}$

Below T_c : Locator approximation

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Local self-energy with non-trivial replica structure

$$\Sigma_{ab}(k) \approx \Sigma_{ab}$$

Map to an effective **single-site model** with a **selfconsistent self-energy Σ** (“local field”).

Mapping to a single-site model

$$\beta H(\{s_i\}) = \beta \left(\frac{1}{2} \sum_{i \neq j} s_i J_{ij} s_j + \sum_i s_i \mathcal{E}_i \right)$$
$$\longrightarrow \beta H_0(\{s^a\}) = -\frac{\beta^2}{2} \sum_{a,b} s^a (\Lambda_{ab} + W^2) s^b$$

→ Resummation of all diagrams with local self-energies.

Self-consistency of the coupling Λ_{ab}

$$Q_{ab} \equiv \frac{1}{N} \sum_i \langle s_a^i s_b^i \rangle_H = \langle s_a s_b \rangle_{H_0}$$

→ Exact for SK spin glass, controlled approximation for Cb-glass.

Replica symmetry breaking (RSB)

G. Parisi (1979)

Effective single site problem: How to break replica symmetry?

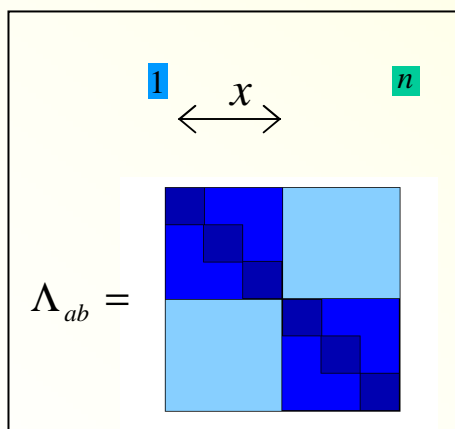
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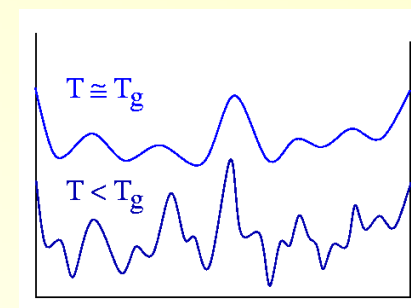
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Ultrametric hierarchy of replica clusters \leftrightarrow
Valley structure in energy landscape. Exponential distribution of energies
 $P(F_k) \propto \exp[+x_k \beta F_k]$

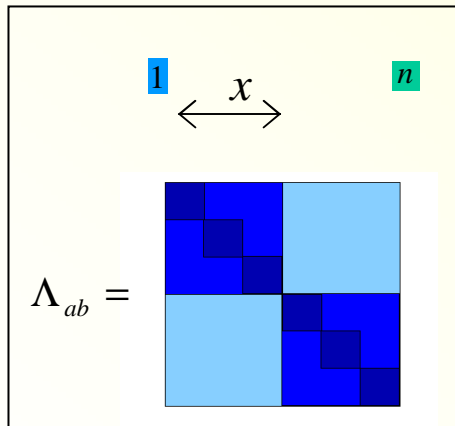


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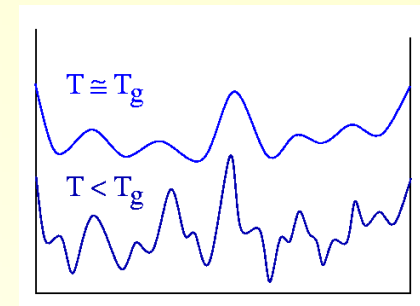
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Ultrametric hierarchy of replica clusters \leftrightarrow
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$$P(F_k) \propto \exp[+x_k \beta F_k]$$

$n \rightarrow 0$ Continuous RSB: $\Lambda_{ab} \rightarrow \Lambda(x)$

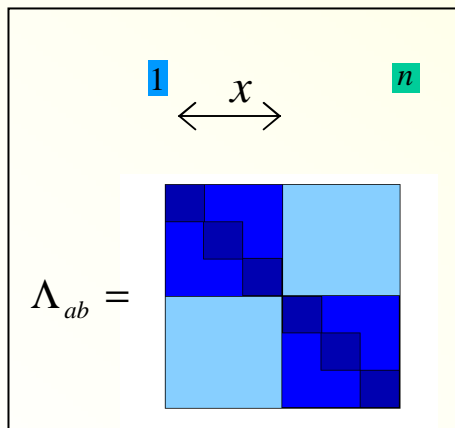


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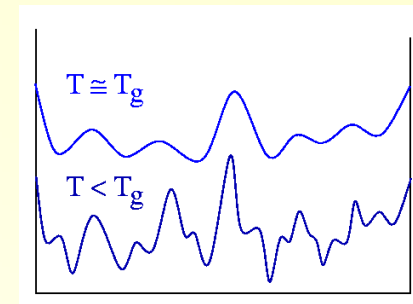
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$n \rightarrow 0$ Continuous RSB: $\Lambda_{ab} \rightarrow \Lambda(x)$



Dynamical interpretation:
Hierarchy of time scales

H. Sompolinsky, A. Zippelius (1981)

$$t_{\text{micro}} \ll t_k \ll t_{k-1} \ll \dots \ll t_2 \ll t_1 \ll t_{\text{max}} \quad k \rightarrow \infty$$

$$1 > x_k > x_{k-1} > \dots > x_2 > x_1 > 0$$

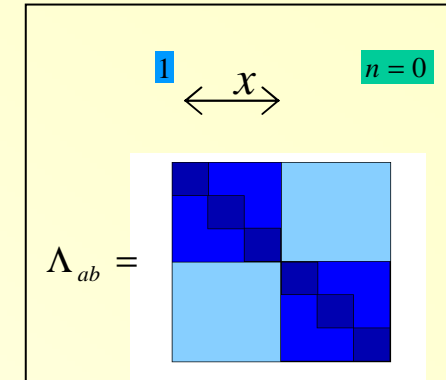
How to solve the single site problem

$$\beta H_0(\{s^a\}) = -\frac{\beta^2}{2} \sum_{a,b} s^a (\Lambda_{ab} + W^2) s^b$$

Hierarchy of
time scales

$$t_{loc} \ll t_k \ll t_{k-1} \ll \dots \ll t_2 \ll t_1 \ll t_{max}$$

$$1 > x_k > x_{k-1} > \dots > x_2 > x_1 > 0$$



Average magnetization of a spin on time
scale x in presence of a frozen field y :

$$m(x = x(t), y)$$

Distribution of frozen fields on times
scale t_x (= Density of states at $x=1$!)

$$P(x = x(t), y)$$

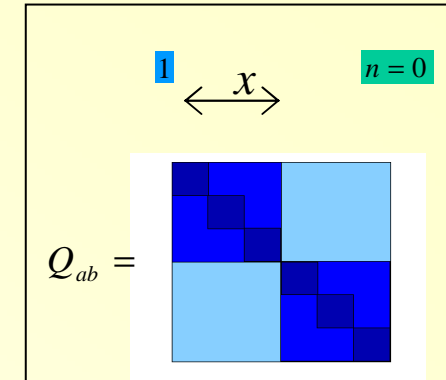
Parisi (1979)

Duplantier (1981)

Sommers, Dupont (1984)

How to solve the single site problem

$$\beta H_0(\{s^a\}) = -\frac{\beta^2}{2} \sum_{a,b} s^a Q_{ab} s^b$$



Hierarchy of time scales

$$t_{loc} \ll t_k \ll t_{k-1} \ll \dots \ll t_2 \ll t_1 \ll t_{max}$$

$$1 > x_k > x_{k-1} > \dots > x_2 > x_1 > 0$$

Average magnetization of a spin on time scale x in presence of a frozen field y :

$$m(x = x(t), y)$$

Parisi (1979)

Duplantier (1981)

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Distribution of frozen fields on times scale t_x (= Density of states at $x=1$!)

