

Virtual Riemann-Roch and Applications

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Invariants of moduli spaces X (Donaldson inv,
Donaldson-Thomas inv.)

- $\int_X \alpha \in \mathbb{Q}, \quad \alpha \in A^*(X),$
- $\chi(X, V) \in \mathbb{Z}, \quad V$ vector bundle on X .

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Good situation: X has perfect obstruction theory $[E^{-1} \rightarrow E^0]$

$d = \text{rk}(E^0) - \text{rk}(E^{-1})$ expected dimension

- virtual fundamental class $[X]^{\text{vir}} \in A_d(X)$
compute $\int_{[X]^{\text{vir}}} \alpha$.
- virtual structure sheaf $\mathcal{O}_X^{\text{vir}} \in K_0(X)$
compute $\chi^{\text{vir}}(X, V) := \chi(X, V \otimes \mathcal{O}_X^{\text{vir}})$

Philosophy: Pair (X, E^\bullet) of proper scheme and perfect obstruction theory is viewed as "virtually smooth scheme". Should behave like a nonsingular variety.

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Applications: Study virtual analogues of χ_Y -genus, Euler characteristic, Elliptic genus. They behave as if X was nonsingular.
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Donaldson invariants.

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Other work Similar results are obtained by Ciocan-Fontanine and Kapranov for DG-schemes.

Definition

Let X proper scheme. A **perfect obstruction theory** on X is a complex $[E^{-1} \rightarrow E^0]$ of vector bundles on X with morphism $\phi : E^\bullet \rightarrow L_X$ in derived category such that

- $h^0(\phi)$ is isomorphism
- $h^{-1}(\phi)$ is surjective

Here $L_X = \text{cotangent complex}$. Only need

$$\tau_{\geq -1} L_X = [I/I^2 \rightarrow \Omega_M|_X]$$

for $X \subset_{\text{closed}} M$ nonsingular, $I = I_{X/M}$.

Definition

A pair (X, E^\bullet) of proper scheme and perfect obstruction theory is called **virtually smooth** of dimension $d := \text{rk}(E^0) - \text{rk}(E^{-1})$.

Let $[E_0 \rightarrow E_1]$ dual complex to $[E^{-1} \rightarrow E^0]$.

$$T_X^{\text{vir}} := E_0 - E_1 \in K^0(X), \quad \text{virtual tangent bundle}$$

$$\Omega_X^{\text{vir}} := E^0 - E^{-1} \in K^0(X), \quad \text{virtual cotangent bundle}$$

$$K_X^{\text{vir}} := \det(E^0) \otimes \det(E^{-1})^{-1} \quad \text{virtual canonical bundle}$$

From now on, let (X, E^\bullet) virtually smooth of dimension d .

Assume X can be embedded into smooth scheme M .

Let $C_{X/M}$ normal cone. Then $C_{X/M} \subset_{\text{closed}} \mathcal{N}_{X/M}$.

Intrinsic normal cone: $\mathfrak{e}_X := [C_{X/M}/i^*T_M]$

E^\bullet obstruction theory implies $[\mathcal{N}_{X/M}/i^*T_M] \subset_{\text{closed}} [E_1/E_0]$

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Let $\pi : E_1 \rightarrow [E_1/E_0]$. Put $C := \pi^{-1}(\mathfrak{C}_X)$, cone in E_1 .

$s_0 : X \rightarrow E_1$ zero section.

- virtual fundamental class

$$[X]^{\text{vir}} := s_0^*([C]) \in A_d(X)$$

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X nonsingular, E vector bundle of $\text{rk} = r$ on X ,

view $X = Z(s_0)$, $s_0 =$ zero section

Expected dimension: $d := \dim(X) - r$

Obstruction theory: $[E^\vee \xrightarrow{0} \Omega_X]$

$[X]^{\text{vir}} = c_r(E)$,

$\mathcal{O}_X^{\text{vir}} = [\Lambda^r(E^\vee) \xrightarrow{0} \Lambda^{r-1}(E^\vee) \xrightarrow{0} \dots \xrightarrow{0} E^\vee \xrightarrow{0} \mathcal{O}_X]$.

$K^0(X) :=$ Groth. group of vector bundles

$K_0(X) :=$ Groth. Group of coh. sheaves

Let V vector bundle, Chern roots x_1, \dots, x_r ,

$$\text{ch}(V) := \sum_{i=1}^r e^{x_i} \in A^*(X)$$

defined on $K^0(X)$ by $\text{ch}(V_1 - V_2) = \text{ch}(V_1) - \text{ch}(V_2)$,

$$\text{td}(V) := \prod_{i=1}^r \frac{x_i}{1 - e^{-x_i}} \in A^*(X)^\times$$

defined on $K^0(X)$ by $\text{td}(V_1 - V_2) = \text{td}(V_1)/\text{td}(V_2)$.