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Temporal flow equations

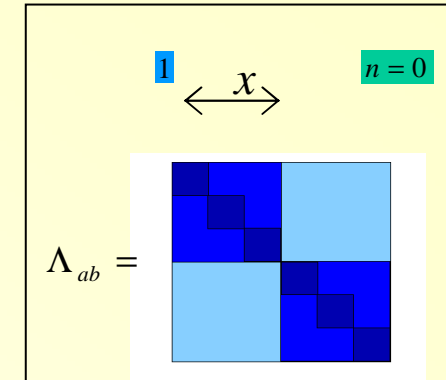
$$\dot{P}(x, y) = \frac{\dot{Q}(x)}{2} [P'' - 2x\beta(P'm + Pm')]$$

$$\dot{m}(x, y) = -\frac{\dot{Q}(x)}{2} [m'' + 2x\beta mm']$$

← Continuous $Q(x)$

How to solve the single site problem

$$\beta H_0(\{s^a\}) = -\frac{\beta^2}{2} \sum_{a,b} s^a (\Lambda_{ab} + W^2) s^b$$



Hierarchy of time scales

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Selfconsistency

$$Q(x) = \int_{-\infty}^{\infty} dy P(x, y) m^2(x, y)$$

$$\Lambda(x) = \Lambda\{Q(x')\}$$

$D, J(r)$

Analysis of the single site problem

Free energy per replica on time scale x in presence of a frozen field y :

$$\exp[x\varphi(x, y)] \equiv \sum_{\{\sigma_a = \pm 1\}} \exp\left[\frac{\beta^2}{2} \sum_{ab=1}^x \sigma_a (\Lambda_{ab} - \Lambda(x)) \sigma_b + \beta \sum_{a=1}^x y \sigma_a\right]$$

Iteration from $x \rightarrow x - \Delta x \rightarrow$ “temporal” flow equation

$$\dot{\varphi}(x, y) = -\frac{\dot{\Lambda}(x)}{2} [\varphi'' + x\varphi'^2]$$

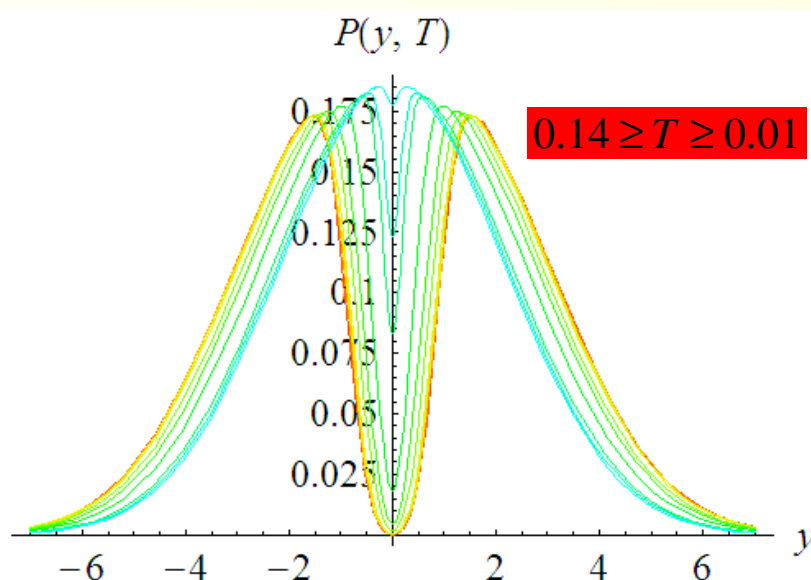
Mean occupation/magnetization

$$m(x, y) \equiv \beta^{-1} \varphi'(x, y) = \langle s^a \rangle_{H_x}$$

Results: Temperature Evolution of the Coulomb gap

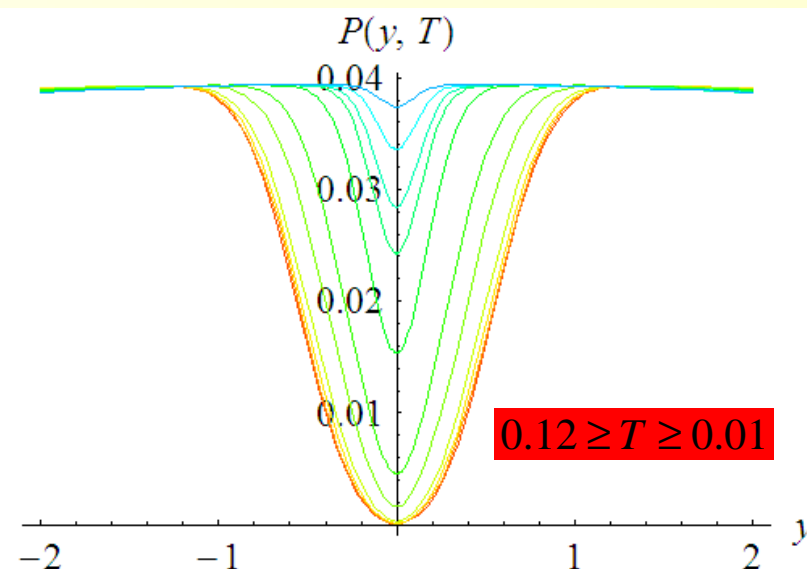
$$W = 2e^2/2a$$

$$T_c = 0.140e^2/2a$$



$$W = 10e^2/2a$$

$$T_c = 0.123e^2/2a$$



Results: Low T scaling

Continuous replica symmetry breaking

↔ Marginal stability

Excitation spectrum around local minima extends down to zero.

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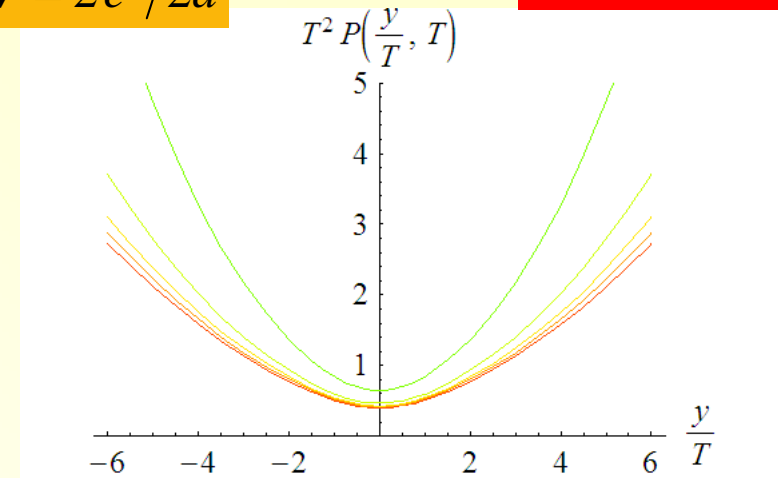
→ Universal Coulomb gap at low T

$$P(y) \xrightarrow{T \rightarrow 0} T^2 \Psi(y/T)$$

$$P(y) \propto y^2 \text{ for } y > T$$

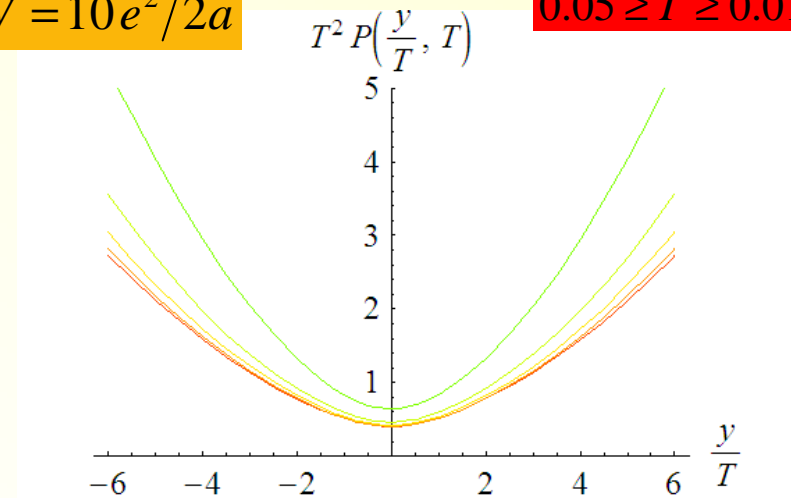
$$W = 2e^2/2a$$

$$0.05 \geq T \geq 0.01$$



$$W = 10e^2/2a$$

$$0.05 \geq T \geq 0.01$$



Results: Low T scaling

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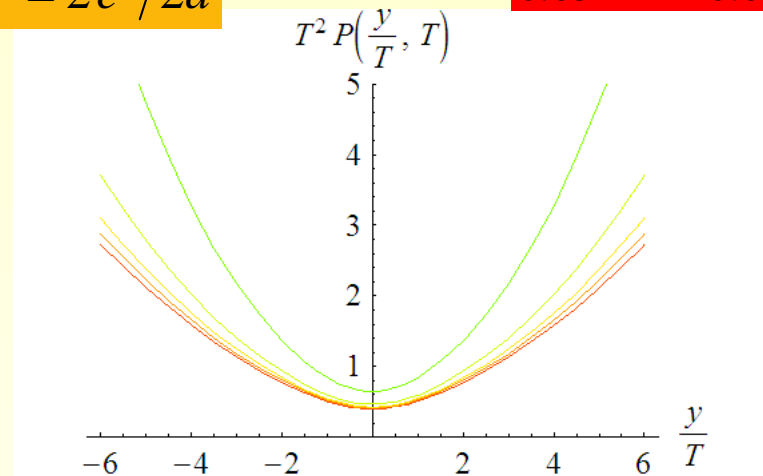
General interactions:

$$J(r) \propto 1/r^\alpha$$

D dimensions $2 \rightarrow D/\alpha - 1$

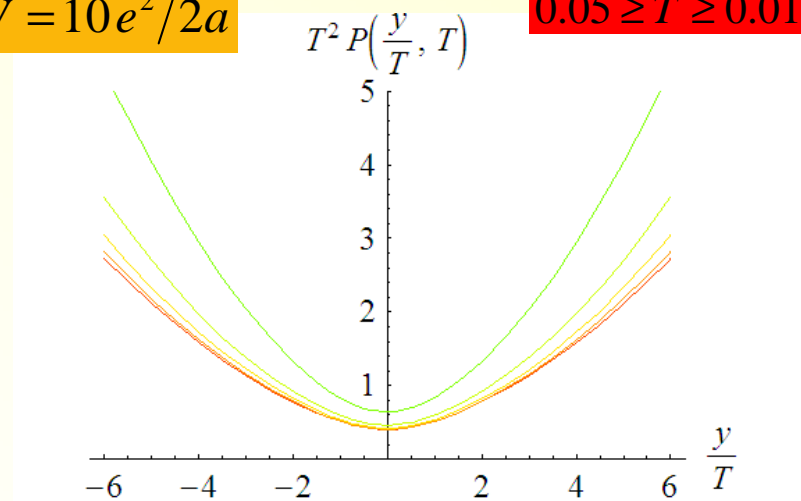
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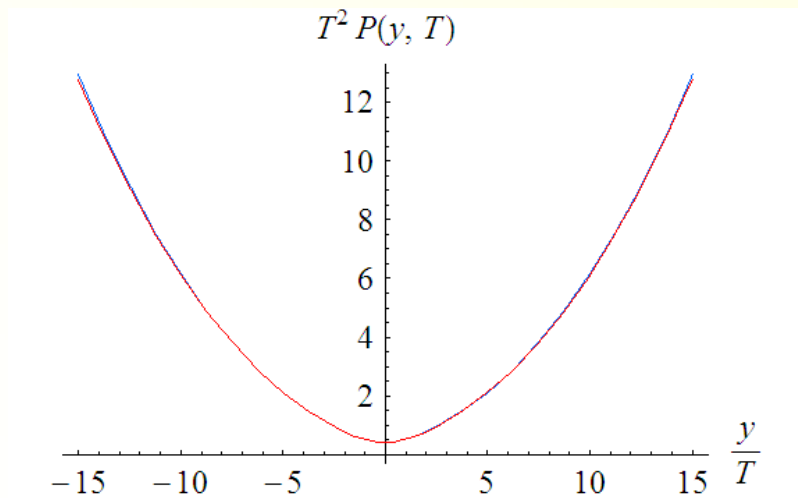
Results: Independence of disorder

3D Coulomb glass

Independence of disorder at low T!