For $V \in K^0(X)$ **virtual holomorphic Euler characteristic**

$$\chi^{\text{vir}}(X, V) := \chi(X, V \otimes \mathcal{O}_X^{\text{vir}})$$

Let $V \in K^0(X)$

Theorem (virtual Grothendieck-Riemann-Roch)

$f : X \rightarrow Y$ proper morphism, Y nonsingular.

$$\mathrm{ch}(f_*(V \otimes \mathcal{O}_X^{\mathrm{vir}})) \cdot \mathrm{td}(T_Y) \cap [Y] = f_*(\mathrm{ch}(V) \cdot \mathrm{td}(T_X^{\mathrm{vir}}) \cap [X]^{\mathrm{vir}})$$

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Corollary (virtual Hirzebruch-Riemann-Roch)

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$$\chi^{\mathrm{vir}}(X, V) = \int_{[X]^{\mathrm{vir}}} \mathrm{ch}(V) \cdot \mathrm{td}(T_X^{\mathrm{vir}})$$

Corollary (weak virtual Serre duality)

$$\chi^{\mathrm{vir}}(X, V) = (-1)^d \chi^{\mathrm{vir}}(X, V^\vee \otimes K_X^{\mathrm{vir}})$$

Use singular Riemann-Roch (Fulton Chap. 18)

There exists $\tau_X : K_0(X) \rightarrow A_*(X)$, s.th.

- ① for $V \in K^0(X)$, $\mathcal{F} \in K_0(X)$,

$$\tau_X(V \otimes \mathcal{F}) = \text{ch}(V) \cap \tau_X(\mathcal{F}),$$

- ② for $f : X \rightarrow Y$ proper,

$$f_* \circ \tau_X = \tau_X \circ f_* : K_0(X) \rightarrow A_*(Y)$$

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With this reduce to the following: Let $p : C \rightarrow X$ projection.

Then

$$\tau_C(\mathcal{O}_C) = p^*(\text{td}(E_0)) \cap [C].$$

Show this by deformation to the normal cone.

Let E vector bundle of rank r . Put

$$\Lambda_t E := \sum_{i=0}^r \Lambda^i E t^i \in K^0(X)[t], \quad S_t E := \sum_{i \geq 0} S^i E t^i \in K^0(X)[[t]],$$

Easy: $S_t E = 1/\Lambda_{-t} E$, get

$$\Lambda_t : K^0(X) \rightarrow K^0(X)[[t]], \quad \Lambda_t(E - F) = \Lambda_t E / S_{-t} E.$$

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Virtual i -forms: $\Omega_X^{i, \text{vir}} := \text{Coeff}_t \Lambda_t \Omega_X^{\text{vir}}$.

Definition

Virtual χ_{-y} -genus:

$$\chi_{-y}^{\text{vir}}(X) := \chi^{\text{vir}}(X, \Lambda_{-y} \Omega_X^{\text{vir}}) = \sum_{n \geq 0} (-1)^n \chi(X, \Omega_X^{n, \text{vir}}) \in \mathbb{Z}[[y]],$$

$\chi_{-y}^{\text{vir}}(X, V) := \chi^{\text{vir}}(X, V \otimes \Lambda_{-y} \Omega_X^{\text{vir}}$. Will show $\chi_{-y}^{\text{vir}}(X) \in \mathbb{Z}[y]$.

virtual Euler characteristic $e^{\text{vir}}(X) := \chi_{-1}^{\text{vir}}(X)$.

Theorem

- 1 $\chi_{-y}^{\text{vir}}(X) \in \mathbb{Z}[y]$, of degree d ,
equivalently $\chi(X, \Omega_X^{i, \text{vir}}) = 0$ for $i > d$.
- 2 $\chi_{-y}^{\text{vir}}(X) = y^d \chi_{-1/y}^{\text{vir}}(X)$,
equivalently $\chi(X, \Omega_X^{i, \text{vir}}) = (-1)^d \chi(X, \Omega_X^{d-i, \text{vir}})$
- 3 $e^{\text{vir}}(X) = \int_{[X^{\text{vir}}]} c_d(T_X^{\text{vir}})$.

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Sketch of proof: Apply Riemann-Roch theorem:

x_1, \dots, x_n Chern roots of E_0 , u_1, \dots, u_m Chern roots of E_1 ,
 $d = n - m$

$$\chi_{-y}^{\text{vir}}(X) = \int_{[X]^{\text{vir}}} \prod_{i=1}^n \frac{x_i(1 - ye^{-x_i})}{1 - e^{-x_i}} \prod_{j=1}^m \frac{1 - e^{-u_j}}{u_j(1 - ye^{-u_j})}$$

Computing modulo classes of degree $> d$, the integrand becomes a polynomial in $(1 - y)$.

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Let $V \in K^0(\mathcal{X})$.

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In particular if B connected and X_0 smooth of dimension d then $\chi^{\text{vir}}(X_b, V|_{X_b}) = \chi(X_0, V|_{X_0})$, $\chi_{-y}^{\text{vir}}(X_b) = \chi_{-y}(X_0)$, $e^{\text{vir}}(X_b) = e(X_0)$.