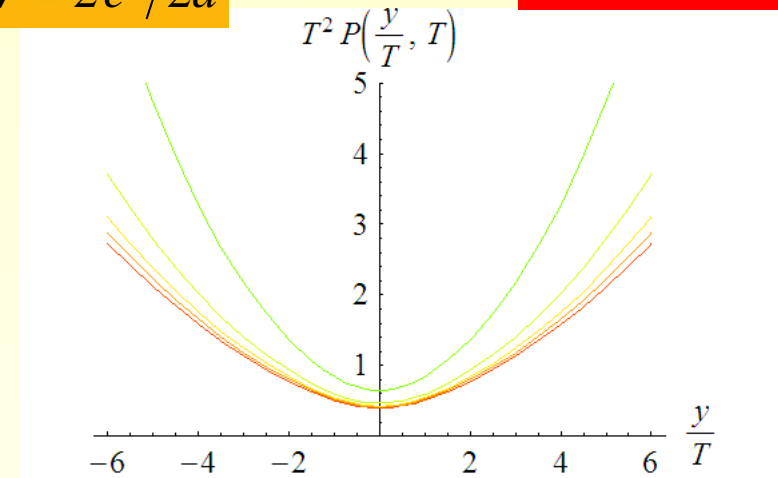
$$W = 2, 10$$

$$T = 0.01 e^2 / 2a$$



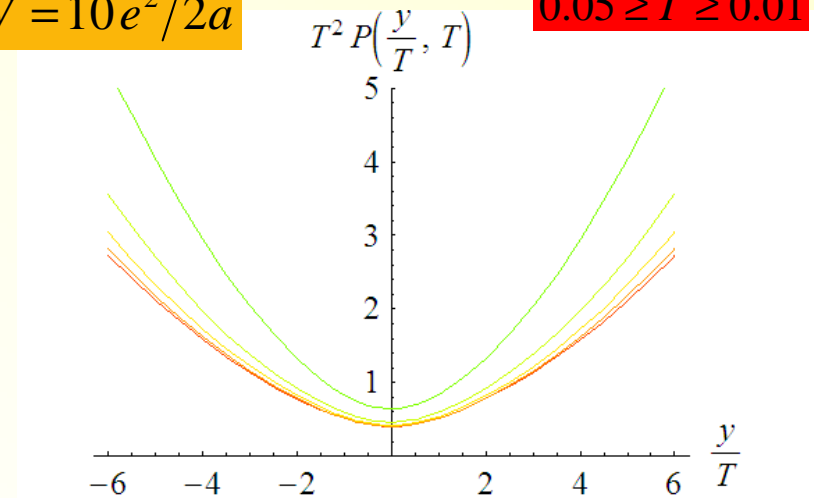
$$W = 2 e^2 / 2a$$

$$0.05 \geq T \geq 0.01$$



$$W = 10 e^2 / 2a$$

$$0.05 \geq T \geq 0.01$$



Why is the low T behavior so
universal?

Fixed point in flow equations: Selfsimilarity in dynamics

S. Pankov (2006)

Rewrite temporal flow equations in natural variables

$$x \rightarrow a \equiv \beta x \equiv 1/T_{\text{eff}} \quad (\text{Sompolinsk time or effective } T)$$

$$y \rightarrow z \equiv \beta x y = y/T_{\text{eff}} \quad (\text{Local field})$$

$$\tilde{p}(a, z) \equiv (\beta x)^2 P(x, y = z/\beta x)$$

$$\tilde{m}(a, z) \equiv m(x, y = z/\beta x)$$



$$a \partial_a \tilde{m}(a, z) = -z \tilde{m}' - \frac{a^3 \dot{\Lambda}(a)}{2} [\tilde{m}'' + 2 \tilde{m} \tilde{m}']$$

$$a \partial_a \tilde{p}(a, z) = 2 \tilde{p} - z \tilde{p}' + \frac{a^3 \dot{\Lambda}(a)}{2} [\tilde{p}'' - 2(\tilde{p}' \tilde{m} + \tilde{p} \tilde{m}')]$$

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$$a^3 \dot{\Lambda}(a) / 2 \rightarrow c;$$

Like RG in time a !

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Like RG in time a !

$$\tilde{m}(a, z) \rightarrow m^*(z)$$

$$\tilde{p}(a, z) \rightarrow p^*(z)$$

$$\beta \gg a \equiv \beta_{eff} \gg \beta_c$$

Fixed point in flow equations: Selfsimilarity in dynamics

MM, S. Pankov (2006)

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Consequences

- **Disorder independence:** Fixed point (short times) independent of W

Fixed point in flow equations: Selfsimilarity in dynamics

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$$\rho(m, x) \equiv \int dy \delta(m - m(x, y)) P(x, y) = \frac{1}{x^2} \rho^*(m)$$

Fixed point in flow equations: Selfsimilarity in dynamics

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- Generalization of the **fluctuation-dissipation relation:** Exact for every x (*Sompolinsky*).

$$R(t, t') = \beta \frac{\partial C(t, t')}{\partial t'} \Rightarrow R(t, t') = \beta x(C) \frac{\partial C(t, t')}{\partial t'}$$

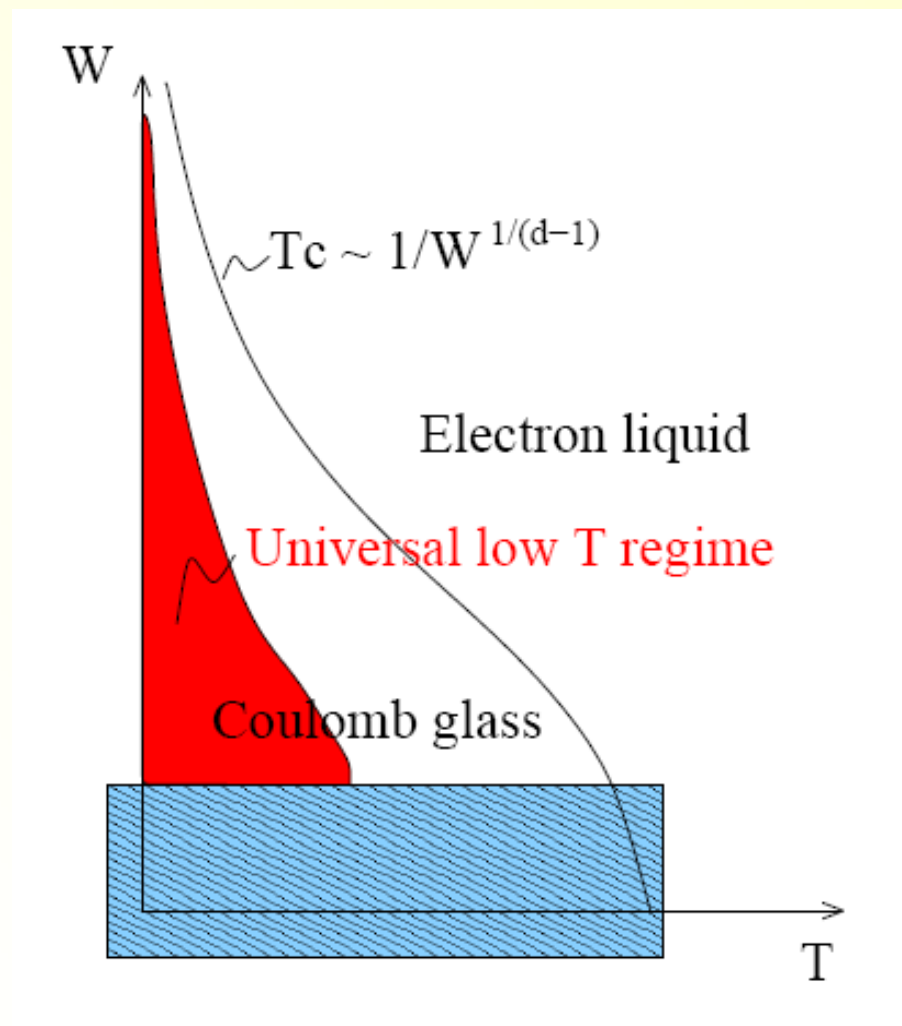
$$\beta \Rightarrow \beta_{eff} = x\beta$$

- **Local meaning of T_{eff}**

Time-averaged magnetization:
Function only of y/T_{eff}

$$m(x, y) \approx m^*\left(\frac{y}{T_{eff}}(x)\right)$$

Summary of theoretical results



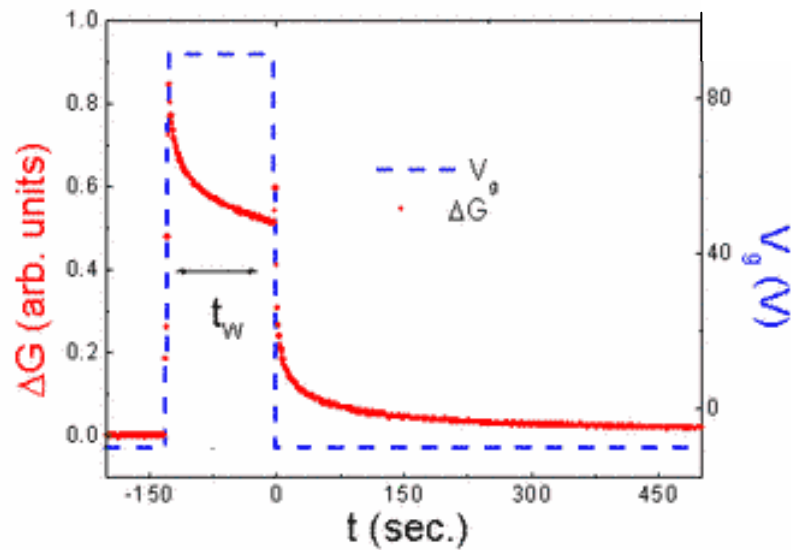
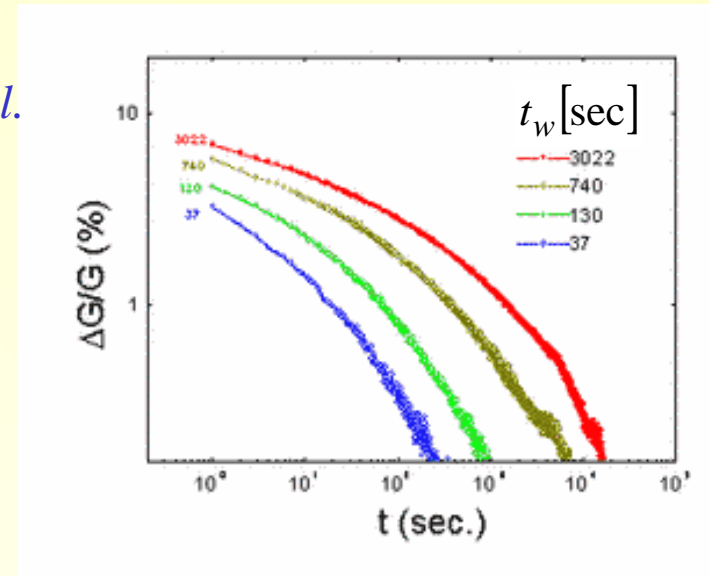
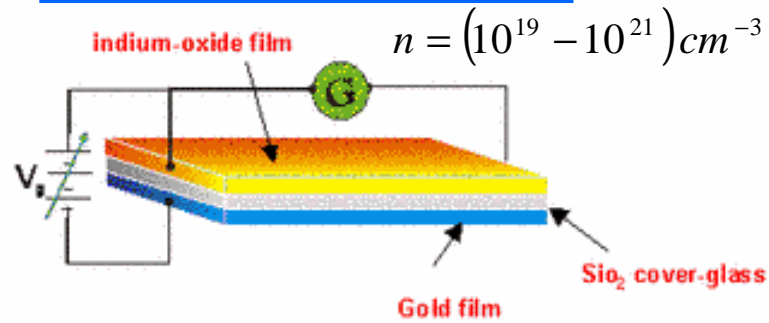
Connection with Experiments

—

Aging

Electron glasses: Relaxation and aging

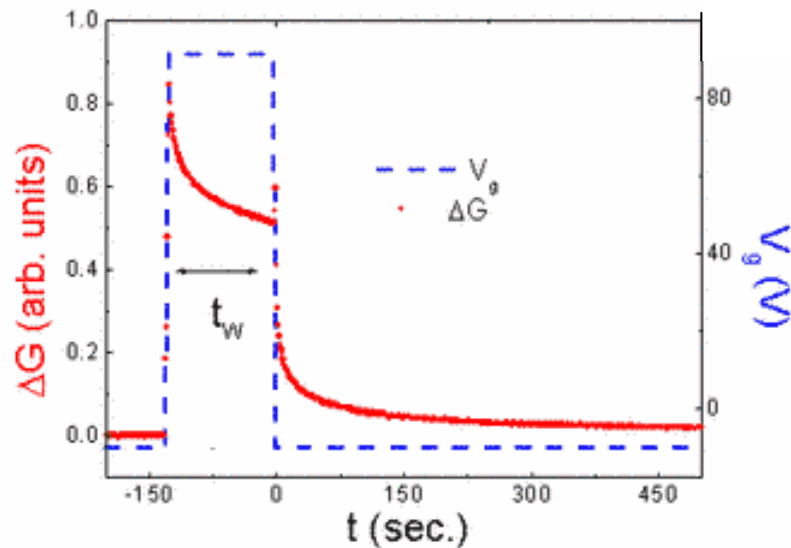
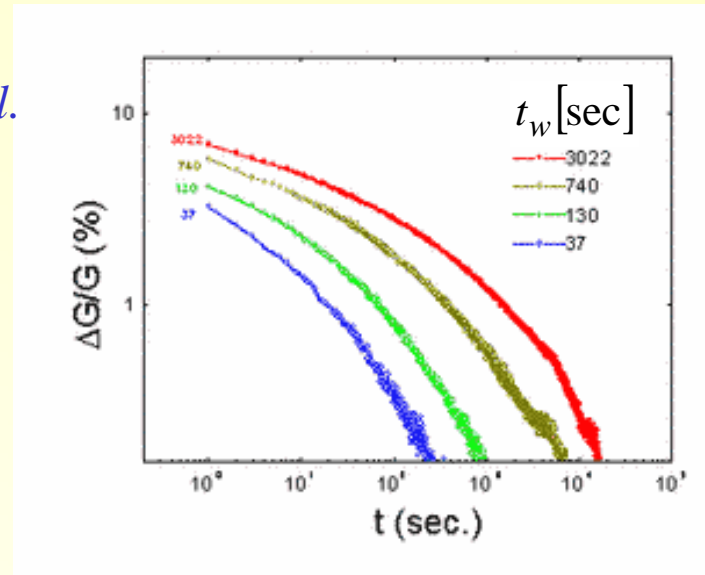
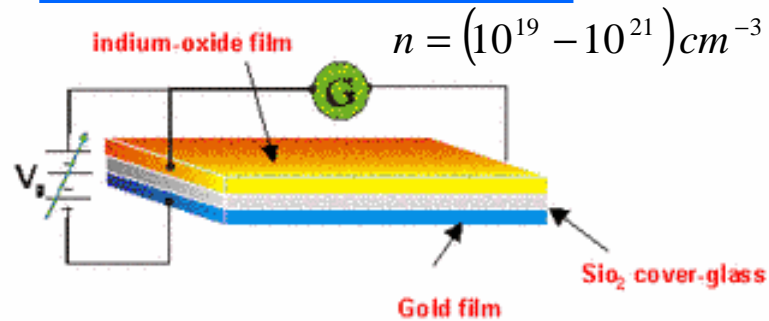
Indium-oxides $\text{In}_2\text{O}_{3-x}$ Z. Ovadyahu et al.