Fultons Chern class: If $X \subset_{\text{closed}} M$, and M nonsingular

$$c_F(X) := c(T_M|_X) \cap s(X, M) \in A_*(X)$$

(indep. of M). Generalization of $c(T_X)$ for singular schemes (related to $c_{SM}(X)$, which satisfies $\deg(c_{SM}(X)) = e(X)$).

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Corollary

- 1 If X is lci, then $e^{\text{vir}}(X) = \deg(c_F(X))$.
- 2 $\deg(c_F(X))$ is a deformation invariant of proper lci schemes.

Definition

For $F \in K^0(X)$ put

$$\mathcal{E}(F) := \bigotimes_{n \geq 0} (\Lambda_{-yq^n} F^\vee \otimes \Lambda_{-y^{-1}q^n} F \otimes S_{q^n}(F \oplus F^\vee))$$

The **virtual elliptic genus** is

$$Ell^{\text{vir}}(X; z, \tau) := y^{-d/2} \chi_{-y}^{\text{vir}}(X, \mathcal{E}(T_X^{\text{vir}})), \quad q = e^{2\pi i \tau}, y = e^{2\pi i z}$$

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Theorem

Assume $c_1(K_X^{\text{vir}}) = 0$, then

$Ell^{\text{vir}}(X; z, \tau)$ is a weak Jacobi form of weight 0 and index $d/2$.

This means it behaves like a theta function:
modular in τ , elliptic in z .

Proof is similar to the standard case.

Apply Riemann-Roch and make explicit calculations with the Chern roots.

In work in progress with Nakajima, Mochizuki and Yoshioka, this will be applied to invariants of moduli spaces of vector bundles on surfaces.

Let (X, H) projective surface

$$M_H^X(c_1, c_2) = \{H\text{-stable rank 2 sheaves}\}$$

$\mathcal{E} \rightarrow X \times M$ universal sheaf, $L \in H_2(X)$,

$$\mu(L) := (c_2(\mathcal{E}) - \frac{1}{4}c_1(\mathcal{E})^2) / L \in H^2(M).$$

Donaldson invariants: $\Phi_{X, c_1}^H(L^d) := \int_{[M]^{\text{vir}}} \mu(L)^d$.

Let \bar{L} line bundle on M with $c_1(\bar{L}) = \mu(L)$ (determinant bundle).

K-theory Donaldson invariant: $\chi^{\text{vir}}(M, \bar{L})$.

$M_H^X(c_1, c_2)$ depends on H via system of **walls and chambers** in ample cone C_X

Definition

$\xi \in H^2(X, \mathbb{Z})$ defines wall of type (c_1, c_2) if

- 1 $\xi \equiv c_1 \pmod{2H^2(X, \mathbb{Z})}$
- 2 $4c_2 - c_1^2 + \xi^2 \geq 0$

The wall is

$$W^\xi := \{H \in C_X \mid H \cdot \xi = 0\}$$

Chambers=connected components of $C_X \setminus$ walls

$M_X^H(c_1, c_2)$ and invariants constant on chambers, change when H crosses wall (i.e. $H_- \rightarrow H_+$ with $H_- \xi < 0 < H_+ \xi$)

Theorem

- ① *If $p_g(X) > 0$, then $\Phi_{X, c_1}^H(L^d)$ does not change under wallcrossing.*
- ② *If $p_g(X) = 0$, explicit generating function for wallcrossing in terms of modular forms.*

(1) is well-known from gauge theory, algebraic proof due to Mochizuki.

(2) Proven by G-Nakajima-Yoshioka, in case moduli spaces are smooth, results of Mochizuki imply it for virtual case.

Theorem

- 1 If $p_g(X) > 0$, then $\chi^{\text{vir}}(M_H^X(c_1, c_2), \bar{L})$ does not change under wallcrossing.
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(1) Follows from virtual Riemann-Roch and part (1) of previous theorem.

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Note: If $c_2 \gg 0$, then $M_H^X(c_1, c_2)$ has the expected dimension and therefore is lci. Then

$$\chi(M_H^X(c_1, c_2), \bar{L}) = \chi^{\text{vir}}(M_H^X(c_1, c_2), \bar{L})$$

Theorem

- 1 If $p_g(X) > 0$, then $e^{\text{vir}}(M_H^X(c_1, c_2))$ does not change under wallcrossing.
- 2 If $p_g(X) = 0$, explicit generating function for wallcrossing in terms of modular forms.

Compute $e^{\text{vir}}(M)$ as $\int_{[M]^{\text{vir}}} c_d(T_M^{\text{vir}})$.

Apply again results of Mochizuki.

Note: If $c_2 \gg 0$, and thus $M_H^X(c_1, c_2)$ is lci, then

$$e^{\text{vir}}(M_H^X(c_1, c_2) = \deg(c_F(M_H^X(c_1, c_2))).$$