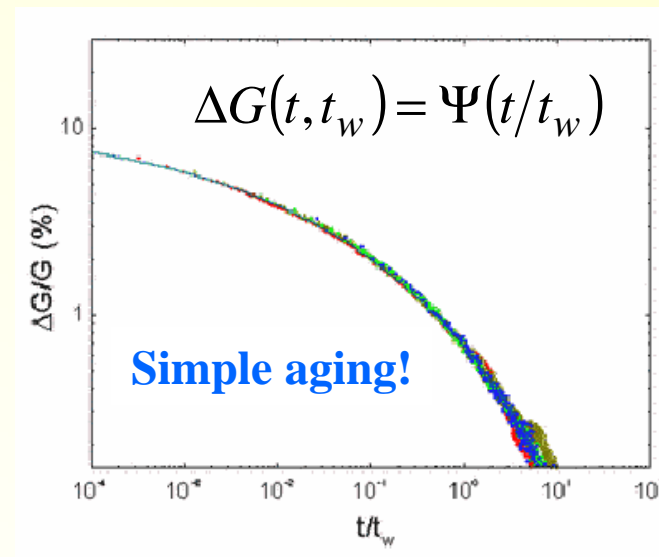
A. Vaknin et al., PRL 84, 3402 (2000)

Electron glasses: Relaxation and aging

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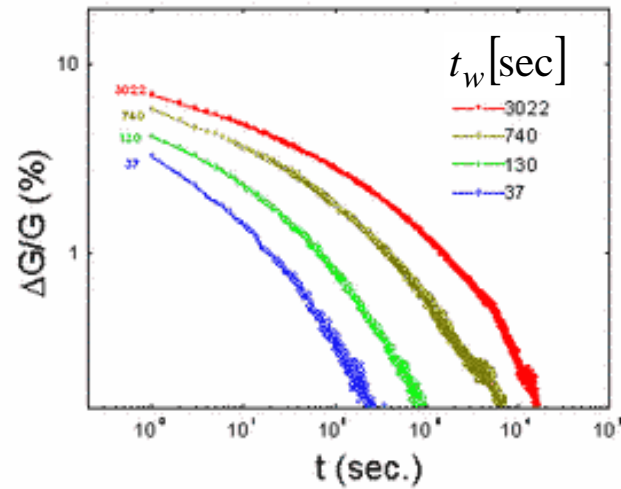


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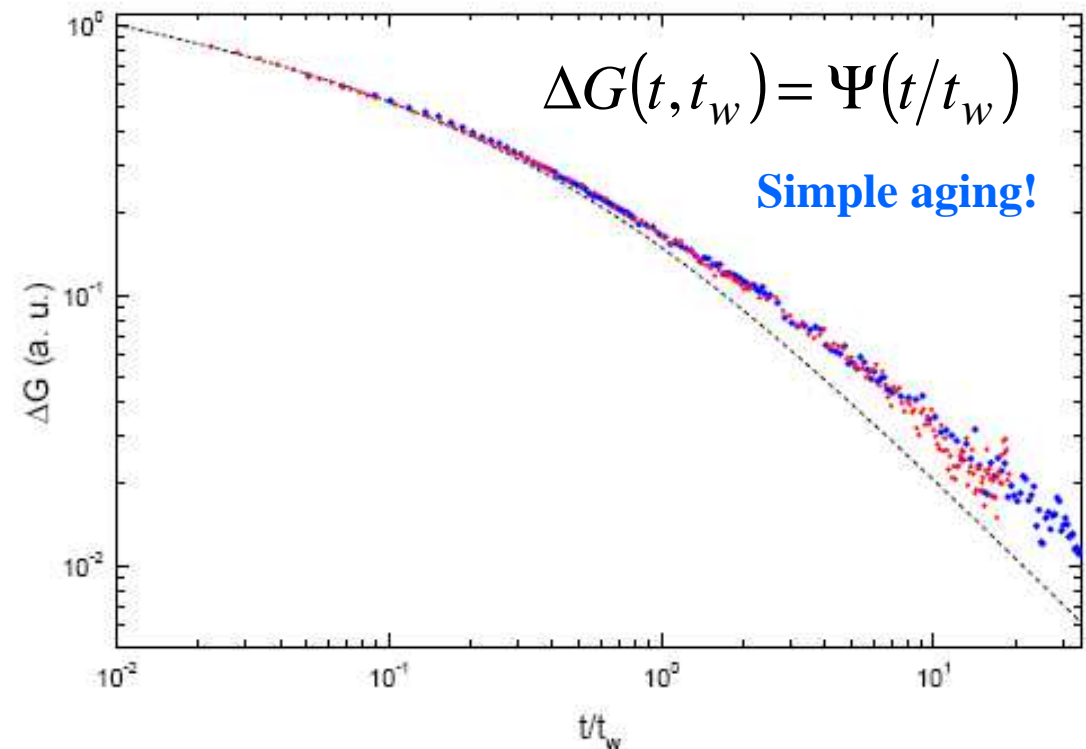
Connections with experiment?

- • Aging: Properties of slow relaxation and simple aging



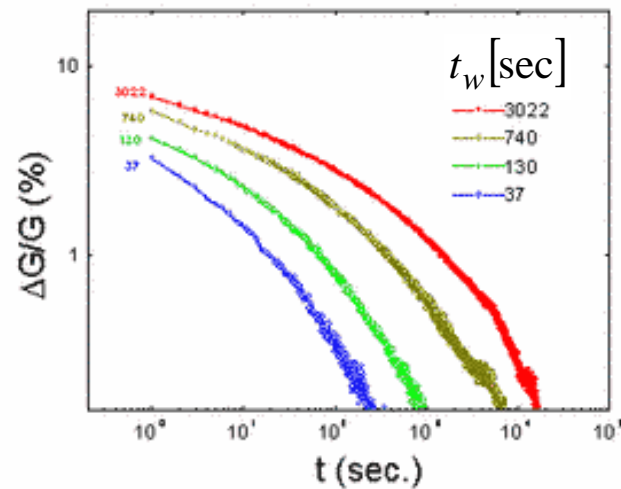
Z. Ovadyahu (2006)

Indium-oxide



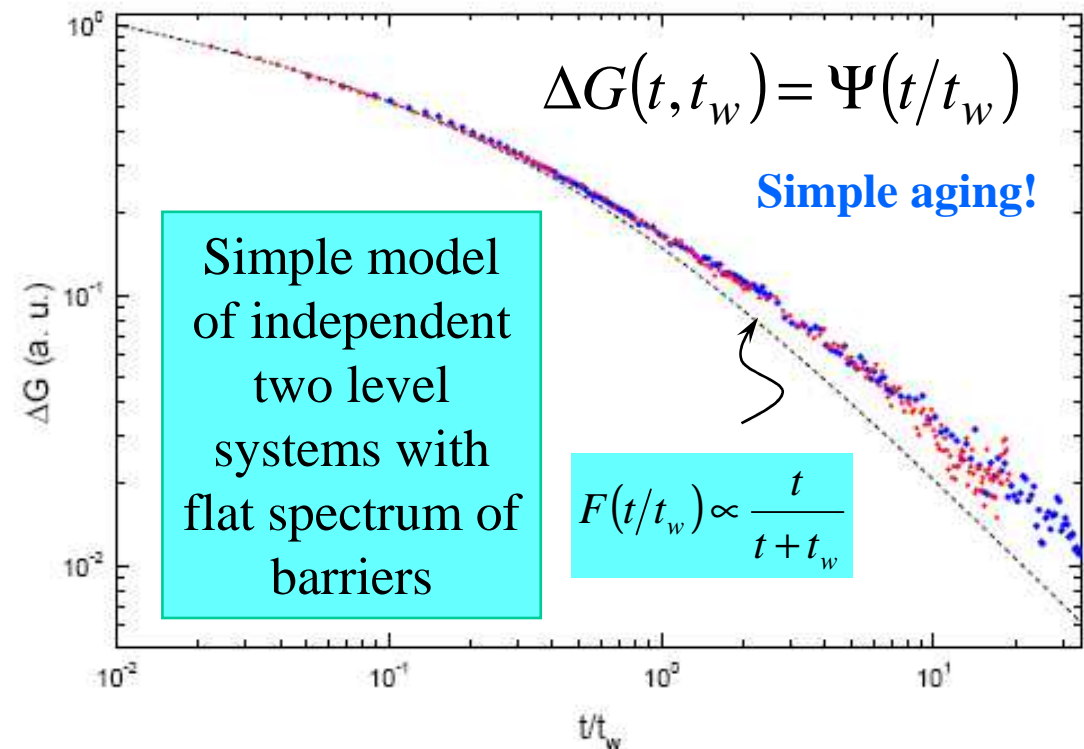
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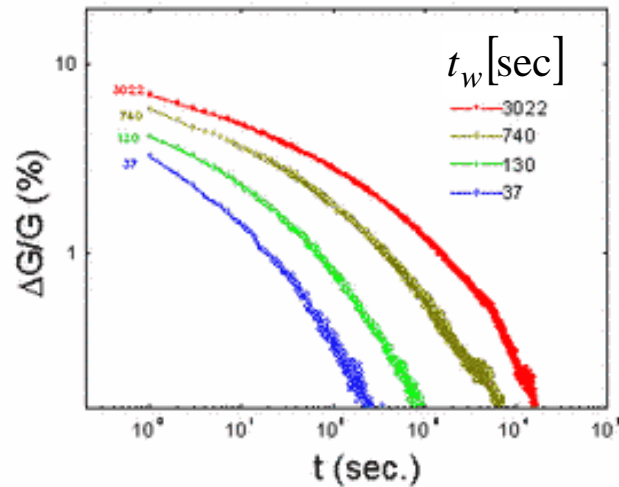
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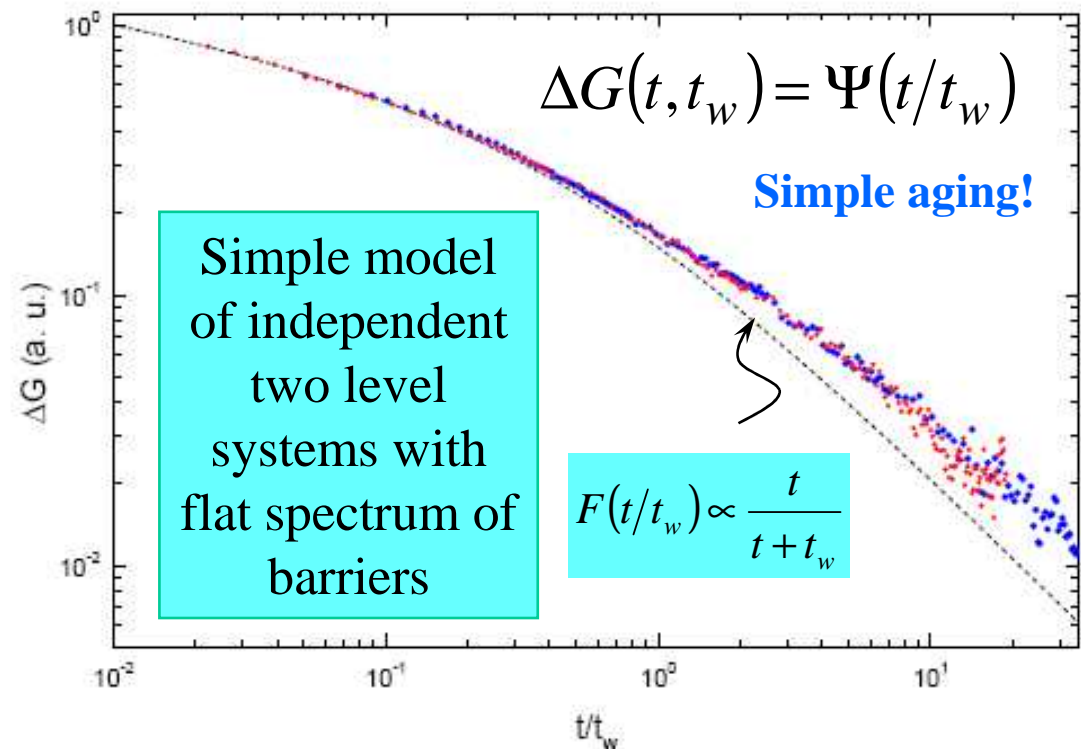
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Z. Ovadyahu (2006)

Indium-oxide

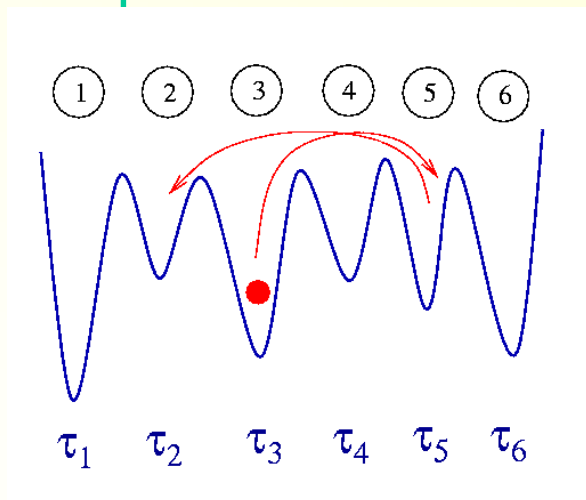
There is more structure to the glass!



Aging on a Parisi tree

*J.P. Bouchaud,
D. Dean*

Trap model



$$P(F) = \exp(-x\beta F)$$

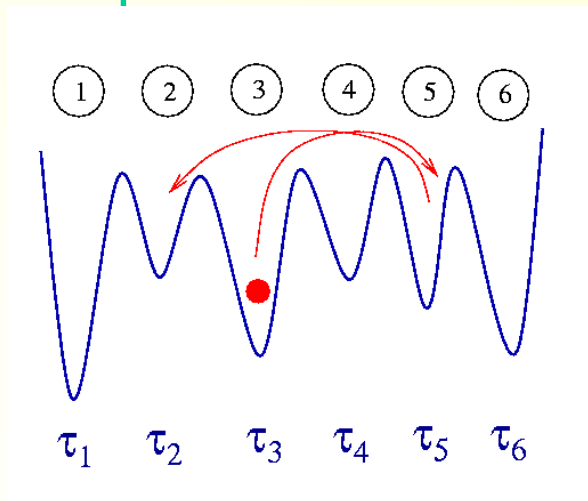
$$\tau_i = \exp(\beta F_i)$$

$$\rightarrow \tilde{P}(\tau) \propto \frac{1}{\tau^{1+x}}$$

Aging on a Parisi tree

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Trap model



$$\Delta G \propto (t_w / t + t_w)^x \quad t > t_w$$

$$x = ?$$

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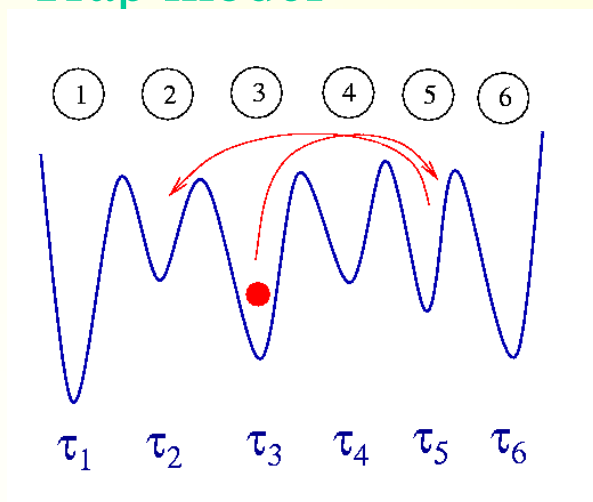
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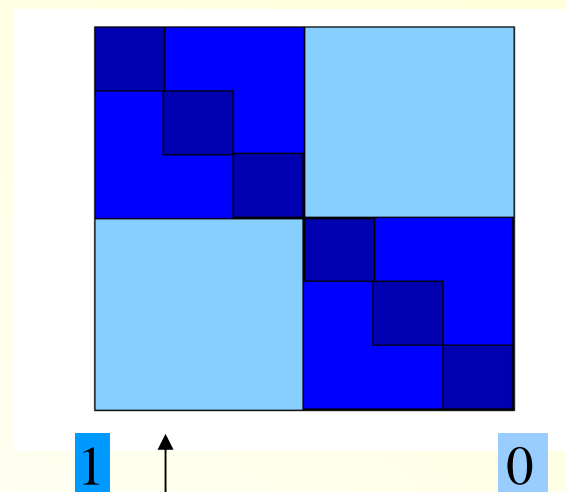
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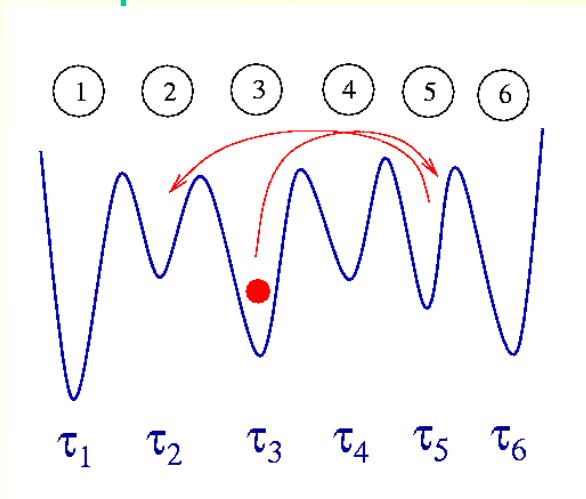
$$x_{\max} \approx 0.8$$

(3D Coulomb)

Aging on a Parisi tree

*J.P. Bouchaud,
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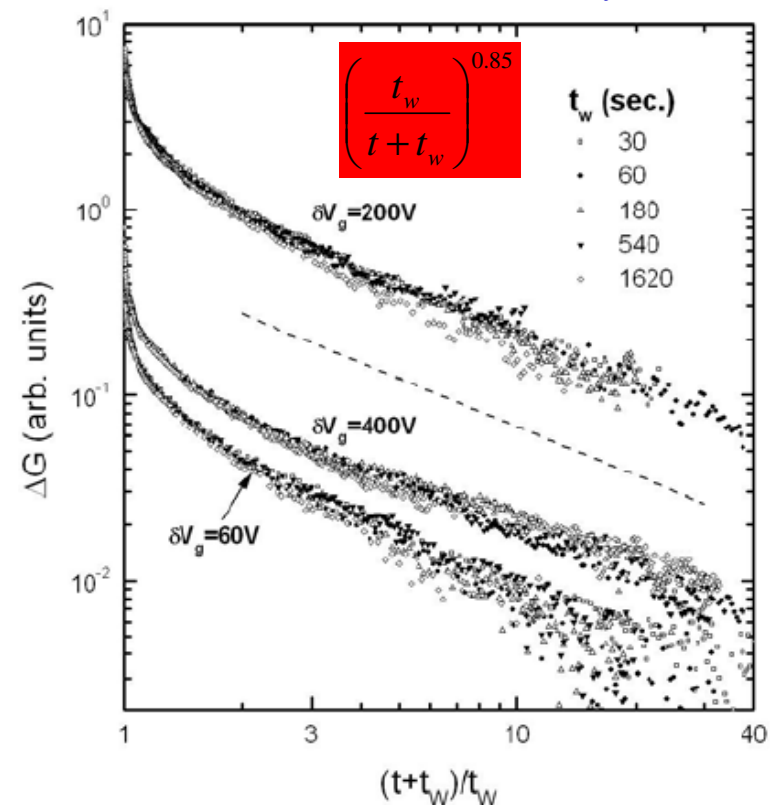
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Z. Ovadyahu (2006)



Conclusions

Low T analysis of the Coulomb glass phase:

- Marginal stability → prediction of collective soft modes
- Saturation and universality of the Coulomb gap
- Selfsimilarity in temporal evolution
- Relation with functional RG?
- Prediction for aging.